

PREA Facility Audit Report: Final

Name of Facility: Sumter Correctional Institution

Facility Type: Prison / Jail

Date Interim Report Submitted: NA

Date Final Report Submitted: 07/30/2019

Auditor Certification	
The contents of this report are accurate to the best of my knowledge.	<input checked="" type="checkbox"/>
No conflict of interest exists with respect to my ability to conduct an audit of the agency under review.	<input checked="" type="checkbox"/>
I have not included in the final report any personally identifiable information (PII) about any inmate/resident/detainee or staff member, except where the names of administrative personnel are specifically requested in the report template.	<input checked="" type="checkbox"/>
Auditor Full Name as Signed: Alton Baskerville	Date of Signature: 07/30/2019

AUDITOR INFORMATION	
Auditor name:	Baskerville, Alton
Address:	
Email:	abville42@aol.com
Telephone number:	
Start Date of On-Site Audit:	2019-06-24
End Date of On-Site Audit:	2019-06-25

FACILITY INFORMATION	
Facility name:	Sumter Correctional Institution
Facility physical address:	9544 County Road 476B, Bushnell, Florida - 33513
Facility Phone	352 569-6100
Facility mailing address:	

Primary Contact	
Name:	Gillian Woodard
Email Address:	Gillian.woodard@fdc.myflorida.com
Telephone Number:	352-568-4566

Warden/Jail Administrator/Sheriff/Director	
Name:	Jess Baldrige
Email Address:	Jess.bladridge@fdc.myflorida.com
Telephone Number:	352-568-4545

Facility PREA Compliance Manager	
Name:	Gillian Woodard
Email Address:	Gillian.Woodard@fdc.myflorida.com
Telephone Number:	M: (352) 568-4566

Facility Health Service Administrator On-site	
Name:	Don Whitfield
Email Address:	DWhitfield@TeamCenturion.com
Telephone Number:	352-568-4609

Facility Characteristics	
Designed facility capacity:	1639
Current population of facility:	1492
Average daily population for the past 12 months:	
Has the facility been over capacity at any point in the past 12 months?	No
Which population(s) does the facility hold?	
Age range of population:	
Facility security levels/inmate custody levels:	Community custody- Sumter annex 0, SCI 14, BTU 6, Workcamp 31: Minimum custody- Sumter Annex 6, SCI 113, BTU 18, workcamp 220: Medium custody- Sumter Annex 5, SCI 429, BTU 0, Workcamp 18: Close custody Sumter annex 2, SCI 647, BTU 0, Workcamp 0
Does the facility hold youthful inmates?	No
Number of staff currently employed at the facility who may have contact with inmates:	403
Number of individual contractors who have contact with inmates, currently authorized to enter the facility:	
Number of volunteers who have contact with inmates, currently authorized to enter the facility:	

AGENCY INFORMATION	
Name of agency:	Florida Department of Corrections
Governing authority or parent agency (if applicable):	
Physical Address:	501 S Calhoun Street, Tallahassee, Florida - 32399
Mailing Address:	
Telephone number:	850-488-5021

Agency Chief Executive Officer Information:	
Name:	Mark Inch
Email Address:	Mark.Inch@fdc.myflorida.com
Telephone Number:	850-488-5021

Agency-Wide PREA Coordinator Information			
Name:	Judy Cardinez	Email Address:	Judy.Cardinez@fdc.myflorida.com

AUDIT FINDINGS

Narrative:

The auditor's description of the audit methodology should include a detailed description of the following processes during the pre-audit, on-site audit, and post-audit phases: documents and files reviewed, discussions and types of interviews conducted, number of days spent on-site, observations made during the site-review, and a detailed description of any follow-up work conducted during the post-audit phase. The narrative should describe the techniques the auditor used to sample documentation and select interviewees, and the auditor's process for the site review.

The PREA Audit of Sumter Correctional Institution (Sumter C I) was conducted by United States Department of Justice Certified PREA Auditor Alton Baskerville and assistant auditor Phyllis Baskerville. This was the second PREA audit for Sumter C I. The onsite audit occurred from June 24 through June 25, 2019.

The pre-audit preparations for the audit included a review of the Pre-Audit Questionnaire, and supporting documents included Florida Department of Corrections policies and procedures, staff rosters, inmate housing lists, organizational charts, educational materials, investigative files, a sampling of staff records, a sampling of inmate records, brochures in multiple languages, as well as other materials provided to support PREA compliance with each standard. The audit team did a complete tour of Sumter C I. We spoke with staff and inmates in every area we toured. The tour and informal conversations with staff and inmates gave us additional insight into the facility's preparation for the audit.

Notification of the audit with the auditor's contact information was sent to Sumter C I for posting on April 9, 2019. The auditor received correspondence from two inmates at the facility. Both inmates received targeted interviews.

On June 24, 2019, the audit team arrived at Sumter C I at approximately 8:30 A.M. We were directed to the administration conference room where we met Warden Jess Baldrige, Assistant Warden Gillian Woodard, Col. J. Perry, Capt. Rutledge, and several other key staff members. Christina Counce, Correctional Services Consultant (PREA) was also present.

Warden Baldrige introduced his staff and the audit team shared their experience, purpose, and schedule for the onsite audit. At the close of the entry meeting, the audit team gave the facility staff a pre-selected list of inmates to be interviewed during the audit. Shortly after 9:00 A.M. the lead auditor was led on a tour of Sumter C I. The assistant auditor was given a private office inside the compound to interview inmates.

The audit team spent (11) hours working on site on the first day of audit. We were able to visit the Main Unit, Basic Training (Youth), Extended Day (Youth), Food Service, Medical Department, Education Department, Library, Chapel, Wood Furniture Shop, and Print Shop. The team stayed after 7:00 P.M. to interview correctional officers on the night shift. Also, large number of inmates and staff were interviewed on the first day of the audit.

The team spent (8) hours working on the second day of the audit. The team toured the Work Camp which is approximately one tenth of a mile from the main facility. while at the Work Camp, we interviewed staff and inmates.

All of the areas visited were clean, had controlled movement, and had plenty of cameras in the common areas. PREA posters were visible throughout the housing and program areas. The notification of the PREA Audit was posted in all the housing units and well as in the visiting room and administrative areas. The showers and toilets provided privacy for the inmates. A kiosk is available in each housing area for the purpose of video visitation, email, view account balances, and third party PREA notifications if needed.

Prior to the onsite audit, the auditor received two confidential inmate letters complaining about the manner in which their sexual harassment complaints were handled. Both inmates were interviewed because of their letters.

In his letter, inmate "A" wrote " I am a listed homosexual transitioning into a transgender." He further stated that when he was assigned at the Work Center, heterosexual inmates would approach him in the bathroom and ask for various sex favors. Also, Inmate "A" stated he was sexual harassed by an inmate while he using the kiosk which is located off the housing unit in a separate small room. The camera in the rear of the housing unit shows the entrance to the room, but can only see a person's back when that person is at the entrance of the room. It did not see the inmate take his penis out and sexually harassed inmate "A". He submitted a PREA complaint which was returned as unsubstantiated. He received a disciplinary report for lying and felt that he was retaliated against for filing the complaint. Inmate "A" was shortly moved to the Main Unit where he is no longer having any problems. Upon touring the Work Center, I saw that a camera was installed in the room that houses the kiosk. After reviewing the PREA complaint file of inmate "A", I believed the disciplinary report for lying was retaliation for making the sexual harassment complaint. I discussed this with Warden Baldrige and informed him that this action puts them in violation of standard 115.78 -For the purpose of disciplinary action, does a report of sexual abuse made in good faith based upon a reasonable belief that the alleged conduct occurred not constitute falsely reporting an incident or lying, even if an investigation does not establish evidence sufficient to substantiate the allegation.

I informed Warden Baldrige that in order to meet standard 115.78, the Disciplinary Report " Lying To staff" needs to be overturned. In addition, staff needs training to prohibit the use of the Disciplinary Report to respond to an unsubstantiated claim of sexual abuse or sexual harassment.

A memo from Gillian Woodard, Assistant Warden, to Judy Cardinez, State-Wide PREA Coordinator dated July 11, 2019, indicated that training in regard to PREA standard 115.78 has been conducted with the OIC's as well as Classification staff who hear Disciplinary Reports. In addition, the Disciplinary Report "Lying To Staff" given to inmate "A" was overturned by Warden Baldrige on June 28, 2019. As a result of these actions, Sumter C I is now in compliance of standard 115.78.

When the second inmate (inmate "B") was interviewed due to the letter he sent the auditor, he stated that the staff was not responsive when he told them that his cell mate was harassing him. He explained that this incident happened at the Gulfport Correctional Institution. He further disclosed that he was in a "relationship" with his cellmate, wished to discontinue the relationship, but the cellmate would not accept no. He stated that he has been at this facility since November, 2018 and has had no PREA problems. He did want assurance that if he filed a complaint, it would be taken seriously. He was assured that future PREA complaints will be taken seriously, and will be acted upon immediately by staff.

The last day of the audit was used to review documents, files, policy and procedures, and to conduct follow-up interviews with staff and inmates. The audit team conducted (26) random inmate interviews

representing all housing units. There were (17) targeted interviews conducted. The breakdown of targeted inmates are the following: (3) Gay/Bisexual, (4) Youthful Offenders, (3) inmates who reported sexual victimization during risk screening, (5) LEP/ Spanish, (1) Disabled, and (1) inmate who reported sexual abuse.

The audit team interviewed (15) random staff and (16) specialized staff. Random staff were selected from all housing units and from day and night shifts. The (16) specialized staff included warden, assistant warden, captain, PREA Compliance Manager, Director of Nursing, Mental Health Director, intake staff, staff who perform screening for risk of victimization and abusiveness, designated staff member charged with monitoring for retaliation, investigative staff, Human Resource Director, staff who supervise inmates in segregated housing, line staff who supervise youthful offenders, education and program staff who work with youthful inmates, volunteers, contractors who may have contact with inmates, and intermediate-or - higher level facility staff.

The interviews of inmates and staff showed evidence that PREA training had taken place within 12 months of the audit. Training documentation was reviewed for everyone who was interviewed.

The exit meeting was conducted in the afternoon of June 25, 2019 with Warden Baldrige, Assistant Warden Woodard, Judy Cardinez, Christina Counce and other key staff members. The audit team reviewed the preliminary findings with the administrative staff and informed them that the Interim or Final Report will be submitted to the facility within 45 days. The audit team thanked all who were present for their cooperation and hospitality.

AUDIT FINDINGS

Facility Characteristics:

The auditor's description of the audited facility should include details about the facility type, demographics and size of the inmate or resident population, numbers and type of staff positions, configuration and layout of the facility, numbers of housing units, description of housing units including any special housing units, a description of programs and services, including food service and recreation. The auditor should describe how these details are relevant to PREA implementation and compliance.

The Sumter Correctional Institution, constructed in 1965, is located in Bushnell, Florida which is approximately 67 miles from Tampa International Airport. Sumter C I serves multiple missions with the Main Unit and a Work Camp housing adult inmates. The Basic Training Unit and the Extended Day Youthful Offenders Unit house youthful offenders. There are a variety of sentences and custody levels at the facility. The total population was 1236 on the first day of the audit. The Main Unit houses close, medium, minimum and community inmates. The Work Camp houses medium, minimum, and community inmates. The Basic Training Unit is assigned minimum, and community youthful offenders. The Extended Day Youth is assigned medium, minimum, and community youthful offenders. The Main Unit has specialized housing for Lifers and for Veterans. The Basic Training Unit and the Extended Day Unit are contained, but separated, inside the adult Main Unit. All activities of these two units are totally separated from the adult population. However, scheduling allows use of various areas of the institution.

There are 59 buildings at this facility that consists of 224 single cells, 224 multiple occupancy cells and 21 dormitories. The Work Camp is approximately one tenth of a mile from the Main Unit. It is surrounded by its own perimeter security.

There are 471 full time staff positions: (11) are Administrative, (92) are Program, and (361) are Security.

The Food Service Department has a kitchen and two dining rooms with a seating capacity of 112 inmates each. The dining area is able to accommodate wheelchair inmates. The Department is under the supervision of a director and employs several employees as well as approximately 100 inmates. There are many cameras throughout the department and the monitor is in the Food Service Director's office. The facility utilizes the statewide 28-day cycle menu. The menu is prepared by certified dietitians assigned to the central office. The Work Camp dining room has a capacity of 84 inmates. Lunches are prepared daily for inmates assigned to the community work crews.

Sumter C I has two major industries that hire inmates at the minimum wage comparable to what workers get in the community. The Furniture Shop makes office furniture to be sold to other agencies. The Print Shop does a lot of business for state agencies.

The Recreation Department has a gym and a large outdoor exercise area containing basketball courts, shuffle board, and open space for other activities. Access to recreation periods is coordinated by the facility movement schedule. Youthful offenders utilize the gym on a separate schedule from adult inmates. Board games are available in each housing unit.

Religious programming is directed by a full time Chaplain and a full time Administrative Coordinating Chaplain, providing services to the Main Unit and Work Camp. The Main Unit includes a chapel (Sanctuary), library and classrooms. The Sanctuary is a large space and can accommodate religious services and special events. The Chaplain supervises all volunteer activities. The Chaplain also

coordinates the AA/NA meetings. Religious services include a wide range of religions. The facility uses a Religious Technical Manual in coordinating religious services. Special events are held often in conjunction with faith-based services. Approximately 400 volunteers participate in a wide variety of programs in the facility. The Chaplain provides orientation, including PREA awareness, for all volunteers prior to their approval to enter the facility.

AUDIT FINDINGS

Summary of Audit Findings:

The summary should include the number of standards exceeded, number of standards met, and number of standards not met, along with a list of each of the standards in each category. If relevant, provide a summarized description of the corrective action plan, including deficiencies observed, recommendations made, actions taken by the agency, relevant timelines, and methods used by the auditor to reassess compliance. Auditor Note: No standard should be found to be "Not Applicable" or "NA". A compliance determination must be made for each standard.

Number of standards exceeded:	0
Number of standards met:	45
Number of standards not met:	0

The Sumter C I is determined to be in compliance of all standards. The facility was initially in non compliance of standard 115.78. However: corrective action was taken to put them in compliance of this standard. Details are included in the section (Audit Findings).

Standards

Auditor Overall Determination Definitions

- Exceeds Standard
(Substantially exceeds requirement of standard)
- Meets Standard
(substantial compliance; complies in all material ways with the stand for the relevant review period)
- Does Not Meet Standard
(requires corrective actions)

Auditor Discussion Instructions

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

115.11	Zero tolerance of sexual abuse and sexual harassment; PREA coordinator
	<p data-bbox="252 170 896 203">Auditor Overall Determination: Meets Standard</p> <p data-bbox="252 248 523 282">Auditor Discussion</p> <p data-bbox="252 327 1414 663">Agency Policy 602.053 (Prison Rape: Prevention, Detection, and Response) details the required practice in the agency’s approach to preventing, detecting, and responding to allegations of sexual abuse and sexual harassment. The policy includes definitions of prohibited behaviors regarding sexual assault and sexual harassment of inmates with sanctions for those found to have participated in these prohibited behaviors. The policy is available to staff and inmates, defines the specific prohibited acts, and details the responsibilities regarding staff reporting obligations. The policy also outlines the investigative responsibilities.</p> <p data-bbox="252 707 1474 954">During random interviews, staff personnel exhibited knowledge of the agency’s zero tolerance policy of sexual abuse, sexual assault, and sexual harassment. They also knew the reporting process for PREA incidents. Additionally, staff have received orientation training and refresher training is conducted during annual in-service. During random inmate interviews, the inmates exhibited knowledge of the agency’s zero tolerance policy of sexual abuse, sexual assault, and sexual harassment. The inmates also expressed that they receive the PREA training.</p> <p data-bbox="252 999 1474 1167">At Sumter Correctional Institution, Assistant Warden of Programs, Gilliam Woodard serves as PREA Compliance Manager. Assistant Warden Woodard is responsible for oversight of PREA compliance at the facility level. During the interview with Assistant Warden Woodard, she indicated she has adequate time to perform the required PREA related duties.</p>

115.12	Contracting with other entities for the confinement of inmates
	<p data-bbox="252 1375 896 1408">Auditor Overall Determination: Meets Standard</p> <p data-bbox="252 1453 523 1487">Auditor Discussion</p> <p data-bbox="252 1532 1474 1610">Sumter Correctional Institution does not contract with any other entities for the confinement of inmates.</p>

115.13	Supervision and monitoring
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>The Staffing Level Guidelines are developed by the Bureau of Security Operations and approved by the Deputy Secretary of Institutions. The Guidelines are used to determine the staffing levels of each security post. Security staffing levels are designated as Level I, Level II and Level III posts to assist shift supervisors in the daily staffing of their shifts by establishing priorities for post staffing which ensures continued security and safety of staff, visitors, and inmates. Description of what each Level represents as well as the relief factor can be found in Procedure 602.030. The Roster Management System (RMS) documents all security personnel and which post staff members are assigned. All deviations from the post chart are documented in an incident report accordingly. Sumter Correctional Institution had no deviations from the staffing plan.</p> <p>Evidence Relied Upon: Post Order 3 - Shift Supervisor Unannounced OIC Checks</p>

115.14	Youthful inmates
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>During the past 12 months, there were 203 Youthful Offenders assigned to Sumter Annex and Sumter B.T.U. Sumter CI houses Youthful Offenders ages 16-17 years of age in Sumter Annex and B.T.U. with sight, sound, and physical separation between adult inmates. Youthful Offenders are under staff supervision at all times. Touring Sumter Annex and B.T.U., I observed the separation of Youthful Offenders and adult inmates. Interviews with Youthful Offenders and staff working with Youthful Offenders support total separations of the two groups.</p> <p>Evidence Relied Upon: Procedure 601.211 Designation of Youthful Offenders, Young Adult Offenders, and Youthful Offenders Facilities</p>

115.15	Limits to cross-gender viewing and searches
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>In accordance with Policy 602.036 (Gender Specific Security Positions, Shifts, Posts, and Assignments) and Policy 602.018 (Contraband and Searches of Inmates); review of PREA Training Acknowledgements; Interviews with supervisory staff, random staff, and random inmates, Sumter Correctional Institution meets the mandate of this standard. Cross-gender strip searches are not conducted at Sumter Correctional Institution. Policy details that staff shall not conduct cross-gender visual body cavity searches except in exigent circumstances or when performed by medical practitioners. There were zero body cavity searches and/or cross-gender strip searches that met the requirement of exigent circumstances or were performed by staff other than medical practitioners. The facility has implemented policies and procedures that enable inmates to shower, perform bodily functions, and change clothing without non-medical staff of the opposite gender viewing their breast, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks. Interviews with a selection of random staff and inmates from each housing unit confirmed inmates are able to shower, perform bodily functions and change clothing without non-medical staff of the opposite gender viewing them as required by the standard. These policies and procedures also require staff of the opposite gender to announce their presence when entering an inmate's housing unit. Interviews with staff and inmates confirmed female staff announces their presence when entering the inmates' housing units. During the onsite tour, the auditor observed the practice of this announcement in each of the housing units visited. Also, the auditor observed that all housing units provided inmates the opportunity to shower, dress and use the toilet without being view by non-medical staff of the opposite gender.</p> <p>Evidence Relied Upon: Procedure 602.036 Gender Specific Security Positions, Shifts, Posts, and Assignments</p>

115.16	Inmates with disabilities and inmates who are limited English proficient
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>The department has established procedures to provide inmates with limited English proficiency equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment. The department shall ensure that written materials are provided in formats or through methods that ensure effective communication with inmates with disabilities, including inmates who have intellectual disabilities, limited reading skills, or who are blind or have low vision. The auditor interviewed two limited English proficient inmates whose primary language was Spanish. The interviews were conducted with the use of a staff member. They indicated that staff provided them information on PREA reporting in Spanish, in writing as well as a video in Spanish. The auditor verified a staff translator list was available. The department has a contract with Language Line for all languages. The language line is available for use by staff when a staff translator is not available. There are posters in English and Spanish posted next to the telephones. Policy prohibits the use of inmate interpreters except in emergency situations or the inmate's safety would be compromised. Staff and inmate interviews all supported that inmates would not be relied on as translators. A inmate translator was not used in a PREA allegation during the previous twelve months.</p> <p>Evidence Relied Upon: Procedure 602.053 Prison Rape Prevention, Detection, and Response - Equal Opportunities Language Line Contract Language Line 2017-2018 Inmate PREA Education Facilitators Guide Instruction - Disability C2372 Contract FINAL</p>

115.17	Hiring and promotion decisions
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>The Departmental Policy for Background Investigation and Appointment of Certified Officers (208.049) does not allow hiring or promotions of anyone who has been convicted of sexual abuse in prison/jail or in community. Background checks are done on all employees, contractors and volunteers. Background checks (FCIC/NCIS) are conducted on all new hires. The department is connected as a level II employer and any arrest is provided to the department upon entry into the system. All volunteer and contract re-checks are completed annually and are up to date. According to the interview of the Central Office Human Resource person that handles all requests for employees seeking employment any criminal history is reported to the prospective employing institution.</p> <p>Evidence Relied Upon: Procedure 208.049 Background Investigation and Appointment of Certified Officers-Moral Character</p>

115.18	Upgrades to facilities and technologies
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Sumter Correctional Institution has installed video monitoring technology in order to assist in preventing, detecting and responding to sexual abuse and sexual harassment allegations. Currently all housing units are equipped with video monitoring equipment. In addition, cameras have been installed in Food Service, Visiting Park (upper and outer), gymnasium/rec field, holding cell, laundry, education, front and rear facility entrance points and medical elevator,</p> <p>Evidence Relied Upon: Sumter Correctional Institution Staffing Plan</p>

115.21	Evidence protocol and forensic medical examinations
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>The Department's Inspector General's Office (IG) is responsible for conducting all investigations (both, criminal and administrative) that involve allegations of sexual abuse and sexual harassment. The Department follows a uniform evidence protocol that maximizes the potential for obtaining usable physical evidence for administrative proceedings and criminal prosecutions. Procedure is outlined in 108.015. All of the inspectors/investigators were trained by the Moss Group.</p> <p>During the onsite visit, the auditor interviewed the investigator who conveyed the investigator's role in conducting investigations. It was also stated that the investigators are required to use the uniform evidence protocol. The Department of Corrections has a current agreement termed as a Memorandum of Understanding (MOU) with the Sexual Assault Response Team (SART) to conduct forensic examinations.</p> <p>SART (a private contract provider) provides a SANE/SAFE nurse who reports to the facility (when authorized/needed) to conduct a forensic examination. There was one (1) forensic exam conducted during the last 12 months at Sumter Correctional Institution. According to agency policy, there is no co-payment for any PREA incident or follow-up. Mental health services, along with follow up counseling are provided by Sumter staff.</p> <p>Evidence Relied Upon: Procedure 602.053 Prison Rape Prevention, Detection, and Response - Victim Advocate Support Haven of Lake and Sumter Counties Victim Brochure</p>

115.22	Policies to ensure referrals of allegations for investigations
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>In accordance to Procedure 108.003 (Investigative Process) and Procedure 108.015 (Sexual Battery, Sexual Harassment, and Sexual Misconduct Investigations) every allegation of sexual abuse or sexual harassment alleged to have occurred in any facility within Florida Department of Correction be thoroughly and completely investigated criminally or administratively.</p> <p>As noted earlier in standard 115.21 the Inspector Generals' Office has the legal authority to conduct criminal and administrative investigations within all prison in the State. Interviews with administrative staff and the investigator verified that all reports of sexual abuse or harassment are reported to the OIG's office. During the past twelve months, the number of allegations of sexual abuse and/or sexual harassment that were received was seventeen (17). During the past twelve months, the number of allegations, resulting in administrative investigation was ten (10). During the past twelve months, the number of allegations referred for criminal investigation was zero. Standard 115.22 requires the agency publish their investigative policy on its website or, if it does not have one, it must make the policy available through other means. The policy can be found on the agency site at: http://www.dc.state.fl.us/PREA/index.html.</p> <p>Evidence Relied Upon :</p> <p>Procedure 602.053 Prison Rape Prevention, Detection, and Response - Investigation. Procedure 108.015 Sexual Battery, Sexual Harassment, and Sexual Misconduct Investigations</p>

115.31	Employee training
	<p data-bbox="252 170 896 203">Auditor Overall Determination: Meets Standard</p> <p data-bbox="252 248 523 282">Auditor Discussion</p> <p data-bbox="252 327 1465 913">The auditor reviewed annual in-service and pre-service curriculum provided to the staff at Sumter Correctional Institution. The curriculum subject matter includes the review of: (1) agency wide zero-tolerance policy for sexual abuse and sexual harassment; (2) how to fulfill their responsibilities in prevention, detection, reporting, and response to sexual abuse and sexual harassment; (3) inmate's right to be free from sexual abuse and sexual harassment; (4) staff and inmate's right to be free from retaliation for reporting sexual abuse and sexual harassment; (5) how to recognize the dynamics of sexual abuse and sexual harassment in confinement; (6) The common reactions of sexual abuse and sexual harassment victims; (7) how to detect and respond to signs of threatened and actual sexual abuse; (8) how to avoid inappropriate relationships with inmates; (9) how to communicate effectively and professionally with inmates, including lesbian, gay, bisexual, transgender, intersex, or gender nonconforming inmates; (10) and how to comply with relevant laws related to mandatory reporting of sexual abuse to outside authorities. Employee signature acknowledges that they understand the training received.</p> <p data-bbox="252 969 1465 1216">Training records, staff interviews and curriculum reviewed indicated that the staff at Sumter Correctional Institution have received the appropriate training. Additionally, during the staff interviews, all were able to verbally confirm their knowledge of the training received. Staff (including supervisory staff and staff from the executive team), also described in detail the actions they were trained to take when conducting searches of all inmates, including lesbian, gay, bisexual, transgender, intersex, or gender nonconforming inmates.</p> <p data-bbox="252 1272 1485 1518">During the interviews, all staff were able to describe in detail the action they'd take in the event they received a PREA allegation. While conducting the onsite tour, the auditor spent a significant amount of time talking with the security staff in each housing unit. All of the staff members (including correctional officers who recently completed the basic corrections academy training) thoroughly conveyed a clear knowledge and understanding of their role and responsibilities regarding this standard.</p> <p data-bbox="252 1574 1477 1910">Each housing unit has a laminated poster outlining responsibilities in responding to allegations of sexual abuse. Interviews of random staff and general questions asked during the tour clearly indicated staff understanding of all aspects of responding to allegations of sexual abuse. Training records, staff interviews and curriculum reviewed indicated that the staff at is trained. In the past 12 months, 432 employees assigned to the facility were trained on the PREA requirements. Employees sign and state that they understand the training they receive. Staff Training records are maintain in E-Train data base. Each staff receives an annual refresher course on PREA related topics.</p> <p data-bbox="252 1955 1465 2114">Evidence Relied Upon: Procedure 602.053 Prison Rape Prevention, Detection, and Response - Employee Trng Zero Tolerance PREA Lesson Plan - Zero Tolerance</p>

115.32	Volunteer and contractor training
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>In accordance with a review of Procedure 602.053 (Prison Rape: Prevention, Detection, And Response), agency training curriculum, training acknowledgement forms signed by contractors and volunteers, Sumter Correctional Institution meets the mandate of this standard. Contractor and volunteer orientation training includes the agency’s policy and procedures regarding sexual abuse and sexual harassment, prevention, detection, reporting, and response including zero tolerance. Of the contract workers and volunteers interviewed, each articulated their understanding of the agency’s zero tolerance of sexual abuse and sexual harassment and their responsibility in reporting, how to avoid inappropriate relationship with inmates, prevention, detection and the response of sexual harassment or sexual abuse. The training provided, included the mandatory standard to report all incidents and knowledge or suspicions of sexual abuse or sexual harassment. Sumter Correctional Institution has a total of 385 volunteer and individual contractor workers who have contact with inmates and all have received the required PREA training.</p> <p>Evidence Relied Upon: Procedure 602.053 Prison Rape Prevention, Detection, and Response - Volunteer & Contractor Training</p>

115.33	Inmate education
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>During the intake process, the agency provides PREA education to all inmates. At reception, inmates are provided a PREA Inmate Handbook, which explains the agency's zero tolerance policy regarding sexual abuse and sexual harassment. The handbook also provides guidance on how to report such incidents. Also, during the reception process, inmates receive orientation via an approved video presentation that addresses protection issues to include information on preventing and reducing the risk of sexual violence. These materials and pamphlets are primarily in English and Spanish but whenever possible, they are also available in the inmate's native language. PREA education is also available on audiotapes, and CD's for the visually impaired. During past twelve months, 1169 inmates (whose length of stay in the facility was for 30 days or more) received comprehensive education on their rights to be free from both sexual abuse/harassment and retaliation for reporting such incidents and on agency policies and procedures for responding to such incidents within 30 days of intake. Formal and informal inmate interviews conducted during the on-site audit revealed that they were knowledgeable of the agency's zero tolerance policy.</p> <p>Evidence Relied Upon: Procedure 602.053 Prison Rape Prevention, Detection, and Response - Visually Impaired Inmate PREA Education Facilitators Guide Instruction - Disability</p>

115.34	Specialized training: Investigations
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>The departments' investigators receive specialized training in addition to the general education provided to all staff. This training meets the expectations of the standard and provides the recipient with the needed skills to conduct investigations in a confinement setting. Specialized training includes techniques for interviewing sexual abuse victims, proper use of Miranda and Garrity warnings, sexual abuse evidence collection in confinement settings, and the criteria and evidence required to substantiate a case for administrative action or prosecution referral. The facility maintains documentation that the investigator has received required specialized training in conducting sexual abuse investigations. The auditor interviewed the Investigator and during the interview, the investigator detailed an understanding of the investigative training received. The training was based on the Moss Group training curriculum.</p> <p>Evidence Relied Upon: Procedure 108.015 Sexual Battery, Sexual Harassment, and Sexual Misconduct Investigations - Investigation</p>

115.35	Specialized training: Medical and mental health care
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Procedure 602.053 (Prison Rape: Prevention, Detection & Response), Health Services Bulletin 15.03.06 (Post Sexual Battery Medical Plan), and the agency Training Curriculum, outlines how the agency shall ensure that all full and part-time medical and mental health care practitioners who work regularly in its facilities are to be trained. All of the health care staff are trained in the following topics: How to detect and assess signs of sexual abuse and sexual harassment; How to preserve physical evidence of sexual abuse; How to respond effectively and professionally to victims of sexual abuse and sexual harassment; and How and to whom to report allegations or suspicions of sexual abuse and sexual harassment. The facility maintains documentation that medical and mental health practitioners have received the training referenced in this standard. During the onsite audit, the auditor conducted interviews with medical and mental health staff. The interviewed revealed that the staff members are knowledgeable of their duties and responsibilities under PREA. The contract provider for medical and mental health is Centurion Health Services.</p> <p>Evidence Relied Upon: HSB 15-03-36 Post sexual battery medical action Procedure 602.053 Prison Rape Prevention, Detection, and Response - Medical Training</p>

115.41	Screening for risk of victimization and abusiveness
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>The agency screens all inmates for risk of victimization and abusiveness upon arrival. An initial screening is completed by Intake within 72 hours of arrival. The screening instrument includes whether the inmate has a mental, physical, or developmental disability, the age and physical build of the inmate, previous incarceration history, whether the inmate’s criminal history is exclusively nonviolent, prior convictions for sex offenses, whether the inmate is or is perceived to be gay, lesbian, bisexual, transgender, intersex, or gender nonconforming, past sexual victimization, and the inmate’s own perception of vulnerability. Information obtained during the initial assessment and reassessment is placed in the inmate’s classification file. Only authorized staff has access to these files. The assessment process is computerized and information gleaned becomes part of the Agency Inmate Behavioral Assessment Scale (IBAS). The system has been designed to identify the potential risk each inmate presents for predatory behaviors or their potential risk to be preyed upon by other inmates. The intent is for this system to be designed as an integrated web application that pulls required information from the Offender Based Information System mainframe, calculates the IBAS and Sexual Risk Indicators (SRI) designations and delivers those designations to specific OBIS screens. During the past 12 months, there were 1615 inmates (whose length of stay in the facility was for 72 hours or more) entering the institution (either through intake or transfer) who were screened for risk of sexual victimization or risk of sexually abusing other inmates within 72 hours of their entry into the facility.</p> <p>During the past 12 months, there were 730 inmates (whose length of stay in the facility was for 30 days or more) entering the institution (either through intake or transfer) who were required to be reassessed for their risk of sexual victimization or of being sexually abusive within 30 days of their arrival at the facility based upon any additional, relevant information received since intake.</p>

115.42	Use of screening information
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>The agency uses information from the risk assessment to inform housing, bed, work, education, and program assignments with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive. Sumter Correctional Institution classification staff is responsible for making all housing, program, and work assignments. Transgender or intersex inmate's housing is considered on a case-by-case basis. Housing placement considers the inmate's health and safety and whether the placement would present management or security problems. Placement is reassessed as needed. The inmate's own view with respect to his or her safety is given consideration. Transgender and intersex inmates are given the opportunity to shower separately from other inmates. During the interview of classification staff, the auditor was advised that all relevant information is shared with the appropriate staff on a need to know basis, but that the information is confidential and held to the highest level of integrity. 115.43 Protective Custody Auditor Overall Determination: Meets Standard Auditor Discussion</p>

115.43	Protective Custody
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Agency policy prohibits the placement of inmates at high risk for victimization in segregated housing unless an assessment of all available alternatives has been made and there is no available means of separation from the likely abuser (for no longer than 72 hours). Based on supervisor interviews, when there is a situation of an inmate alleging risk of victimization and needing to be separated from the alleged abuser, segregation would be utilized as a last alternative. If this placement was necessary, staff stated that the inmate would have access to the appropriate privileges and the placement would be documented.</p>

115.51	Inmate reporting
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Documentation reviewed post audit and also during the onsite portion of the audit indicated multiple ways (including privately and anonymously) for inmates to report sexual abuse or harassment. Interviews with a random sample of staff and inmates confirmed their knowledge of several methods to report allegations of sexual abuse/harassment. Staff and inmates were aware that inmates may report incidents of sexual abuse, sexual threats or any act of retaliation verbally or in writing, anonymously and from third parties. Staff confirmed verbal reports of sexual abuse and/or sexual harassment are promptly documented and submitted to their supervisor. Several staff also specifically stated that all reports are promptly reported without delay. During the onsite tour, the auditor observed posters and other documents on display throughout the facility. This posters detailed the reporting procedures.</p> <p>Gulf Coast Children Advocacy Center (an independent agency separate from the Florida Department of Correction) is the private/public office with whom Sumter Correctional maintains a MOU. Inmates may privately report sexual abuse and sexual harassment anonymously if requested to a private/public entity not associated with DOC. All of the inmates interviewed during the onsite audit, expressed an understanding of how to privately report any incident of sexual abuse/harassment.</p> <p>Evidence Relied Upon: 602.053 Prison Rape Prevention, Detection, and Response - Staff Acceptance</p>

115.52	Exhaustion of administrative remedies
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Rule: 33-103.006 (Form DC-1303 Formal Grievance) permits inmate grievances that alleges sexual abuse, to be filed at any time regardless of when it may have occurred. The inmate, a family member, or friend can also file a sexual abuse grievance. According to policies, there is no time limit on when an inmate may submit a grievance regarding an allegation of sexual abuse. Inmates can utilize the informal grievance process prior to initiating a formal grievance. However, inmates may skip this step and initiate the process at the formal institutional level for grievances regarding allegations of sexual abuse. Third party entities, on behalf of an inmate may also submit grievances. Emergency grievances may be filed if the inmate feels he is at substantial risk of imminent sexual abuse. Emergency Grievances Alleging Substantial Risk of Imminent Sexual Abuse – corrective action shall be conducted within 48 hours and a response must be provided within 5 calendar days. The grievance form is available on the agency web page for family and friends of any inmate to file a grievance on his behalf.</p> <p>In the past 12 months, Sumter Correctional Institution has not had a grievance filed reference sexual abuse. There have been zero (0) number of grievances alleging sexual abuse, zero (0) number of grievances alleging substantial risk of sexual abuse, nor grievances filed in bad faith. This information documented on the PAQ and supported by interviews of staff and inmates.</p> <p>Based on the auditors' review of grievances, the information outlined in the Florida Administrative Code, and interviews with staff and inmates both formal and informal, this standard is assessed as compliant, "meets standard".</p> <p>Evidence Relied Upon: 33-103.005 Informal Grievance - Sex Abuse Reporting 33-103.006 Formal Grievance - Sex Abuse Reporting Inmate Handbook English</p>

115.53	Inmate access to outside confidential support services
	<p data-bbox="252 170 896 203">Auditor Overall Determination: Meets Standard</p> <p data-bbox="252 248 523 282">Auditor Discussion</p> <p data-bbox="252 327 1481 831">The agency has a Memorandum of Understanding (MOU) to provide advocacy services to inmates who have been sexually victimized, with Gulf Coast Children's Advocacy Group. The facility provides inmates with access to outside victim advocates for emotional support services through Gulf Coast Children's Advocacy Group. The inmates are provided a mailing address and a 24 hours toll-free hotline number to contact Gulf Coast Children's Advocacy Group. This avenue enables the option of reasonable communication between inmates and the organization, in as confidential a manner as possible. A sexual abuse awareness brochure is also provided to the inmates. Information on how to report sexual abuse is indicated on the brochure, as well as an additional telephone (TIPS Line) in which the inmates can utilize to report. During the inmate interviews, they exhibited an understanding of the means by which they were to report, both at the facility level and externally. The majority of the inmates interviewed indicated that they felt comfortable reporting to staff at the facility.</p> <p data-bbox="252 887 1469 1043">Inmates are not detained solely for civil immigration services/purposes. Based on the above agency procedures, the services offered as outlined in the agreement, based on documented information, and based on staff and inmate interviews, this standard is assessed as "meets standard".</p> <p data-bbox="252 1099 1401 1216">Evidenced Relied Upon: Procedure 602.053 Prison Rape Prevention, Detection, and Response - Victim Advocate Support</p>

115.54	Third-party reporting
	<p data-bbox="252 1420 896 1453">Auditor Overall Determination: Meets Standard</p> <p data-bbox="252 1498 523 1532">Auditor Discussion</p> <p data-bbox="252 1576 1485 1906">FDC Rule 33-103.006 provides guidance to third party reporting, including fellow inmates, staff members, family members, attorneys and outside advocates, and how to assist inmates in filing grievances alleging sexual abuse. Third parties are also permitted to file such grievances on behalf of inmates. Additionally, the information on the agency's web site encourages third parties to report allegations. FDC website at: http://www.dc.state.fl.us/PREA was reviewed by this auditor to ensure compliance with 115.54(a) and verify that it contains information required stipulated in standard. During the interviews, inmates and staff relayed knowledge and understanding of available third party avenues.</p>

115.61	Staff and agency reporting duties
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>In accordance with a review of agency Policy 602.053 (Prison Rape: Prevention, Detection, and Response) and interviews with random staff, Sumter Correctional Institutional meets the mandate of this standard. Agency policies require all staff to immediately report and document sexual abuse and sexual harassment, including third-party and anonymous reports. Staff members are prohibited from discussing information related to sexual abuse reports with anyone other than those directly involved. Inmates are provided with information on how to report allegations of sexual abuse and/or sexual harassment upon their arrival during the intake process. Interviewed staff were also knowledgeable of their responsibility to report and document all allegations immediately to their supervisor, ranking security supervisor, warden, or assistant warden. Staff interviewed also conveyed and confirmed methods of reporting allegations of sexual abuse and/or sexual harassment privately and not sharing information reported with those who have no need to know.</p> <p>Based on the above information, and interviews with staff, inmates, volunteers, contractors, investigative staff, and based on the observation of operations, including staff knowledge of duties and responsibilities, and training at Sumter Correctional Institution the auditors assess this standard as "meets standard".</p> <p>Evidence relied upon: Procedure 602.053 Sexual Battery 33.794.027 Authorized Use of Force 33.944.35 Staff Training Curriculum</p>

115.62	Agency protection duties
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>In accordance with a review of agency Policy 602.053 Prison Rape: Prevention, Detection, and Response, staff interviews, and all relevant documentation, Sumter Correctional Institution meets the mandate of this standard. Policies and the PREA training delivered to staff outline the immediate steps that are to be taken to protect inmates with a substantial risk of sexual abuse. Interviews with staff confirmed upon awareness that an inmate has been subjected to a substantial risk of imminent sexual abuse, the inmate is immediately removed from the area of potential threat. Each case is evaluated by the facility and investigative staff based upon the nature of the report to ensure the safety of the inmate. Precautionary measures may include increased supervisory rounds as appropriate and/or inmate at risk or potential predator may be moved to another housing unit. If no other options are available, one or both of the inmates may be considered for transfer to other agency facilities. There were zero inmates identified as subjected to a substantial risk of imminent sexual abuse during the past 12 months at Sumter Correctional Institution. This standard is assessed as "meets standard".</p> <p>Evidence relied upon: Procedure 602.053 Prison Rape Prevention, Detection, and Response 33-602.220 Administrative Confinement - Protection Interviews with Staff</p>

115.63	Reporting to other confinement facilities
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>In accordance with a review of agency Policy 602.053 Prison Rape: Prevention, Detection, and Response, staff interviews, and all relevant documentation, Sumter Correctional Institution meets the mandate of this standard. Upon receiving an allegation that an inmate was sexually abused while confined at another facility, the Warden of the facility receiving the allegation shall notify the head of the facility or appropriate office of the agency where the alleged abuse occurred. This notification shall occur as soon as possible, but no later than 72 hours upon becoming aware. The facility in which the allegation of sexual abuse and/or sexual harassment occurred is responsible for ensuring the allegation is investigated in accordance with these standards. Notification is made electronically by the Warden of one institution to the Warden of the other institution.</p> <p>Based on the FDC procedure, and the review of the procedure with the Warden, and the auditors review of the facility information and response, this standard is assessed as, "meets standard."</p> <p>Evidence relied upon: Procedure 602.053 Prison Rape Prevention, Detection, and Response - Warden Notification Staff Interviews</p>

115.64	Staff first responder duties
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Agency Policy 602.053 Prison Rape: Prevention, Detection, and Response provides information detailing the duties of staff (both security and non- security) as related to responding to allegations of sexual harassment or acts of sexual abuse. All staff are considered and trained as first responders and are required to follow the instructions and guidelines as outlined. This includes the separation of the alleged victim from the alleged abuser, preservation of evidence and the crime scene and to not allow the victim or abuser to take any action that would destroy physical evidence if the alleged incident took place within a time frame that would still allow for collection of that evidence. A review of the training records indicates that staff is trained as first responders. Also, staff interviews corroborate that staff members (both security and non-security) are aware of and knowledgeable of their duties as related to the requirements of this standard. During the past 12 months there were eight (8) allegations of sexually abuse. Of these allegations, there were eight (8) instances in which the circumstances allowed for staff to separate the alleged victim and the abuser. Separation was initiated and achieved.</p> <p>Based on the above policies and procedures and interviews with staff, the auditors assess this standard as compliant, "meets standard".</p> <p>Evidence Relied Upon: Procedure 602.053 Prison Rape Prevention, Detection, and Response Interviews with Random Line Staff Interviews with Supervisory Staff</p>

115.65	Coordinated response
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Sumter Correctional Institution’s PREA Coordinated Response Plan was reviewed and the plan coordinates actions taken in response to an incident of sexual abuse among staff first responders, medical and mental health practitioners, investigators, and facility leadership. Interviews of staff supported their knowledge of this plan and of their related duties and responsibilities.</p> <p>Based on the auditors' review of the PREA Coordinated Response Plan and based on interviews with medical, mental health, security staff, the PREA Manager and the Warden, the auditors assess this standard as "meets standard".</p> <p>Evidence Relied Upon: PREA Coordinated Response Plan Interviews with Medical/Mental Health Staff Interviews with Supervisory Staff First Responders</p>

115.66	Preservation of ability to protect inmates from contact with abusers
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>The most current Collective Bargaining Agreement, dated December 2017-June 2020, does not limit the agency’s ability to remove alleged staff sexual abusers from contact with any inmates pending the outcome of an investigation or of a determination of whether and to what extent discipline is warranted.</p> <p>Evidence relied upon: F.S. Rule 110.227-Collective Bargaining Agreements</p>

115.67	Agency protection against retaliation
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Departmental procedure states that inmates and/or staff who report sexual abuse or sexual harassment shall be monitored for retaliation for a minimum of 90 days. The procedure describes specific guidelines to prohibit possible retaliation against any inmate or staff member who reported sexual abuse or sexual harassment. Staff interviews support knowledge of and adherence to the requirements of this standard. Classification staff is charged with monitoring for possible inmate retaliation and the assistant warden is charged with monitoring for possible staff retaliation. At Sumter Correctional Institution, there were no reported incidents of retaliation during the past 12 months.</p> <p>Evidence Relied Upon: Procedure 602.053 Prison Rape Prevention, Detection, and Response</p>

115.68	Post-allegation protective custody
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Procedure 602.053, Prison Rape: Prevention, Detection, and Response prohibits the placement of inmates who alleged to have suffered sexual abuse in segregated housing unless an assessment of all available alternatives has been made and there is no available means of separation from the likely abuser (for no longer than 72 hours). During the past 12 months, there were zero inmates who alleged sexual abuse who were held in involuntary segregation. The auditor reviewed segregation documentation and also toured the segregation units. No inmates were housed in the segregation units for protection from sexual abuse. The warden confirmed that placement in segregation is the final option when an inmate has alleged to have suffered sexual abuse and there is a need for immediate separation.</p> <p>The Auditor determined the facility meets the requirements of this standard.</p> <p>Evidence Relied Upon: Procedure 602.053 Prison Rape Prevention, Detection, and Response 33-602.221 Protective Management Interview with Restrictive Housing Unit Staff</p>

115.71 Criminal and administrative agency investigations

Auditor Overall Determination: Meets Standard

Auditor Discussion

The FDC Procedure 602.053, the FDC and Sumter Correctional Institution have zero tolerance for sexual abuse and sexual harassment. All allegations of sexual abuse and sexual harassment are reported to the OIG for investigative purposes. The FDC also has two Procedures 108.003 Investigative Process, and 108.015 Sexual Battery, Sexual Harassment, and Sexual Misconduct Investigations that relate to criminal and administrative agency investigations. These procedures define the investigative process, inspector, and detail specific procedures and guidelines, including "for the investigation of sexual abuse, sexual battery, sexual misconduct, staff on inmate sexual harassment or voyeurism allegations within the Department of Corrections." The procedures also direct that the Office of the Inspector General be the primary investigative unit of all sexual battery allegations occurring on Department property and be the primary investigative unit of all sexual misconduct allegations occurring on Department property. Further, the procedures address incidents on institutional grounds; inspector responsibilities; sexual abuse/sexual harassment/voyeurism; reporting investigative findings to victims; administrative investigations; use of voice stress analysis or polygraphs; PREA survey forms; records retention; and specialized training. Substantiated allegations of conduct that appear to be criminal are referred for prosecution. In this reporting period since the last PREA audit, there have been zero (0) number of substantiated allegations of conduct that appear to be criminal that were referred for prosecution. The agency retains all written reports pertaining to investigations for as long as the abuser is incarcerated or employed by the agency plus 5 years. Subsections (a) - (l) were noted and reviewed by the auditors with key staff and OIG Investigators, and assessed as compliant.

The auditors, based on the review of the FDC procedures, and interviews with the Warden, the investigators, the Institutional PREA Manager, and staff and inmates, access this standard as compliant, "meets standard".

115.72 Evidentiary standard for administrative investigations

Auditor Overall Determination: Meets Standard

Auditor Discussion

A review of agency procedure 108.015 (Sexual Battery, Sexual Harassment, and Sexual Misconduct Investigations) indicates that the agency imposes a standard of a preponderance of evidence of proof when determining whether allegations of sexual abuse or sexual harassment are substantiated. This was confirmed during the interview with the senior investigator and is also documented in the investigation training.

115.73 Reporting to inmates

Auditor Overall Determination: Meets Standard

Auditor Discussion

As outlined in Procedure 108.015 (Sexual Battery, Sexual Harassment, and Sexual Misconduct Investigations), the inmate making the allegation is required to be notified where the case made against another inmate results in an indictment and trial. He must also be notified of the outcome of this trial as well. Policy also requires that following an inmate's allegation that a staff member has committed sexual abuse against the inmate, the facility informs the inmate (unless the investigation has determined that the allegation was unfounded) whenever the employee is no longer assigned on his unit and no longer employed in the facility. The inmate is also notified if the employee was indicted or charged and goes to trial.

Evidence Relied Upon: Procedure 108.015 Procedure 602.053 Interview with Investigator

115.76 Disciplinary sanctions for staff

Auditor Overall Determination: Meets Standard

Auditor Discussion

Florida Department of Corrections Employee Handbook (page 51) informs every employee that staff will be subject to disciplinary sanctions up to and including termination for violating agency sexual abuse or sexual harassment policies. Florida State Statute 944.35 Authorized Use of Force Malicious Battery and Sexual Misconduct Prohibited: Reporting Required; Penalties (b1) defines sexual misconduct while 4c of the statute requires the dismissal of the employee who engages in sexual assault/abuse with an inmate. Section 4c requires the misconduct be reported to the Office of the Inspector General (OIG). All cases, regardless of whether the staff member resigned, are reported to law enforcement (OIG).

The facility has policies and procedures in place to ensure staff are appropriately disciplined for violations of the facility's sexual abuse and sexual harassment policies.

Evidence Relied Upon:

FS 944.35 33-208.003 Range of Disciplinary Actions

Procedure 208.039 Interview with Investigator

115.77 Corrective action for contractors and volunteers

Auditor Overall Determination: Meets Standard

Auditor Discussion

Reviewed procedures prohibit contractors or volunteers who engaged in sexual abuse to have contact with inmates and require they be reported to law enforcement agencies, unless the activity was clearly not criminal, and to relevant licensing bodies. In the past twelve months, there have been no contractors and/or volunteers reported to law enforcement for engaging in sexual abuse of inmates.

Evidence Relied Upon:

Procedure 602.053 Prison Rape Prevention, Detection and Response - Corrective Action
Contractor Volunteer

115.78 Disciplinary sanctions for inmates

Auditor Overall Determination: Meets Standard

Auditor Discussion

Rules 33-601.301 and 33-601.314 (Inmate Discipline) detail administrative sanctions for inmates guilty of sexual abuse and sexual harassment. Sumter Correctional Institution inmates are subject to disciplinary sanctions following a finding that the inmate engaged in inmate on inmate sexual abuse or following a criminal finding of guilt for inmate on inmate sexual abuse. Staff on inmate sexual activity will be subject to disciplinary action and/or criminal prosecution for the staff member. A report of sexual abuse that is made in good faith, based upon a reasonable belief that the alleged conduct occurred, shall not constitute falsely reporting an incident or lying, even if an investigation does not establish evidence sufficient to substantiate the allegation.

However, the auditor interviewed inmate (A) who wrote a confidential letter to the auditor prior to the onsite visit. Inmate (A) file a sexual harassment complaint. The complaint was investigated as a PREA incident. At the conclusion of the investigation, it was determined that the complaint was unsubstantiated. Inmate (A) was found guilty of a Disciplinary Report "Lying to Staff". The auditor determined that the disciplinary report was an act of retaliation against inmate (A) for making a PREA sexual harassment complaint. This finding was shared with the warden and his staff for corrective action. To be in compliance with this standard, the auditor recommended (1) that the disciplinary report against inmate (A) be reversed, and (2) key staff persons responsible for overseeing disciplinary process receive training to avoid acts of retaliation when a PREA complaint is determined to be unsubstantiated after the completion of an investigation.

On July 28, The auditor was informed and saw evidence that these two conditions were

In the past 12 months, there have been zero (0) number of administrative findings of inmate-on-inmate sexual abuse that have occurred at the facility. In the past 12 months, there have been zero (0) findings of guilt for inmate-on-inmate sexual abuse that have occurred at the facility. This information provided by the PAQ and discussed by the auditors with key staff.

Based on the auditors' review of PREA documentation, agency's policies and procedures, observation of the operations at Sumter Correctional Institution, and based on interviews with staff and inmates, the auditors assess this standard as compliant, "meets standard".

Evidence Relied Upon:

33-601.314 Rules of Prohibited Conduct and Penalties for Infractions

33-601.800 Close Management

33-601.301 Inmate Discipline

115.81 Medical and mental health screenings; history of sexual abuse

Auditor Overall Determination: Meets Standard

Auditor Discussion

Procedure 602.053 requires all inmates identified as high risk with a history of sexually assaultive behavior or sexual victimization be assessed by a mental health or other qualified professional within 14 days. The Auditor conducted a thorough review of policy and procedures, inmate medical records, and conducted interviews with medical and mental health practitioners, and inmates. After a thorough review the Auditor concluded the facility complies with the requirements of this standard.

Evidence Relied Upon:

602.053 Prison Rape Prevention, Detection, and Response - Mental Health Treatment

115.82 Access to emergency medical and mental health services

Auditor Overall Determination: Meets Standard

Auditor Discussion

Agency procedures require that inmates who are victims of sexual abuse be afforded immediate access to medical services, which includes forensic medical examinations and mental health services. During the onsite audit, the auditor interviewed medical and mental health staff. The interviewees stated that the aforementioned services are provided at no cost to the inmates.

Evidence Relied Upon:

Procedure 602.053 Prison Rape Prevention, Detection, and Response - Financial Cost

Procedure 401.010 CO-Payment Requirements for Inmate Medical Encounter - Financial Cost

115.83 Ongoing medical and mental health care for sexual abuse victims and abusers

Auditor Overall Determination: Meets Standard

Auditor Discussion

Agency procedure addresses all elements of the standard. Medical and mental health treatment including evaluations, on-going care, and treatment to all inmates that have been identified as victims and/or abusers are provided at no cost to the inmates and are consistent with the community level of care.

During the onsite audit, the auditor interviewed medical and mental health practitioners. They indicated that the evaluation and treatment of anyone victimized includes, as appropriate, follow-up services, treatment plans, and when necessary, referrals for continued care following their transfer to, or placement in other facilities, or their release from custody. They also stated that these treatment services are provided to victims without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident. In addition, inmate victims of sexual abuse while incarcerated are offered tests for sexually transmitted infections as medically appropriate.

Evidence Relied Upon:

Procedure 602.053 Prison Rape Prevention, Detection, and Response - Financial Cost

Procedure 401.010 CO-Payment Requirements for Inmate Medical Encounter - Financial Cost

115.86 Sexual abuse incident reviews

Auditor Overall Determination: Meets Standard

Auditor Discussion

Policy 602.053 (Prison Rape: Prevention, Detection, and Response) requires the institution to conduct a sexual abuse or sexual battery incident review within thirty (30) days of the conclusion of the investigation by completing the "Sexual Abuse Incident Review/Facility Investigation Summary," DC6-2076. The incident review team at Sumter Correctional Institution obtains input from line supervisors, investigators, and medical or mental health practitioners when needed. They are not responsible for conducting a review of any allegation that is unfounded. The review team gets input from line supervisors, investigators, and medical or mental health practitioners.

In the past 12 months, there have been zero (0) criminal and / or administrative investigations of alleged sexual abuse at Sumter Correctional Institution.

In the past 12 months, there have been zero (0) criminal and/or administrative investigations of alleged sexual abuse completed at Sumter Correctional Institution that were followed by a sexual abuse incident review within 30 days, excluding only "unfounded" incidents.

115.87 Data collection

Auditor Overall Determination: Meets Standard

Auditor Discussion

Policy 602.053 (Prison Rape: Prevention, Detection, and Response) requires uniform data be collected for every incident of sexual abuse alleged to have occurring at Sumter Correctional Institution using a standardized instrument and set of definitions. Data from this facility is sent to the PREA Coordinator where it is aggregated annually from all of the agency facilities including the agency private facilities. All available incident-based documents, including reports, investigation files, shall be maintained, reviewed, and collected as needed to complete the SSV.

Compliance based on the interview with PREA Compliance Coordinator.

115.88 Data review for corrective action

Auditor Overall Determination: Meets Standard

Auditor Discussion

Policy 602.053 (Prison Rape: Prevention, Detection, and Response) requires that Florida Department of Corrections review data collected from each of its facilities, including privates, in order to assess and improve the effectiveness of their sexual abuse prevention, detection, and response policies, procedures, and training.

It also requires that the agency identify problematic areas, taking corrective action and prepare an annual report of findings and corrective actions for each facility. The responsibility to collect, aggregate and analyze this data is the responsibility of the PREA Coordinator. During her interview she detailed her responsibilities in collecting and analyzing data and trends and producing the annual report.

115.89 Data storage, publication, and destruction

Auditor Overall Determination: Meets Standard

Auditor Discussion

Policy 602.053 (Prison Rape: Prevention, Detection, and Response) requires investigative records, including but not limited to, criminal investigations, administrative investigations, medical evaluations and treatments, recommendations of post-release treatment, and counseling's associated with allegations of sexual abuse or sexual battery shall be retained by the agency for ten (10) years after the date of the initial collection or for the incarceration period of the victim or employment of the suspect or subject, plus five (5) years, whichever is longer.

Compliance Managers are responsible for uploading all related documents to the facility's electronic retention file once a PREA case is completed. The PREA Compliance Manager confirmed this policy requirement and practice during her interview. PREA Reports and documentation is available on the agency web page <http://www.dc.state.fl.us/oth/prea/index.html>

115.401 Frequency and scope of audits

Auditor Overall Determination: Meets Standard

Auditor Discussion

The PREA auditing team was given access and the opportunity to tour and visit all areas of the facility. The auditors were given access to tour the full facility and were provided offices that ensured privacy in conducting interviews with Inmates and staff.

The inmates were permitted to send confidential information or correspondence to the auditor as all outgoing mail is sealed. The auditors received correspondence from two inmates assigned to the population.

115.403 Audit contents and findings

Auditor Overall Determination: Meets Standard

Auditor Discussion

The auditor finds Sumter C I in compliance of all PREA standards. The auditor relied upon observations of the facility operations, random interviews of staff and inmates, interviews of targeted inmates and specialized staff, review of Florida Department of Corrections policy and procedures. facility directives and publications.

Appendix: Provision Findings

115.11 (a) Zero tolerance of sexual abuse and sexual harassment; PREA coordinator

Does the agency have a written policy mandating zero tolerance toward all forms of sexual abuse and sexual harassment? yes

Does the written policy outline the agency's approach to preventing, detecting, and responding to sexual abuse and sexual harassment? yes

115.11 (b) Zero tolerance of sexual abuse and sexual harassment; PREA coordinator

Has the agency employed or designated an agency-wide PREA Coordinator? yes

Is the PREA Coordinator position in the upper-level of the agency hierarchy? yes

Does the PREA Coordinator have sufficient time and authority to develop, implement, and oversee agency efforts to comply with the PREA standards in all of its facilities? yes

115.11 (c) Zero tolerance of sexual abuse and sexual harassment; PREA coordinator

If this agency operates more than one facility, has each facility designated a PREA compliance manager? (N/A if agency operates only one facility.) yes

Does the PREA compliance manager have sufficient time and authority to coordinate the facility's efforts to comply with the PREA standards? (N/A if agency operates only one facility.) yes

115.12 (a) Contracting with other entities for the confinement of inmates

If this agency is public and it contracts for the confinement of its inmates with private agencies or other entities including other government agencies, has the agency included the entity's obligation to comply with the PREA standards in any new contract or contract renewal signed on or after August 20, 2012? (N/A if the agency does not contract with private agencies or other entities for the confinement of inmates.) yes

115.12 (b) Contracting with other entities for the confinement of inmates

Does any new contract or contract renewal signed on or after August 20, 2012 provide for agency contract monitoring to ensure that the contractor is complying with the PREA standards? (N/A if the agency does not contract with private agencies or other entities for the confinement of inmates OR the response to 115.12(a)-1 is "NO".) yes

115.13 (a) Supervision and monitoring

Does the agency ensure that each facility has developed a staffing plan that provides for adequate levels of staffing and, where applicable, video monitoring, to protect inmates against sexual abuse? yes

Does the agency ensure that each facility has documented a staffing plan that provides for adequate levels of staffing and, where applicable, video monitoring, to protect inmates against sexual abuse? yes

Does the agency ensure that each facility's staffing plan takes into consideration the generally accepted detention and correctional practices in calculating adequate staffing levels and determining the need for video monitoring? yes

Does the agency ensure that each facility's staffing plan takes into consideration any judicial findings of inadequacy in calculating adequate staffing levels and determining the need for video monitoring? yes

Does the agency ensure that each facility's staffing plan takes into consideration any findings of inadequacy from Federal investigative agencies in calculating adequate staffing levels and determining the need for video monitoring? yes

Does the agency ensure that each facility's staffing plan takes into consideration any findings of inadequacy from internal or external oversight bodies in calculating adequate staffing levels and determining the need for video monitoring? yes

Does the agency ensure that each facility's staffing plan takes into consideration all components of the facility's physical plant (including "blind-spots" or areas where staff or inmates may be isolated) in calculating adequate staffing levels and determining the need for video monitoring? yes

Does the agency ensure that each facility's staffing plan takes into consideration the composition of the inmate population in calculating adequate staffing levels and determining the need for video monitoring? yes

Does the agency ensure that each facility's staffing plan takes into yes

consideration the number and placement of supervisory staff in calculating adequate staffing levels and determining the need for video monitoring?

Does the agency ensure that each facility's staffing plan takes into consideration the institution programs occurring on a particular shift in calculating adequate staffing levels and determining the need for video monitoring? yes

Does the agency ensure that each facility's staffing plan takes into consideration any applicable State or local laws, regulations, or standards in calculating adequate staffing levels and determining the need for video monitoring? yes

Does the agency ensure that each facility's staffing plan takes into consideration the prevalence of substantiated and unsubstantiated incidents of sexual abuse in calculating adequate staffing levels and determining the need for video monitoring? yes

Does the agency ensure that each facility's staffing plan takes into consideration any other relevant factors in calculating adequate staffing levels and determining the need for video monitoring ? yes

115.13 (b) Supervision and monitoring

In circumstances where the staffing plan is not complied with, does the facility document and justify all deviations from the plan? (N/A if no deviations from staffing plan.) na

115.13 (c) Supervision and monitoring

In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The staffing plan established pursuant to paragraph (a) of this section? yes

In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The facility's deployment of video monitoring systems and other monitoring technologies? yes

In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The resources the facility has available to commit to ensure adherence to the staffing plan? yes

115.13 (d) Supervision and monitoring

Has the facility/agency implemented a policy and practice of having intermediate-level or higher-level supervisors conduct and document unannounced rounds to identify and deter staff sexual abuse and sexual harassment? yes

Is this policy and practice implemented for night shifts as well as day shifts? yes

Does the facility/agency have a policy prohibiting staff from alerting other staff members that these supervisory rounds are occurring, unless such announcement is related to the legitimate operational functions of the facility? yes

115.14 (a) Youthful inmates

Does the facility place all youthful inmates in housing units that separate them from sight, sound, and physical contact with any adult inmates through use of a shared dayroom or other common space, shower area, or sleeping quarters? (N/A if facility does not have youthful inmates (inmates <18 years old).) yes

115.14 (b) Youthful inmates

In areas outside of housing units does the agency maintain sight and sound separation between youthful inmates and adult inmates? (N/A if facility does not have youthful inmates (inmates <18 years old).) yes

In areas outside of housing units does the agency provide direct staff supervision when youthful inmates and adult inmates have sight, sound, or physical contact? (N/A if facility does not have youthful inmates (inmates <18 years old).) yes

115.14 (c) Youthful inmates

Does the agency make its best efforts to avoid placing youthful inmates in isolation to comply with this provision? (N/A if facility does not have youthful inmates (inmates <18 years old).) yes

Does the agency, while complying with this provision, allow youthful inmates daily large-muscle exercise and legally required special education services, except in exigent circumstances? (N/A if facility does not have youthful inmates (inmates <18 years old).) yes

Do youthful inmates have access to other programs and work opportunities to the extent possible? (N/A if facility does not have youthful inmates (inmates <18 years old).) yes

115.15 (a) Limits to cross-gender viewing and searches

Does the facility always refrain from conducting any cross-gender strip or cross-gender visual body cavity searches, except in exigent circumstances or by medical practitioners? yes

115.15 (b) Limits to cross-gender viewing and searches

Does the facility always refrain from conducting cross-gender pat-down searches of female inmates in non-exigent circumstances? (N/A here for facilities with less than 50 inmates before August 20,2017.) na

Does the facility always refrain from restricting female inmates' access to regularly available programming or other out-of-cell opportunities in order to comply with this provision? (N/A here for facilities with less than 50 inmates before August 20,2017.) na

115.15 (c) Limits to cross-gender viewing and searches

Does the facility document all cross-gender strip searches and cross-gender visual body cavity searches? yes

Does the facility document all cross-gender pat-down searches of female inmates? no

115.15 (d) Limits to cross-gender viewing and searches

Does the facility implement a policy and practice that enables inmates to shower, perform bodily functions, and change clothing without nonmedical staff of the opposite gender viewing their breasts, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks? yes

Does the facility require staff of the opposite gender to announce their presence when entering an inmate housing unit? yes

115.15 (e) Limits to cross-gender viewing and searches

Does the facility always refrain from searching or physically examining transgender or intersex inmates for the sole purpose of determining the inmate's genital status? yes

If an inmate's genital status is unknown, does the facility determine genital status during conversations with the inmate, by reviewing medical records, or, if necessary, by learning that information as part of a broader medical examination conducted in private by a medical practitioner? yes

115.15 (f) Limits to cross-gender viewing and searches

Does the facility/agency train security staff in how to conduct cross-gender pat down searches in a professional and respectful manner, and in the least intrusive manner possible, consistent with security needs? yes

Does the facility/agency train security staff in how to conduct searches of transgender and intersex inmates in a professional and respectful manner, and in the least intrusive manner possible, consistent with security needs? yes

115.16 (a) Inmates with disabilities and inmates who are limited English proficient

Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who are deaf or hard of hearing? yes

Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all

aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who are blind or have low vision?

Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have intellectual disabilities? yes

Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have psychiatric disabilities? yes

Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have speech disabilities? yes

Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: Other (if "other," please explain in overall determination notes.) yes

Do such steps include, when necessary, ensuring effective communication with inmates who are deaf or hard of hearing? yes

Do such steps include, when necessary, providing access to interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary? yes

Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with inmates with disabilities including inmates who: Have intellectual disabilities? yes

Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with inmates with disabilities including inmates who: Have limited reading skills? yes

Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with inmates with disabilities including inmates who: are blind or have low vision? yes

115.16 (b) Inmates with disabilities and inmates who are limited English proficient

Does the agency take reasonable steps to ensure meaningful access to all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment to inmates who are limited English proficient? yes

Do these steps include providing interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary? yes

115.16 (c) Inmates with disabilities and inmates who are limited English proficient

Does the agency always refrain from relying on inmate interpreters, inmate readers, or other types of inmate assistance except in limited circumstances where an extended delay in obtaining an effective interpreter could compromise the inmate's safety, the performance of first-response duties under §115.64, or the investigation of the inmate's allegations? yes

115.17 (a) Hiring and promotion decisions

Does the agency prohibit the hiring or promotion of anyone who may have contact with inmates who has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 U.S.C. 1997)? yes

Does the agency prohibit the hiring or promotion of anyone who may have contact with inmates who has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse? yes

Does the agency prohibit the hiring or promotion of anyone who may have contact with inmates who has been civilly or administratively adjudicated to have engaged in the activity described in the two bullets immediately above? yes

Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 U.S.C. 1997)? yes

Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse? yes

Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has been civilly or administratively adjudicated to have engaged in the activity described in the two bullets immediately above? yes

115.17 (b) Hiring and promotion decisions

Does the agency consider any incidents of sexual harassment in determining whether to hire or promote anyone, or to enlist the services of any contractor, who may have contact with inmates? yes

115.17 (c) Hiring and promotion decisions

Before hiring new employees who may have contact with inmates, does the agency: perform a criminal background records check? yes

Before hiring new employees who may have contact with inmates, does the agency: consistent with Federal, State, and local law, make its best efforts to contact all prior institutional employers for information on substantiated allegations of sexual abuse or any resignation during a pending investigation of an allegation of sexual abuse? yes

115.17 (d) Hiring and promotion decisions

Does the agency perform a criminal background records check before enlisting the services of any contractor who may have contact with inmates? yes

115.17 (e) Hiring and promotion decisions

Does the agency either conduct criminal background records checks at least every five years of current employees and contractors who may have contact with inmates or have in place a system for otherwise capturing such information for current employees? yes

115.17 (f) Hiring and promotion decisions

Does the agency ask all applicants and employees who may have contact with inmates directly about previous misconduct described in paragraph (a) of this section in written applications or interviews for hiring or promotions? yes

Does the agency ask all applicants and employees who may have contact with inmates directly about previous misconduct described in paragraph (a) of this section in any interviews or written self-evaluations conducted as part of reviews of current employees? yes

Does the agency impose upon employees a continuing affirmative duty to disclose any such misconduct? yes

115.17 (g) Hiring and promotion decisions

Does the agency consider material omissions regarding such misconduct, or the provision of materially false information, grounds for termination? yes

115.17 (h) Hiring and promotion decisions

Does the agency provide information on substantiated allegations of sexual abuse or sexual harassment involving a former employee upon receiving a request from an institutional employer for whom such employee has applied to work? (N/A if providing information on substantiated allegations of sexual abuse or sexual harassment involving a former employee is prohibited by law.) yes

115.18 (a) Upgrades to facilities and technologies

If the agency designed or acquired any new facility or planned any substantial expansion or modification of existing facilities, did the agency consider the effect of the design, acquisition, expansion, or modification upon the agency's ability to protect inmates from sexual abuse? (N/A if agency/facility has not acquired a new facility or made a substantial expansion to existing facilities since August 20, 2012, or since the last PREA audit, whichever is later.) na

115.18 (b) Upgrades to facilities and technologies

If the agency installed or updated a video monitoring system, electronic surveillance system, or other monitoring technology, did the agency consider how such technology may enhance the agency's ability to protect inmates from sexual abuse? (N/A if agency/facility has not installed or updated a video monitoring system, electronic surveillance system, or other monitoring technology since August 20, 2012, or since the last PREA audit, whichever is later.) yes

115.21 (a) Evidence protocol and forensic medical examinations

If the agency is responsible for investigating allegations of sexual abuse, does the agency follow a uniform evidence protocol that maximizes the potential for obtaining usable physical evidence for administrative proceedings and criminal prosecutions? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.) yes

115.21 (b) Evidence protocol and forensic medical examinations

Is this protocol developmentally appropriate for youth where applicable? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.) yes

Is this protocol, as appropriate, adapted from or otherwise based on the most recent edition of the U.S. Department of Justice’s Office on Violence Against Women publication, “A National Protocol for Sexual Assault Medical Forensic Examinations, Adults/Adolescents,” or similarly comprehensive and authoritative protocols developed after 2011? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.) yes

115.21 (c) Evidence protocol and forensic medical examinations

Does the agency offer all victims of sexual abuse access to forensic medical examinations, whether on-site or at an outside facility, without financial cost, where evidentiarily or medically appropriate? yes

Are such examinations performed by Sexual Assault Forensic Examiners (SAFEs) or Sexual Assault Nurse Examiners (SANEs) where possible? yes

If SAFEs or SANEs cannot be made available, is the examination performed by other qualified medical practitioners (they must have been specifically trained to conduct sexual assault forensic exams)? yes

Has the agency documented its efforts to provide SAFEs or SANEs? yes

115.21 (d)	Evidence protocol and forensic medical examinations	
	Does the agency attempt to make available to the victim a victim advocate from a rape crisis center?	yes
	If a rape crisis center is not available to provide victim advocate services, does the agency make available to provide these services a qualified staff member from a community-based organization, or a qualified agency staff member?	yes
	Has the agency documented its efforts to secure services from rape crisis centers?	yes
115.21 (e)	Evidence protocol and forensic medical examinations	
	As requested by the victim, does the victim advocate, qualified agency staff member, or qualified community-based organization staff member accompany and support the victim through the forensic medical examination process and investigatory interviews?	yes
	As requested by the victim, does this person provide emotional support, crisis intervention, information, and referrals?	yes
115.21 (f)	Evidence protocol and forensic medical examinations	
	If the agency itself is not responsible for investigating allegations of sexual abuse, has the agency requested that the investigating entity follow the requirements of paragraphs (a) through (e) of this section? (N/A if the agency/facility is responsible for conducting criminal AND administrative sexual abuse investigations.)	na
115.21 (h)	Evidence protocol and forensic medical examinations	
	If the agency uses a qualified agency staff member or a qualified community-based staff member for the purposes of this section, has the individual been screened for appropriateness to serve in this role and received education concerning sexual assault and forensic examination issues in general? (N/A if agency attempts to make a victim advocate from a rape crisis center available to victims per 115.21(d) above.)	yes

115.22 (a)	Policies to ensure referrals of allegations for investigations	
	Does the agency ensure an administrative or criminal investigation is completed for all allegations of sexual abuse?	yes
	Does the agency ensure an administrative or criminal investigation is completed for all allegations of sexual harassment?	yes
115.22 (b)	Policies to ensure referrals of allegations for investigations	
	Does the agency have a policy and practice in place to ensure that allegations of sexual abuse or sexual harassment are referred for investigation to an agency with the legal authority to conduct criminal investigations, unless the allegation does not involve potentially criminal behavior?	yes
	Has the agency published such policy on its website or, if it does not have one, made the policy available through other means?	yes
	Does the agency document all such referrals?	yes
115.22 (c)	Policies to ensure referrals of allegations for investigations	
	If a separate entity is responsible for conducting criminal investigations, does such publication describe the responsibilities of both the agency and the investigating entity? (N/A if the agency/facility is responsible for criminal investigations. See 115.21(a).)	na

115.31 (a) Employee training

Does the agency train all employees who may have contact with inmates on its zero-tolerance policy for sexual abuse and sexual harassment?	yes
Does the agency train all employees who may have contact with inmates on how to fulfill their responsibilities under agency sexual abuse and sexual harassment prevention, detection, reporting, and response policies and procedures?	yes
Does the agency train all employees who may have contact with inmates on inmates' right to be free from sexual abuse and sexual harassment?	yes
Does the agency train all employees who may have contact with inmates on the right of inmates and employees to be free from retaliation for reporting sexual abuse and sexual harassment?	yes
Does the agency train all employees who may have contact with inmates on the dynamics of sexual abuse and sexual harassment in confinement?	yes
Does the agency train all employees who may have contact with inmates on the common reactions of sexual abuse and sexual harassment victims?	yes
Does the agency train all employees who may have contact with inmates on how to detect and respond to signs of threatened and actual sexual abuse?	yes
Does the agency train all employees who may have contact with inmates on how to avoid inappropriate relationships with inmates?	yes
Does the agency train all employees who may have contact with inmates on how to communicate effectively and professionally with inmates, including lesbian, gay, bisexual, transgender, intersex, or gender nonconforming inmates?	yes
Does the agency train all employees who may have contact with inmates on how to comply with relevant laws related to mandatory reporting of sexual abuse to outside authorities?	yes

115.31 (b)	Employee training	
	Is such training tailored to the gender of the inmates at the employee's facility?	no
	Have employees received additional training if reassigned from a facility that houses only male inmates to a facility that houses only female inmates, or vice versa?	no
115.31 (c)	Employee training	
	Have all current employees who may have contact with inmates received such training?	yes
	Does the agency provide each employee with refresher training every two years to ensure that all employees know the agency's current sexual abuse and sexual harassment policies and procedures?	yes
	In years in which an employee does not receive refresher training, does the agency provide refresher information on current sexual abuse and sexual harassment policies?	yes
115.31 (d)	Employee training	
	Does the agency document, through employee signature or electronic verification, that employees understand the training they have received?	yes
115.32 (a)	Volunteer and contractor training	
	Has the agency ensured that all volunteers and contractors who have contact with inmates have been trained on their responsibilities under the agency's sexual abuse and sexual harassment prevention, detection, and response policies and procedures?	yes
115.32 (b)	Volunteer and contractor training	
	Have all volunteers and contractors who have contact with inmates been notified of the agency's zero-tolerance policy regarding sexual abuse and sexual harassment and informed how to report such incidents (the level and type of training provided to volunteers and contractors shall be based on the services they provide and level of contact they have with inmates)?	yes

115.32 (c)	Volunteer and contractor training	
	Does the agency maintain documentation confirming that volunteers and contractors understand the training they have received?	yes
115.33 (a)	Inmate education	
	During intake, do inmates receive information explaining the agency's zero-tolerance policy regarding sexual abuse and sexual harassment?	yes
	During intake, do inmates receive information explaining how to report incidents or suspicions of sexual abuse or sexual harassment?	yes
115.33 (b)	Inmate education	
	Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Their rights to be free from sexual abuse and sexual harassment?	yes
	Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Their rights to be free from retaliation for reporting such incidents?	yes
	Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Agency policies and procedures for responding to such incidents?	yes
115.33 (c)	Inmate education	
	Have all inmates received such education?	yes
	Do inmates receive education upon transfer to a different facility to the extent that the policies and procedures of the inmate's new facility differ from those of the previous facility?	yes

115.33 (d)	Inmate education	
	Does the agency provide inmate education in formats accessible to all inmates including those who are limited English proficient?	yes
	Does the agency provide inmate education in formats accessible to all inmates including those who are deaf?	yes
	Does the agency provide inmate education in formats accessible to all inmates including those who are visually impaired?	yes
	Does the agency provide inmate education in formats accessible to all inmates including those who are otherwise disabled?	yes
	Does the agency provide inmate education in formats accessible to all inmates including those who have limited reading skills?	yes

115.33 (e)	Inmate education	
	Does the agency maintain documentation of inmate participation in these education sessions?	yes

115.33 (f)	Inmate education	
	In addition to providing such education, does the agency ensure that key information is continuously and readily available or visible to inmates through posters, inmate handbooks, or other written formats?	yes

115.34 (a)	Specialized training: Investigations	
	In addition to the general training provided to all employees pursuant to §115.31, does the agency ensure that, to the extent the agency itself conducts sexual abuse investigations, its investigators have received training in conducting such investigations in confinement settings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes

115.34 (b) Specialized training: Investigations

Does this specialized training include techniques for interviewing sexual abuse victims? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).) yes

Does this specialized training include proper use of Miranda and Garrity warnings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).) yes

Does this specialized training include sexual abuse evidence collection in confinement settings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).) yes

Does this specialized training include the criteria and evidence required to substantiate a case for administrative action or prosecution referral? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).) yes

115.34 (c) Specialized training: Investigations

Does the agency maintain documentation that agency investigators have completed the required specialized training in conducting sexual abuse investigations? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).) yes

115.35 (a) Specialized training: Medical and mental health care

Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to detect and assess signs of sexual abuse and sexual harassment? yes

Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to preserve physical evidence of sexual abuse? yes

Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to respond effectively and professionally to victims of sexual abuse and sexual harassment? yes

Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how and to whom to report allegations or suspicions of sexual abuse and sexual harassment? yes

115.35 (b)	Specialized training: Medical and mental health care	
	If medical staff employed by the agency conduct forensic examinations, do such medical staff receive appropriate training to conduct such examinations? (N/A if agency medical staff at the facility do not conduct forensic exams.)	na
115.35 (c)	Specialized training: Medical and mental health care	
	Does the agency maintain documentation that medical and mental health practitioners have received the training referenced in this standard either from the agency or elsewhere?	yes
115.35 (d)	Specialized training: Medical and mental health care	
	Do medical and mental health care practitioners employed by the agency also receive training mandated for employees by §115.31?	yes
	Do medical and mental health care practitioners contracted by and volunteering for the agency also receive training mandated for contractors and volunteers by §115.32?	yes
115.41 (a)	Screening for risk of victimization and abusiveness	
	Are all inmates assessed during an intake screening for their risk of being sexually abused by other inmates or sexually abusive toward other inmates?	yes
	Are all inmates assessed upon transfer to another facility for their risk of being sexually abused by other inmates or sexually abusive toward other inmates?	yes
115.41 (b)	Screening for risk of victimization and abusiveness	
	Do intake screenings ordinarily take place within 72 hours of arrival at the facility?	yes

115.41 (c) Screening for risk of victimization and abusiveness

Are all PREA screening assessments conducted using an objective screening instrument? yes

115.41 (d) Screening for risk of victimization and abusiveness

Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (1) Whether the inmate has a mental, physical, or developmental disability?	yes
Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (2) The age of the inmate?	yes
Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (3) The physical build of the inmate?	yes
Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (4) Whether the inmate has previously been incarcerated?	yes
Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (5) Whether the inmate's criminal history is exclusively nonviolent?	yes
Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (6) Whether the inmate has prior convictions for sex offenses against an adult or child?	yes
Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (7) Whether the inmate is or is perceived to be gay, lesbian, bisexual, transgender, intersex, or gender nonconforming (the facility affirmatively asks the inmate about his/her sexual orientation and gender identity AND makes a subjective determination based on the screener's perception whether the inmate is gender non-conforming or otherwise may be perceived to be LGBTI)?	yes
Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (8) Whether the inmate has previously experienced sexual victimization?	yes
Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (9) The inmate's own perception of vulnerability?	yes
Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (10) Whether the inmate is detained solely for civil immigration purposes?	yes

115.41 (e)	Screening for risk of victimization and abusiveness	
	In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, when known to the agency: prior acts of sexual abuse?	yes
	In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, when known to the agency: prior convictions for violent offenses?	yes
	In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, when known to the agency: history of prior institutional violence or sexual abuse?	yes
 115.41 (f)	 Screening for risk of victimization and abusiveness	
	Within a set time period not more than 30 days from the inmate's arrival at the facility, does the facility reassess the inmate's risk of victimization or abusiveness based upon any additional, relevant information received by the facility since the intake screening?	yes
 115.41 (g)	 Screening for risk of victimization and abusiveness	
	Does the facility reassess an inmate's risk level when warranted due to a: Referral?	yes
	Does the facility reassess an inmate's risk level when warranted due to a: Request?	yes
	Does the facility reassess an inmate's risk level when warranted due to a: Incident of sexual abuse?	yes
	Does the facility reassess an inmate's risk level when warranted due to a: Receipt of additional information that bears on the inmate's risk of sexual victimization or abusiveness?	yes
 115.41 (h)	 Screening for risk of victimization and abusiveness	
	Is it the case that inmates are not ever disciplined for refusing to answer, or for not disclosing complete information in response to, questions asked pursuant to paragraphs (d)(1), (d)(7), (d)(8), or (d)(9) of this section?	yes

115.41 (i) Screening for risk of victimization and abusiveness

Has the agency implemented appropriate controls on the dissemination within the facility of responses to questions asked pursuant to this standard in order to ensure that sensitive information is not exploited to the inmate's detriment by staff or other inmates? yes

115.42 (a) Use of screening information

Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Housing Assignments? yes

Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Bed assignments? yes

Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Work Assignments? yes

Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Education Assignments? yes

Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Program Assignments? yes

115.42 (b) Use of screening information

Does the agency make individualized determinations about how to ensure the safety of each inmate? yes

115.42 (c)	Use of screening information	
	When deciding whether to assign a transgender or intersex inmate to a facility for male or female inmates, does the agency consider on a case-by-case basis whether a placement would ensure the inmate's health and safety, and whether a placement would present management or security problems (NOTE: if an agency by policy or practice assigns inmates to a male or female facility on the basis of anatomy alone, that agency is not in compliance with this standard)?	yes
	When making housing or other program assignments for transgender or intersex inmates, does the agency consider on a case-by-case basis whether a placement would ensure the inmate's health and safety, and whether a placement would present management or security problems?	yes
115.42 (d)	Use of screening information	
	Are placement and programming assignments for each transgender or intersex inmate reassessed at least twice each year to review any threats to safety experienced by the inmate?	yes
115.42 (e)	Use of screening information	
	Are each transgender or intersex inmate's own views with respect to his or her own safety given serious consideration when making facility and housing placement decisions and programming assignments?	yes
115.42 (f)	Use of screening information	
	Are transgender and intersex inmates given the opportunity to shower separately from other inmates?	yes

115.42 (g) Use of screening information

Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex inmates, does the agency always refrain from placing: lesbian, gay, and bisexual inmates in dedicated facilities, units, or wings solely on the basis of such identification or status? yes

Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex inmates, does the agency always refrain from placing: transgender inmates in dedicated facilities, units, or wings solely on the basis of such identification or status? yes

Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex inmates, does the agency always refrain from placing: intersex inmates in dedicated facilities, units, or wings solely on the basis of such identification or status? yes

115.43 (a) Protective Custody

Does the facility always refrain from placing inmates at high risk for sexual victimization in involuntary segregated housing unless an assessment of all available alternatives has been made, and a determination has been made that there is no available alternative means of separation from likely abusers? yes

If a facility cannot conduct such an assessment immediately, does the facility hold the inmate in involuntary segregated housing for less than 24 hours while completing the assessment? yes

115.43 (b) Protective Custody

Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Programs to the extent possible? yes

Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Privileges to the extent possible? yes

Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Education to the extent possible? yes

Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Work opportunities to the extent possible? yes

If the facility restricts access to programs, privileges, education, or work opportunities, does the facility document: The opportunities that have been limited? yes

If the facility restricts access to programs, privileges, education, or work opportunities, does the facility document: The duration of the limitation? yes

If the facility restricts access to programs, privileges, education, or work opportunities, does the facility document: The reasons for such limitations? yes

115.43 (c) Protective Custody

Does the facility assign inmates at high risk of sexual victimization to involuntary segregated housing only until an alternative means of separation from likely abusers can be arranged? yes

Does such an assignment not ordinarily exceed a period of 30 days? yes

115.43 (d) Protective Custody

If an involuntary segregated housing assignment is made pursuant to paragraph (a) of this section, does the facility clearly document: The basis for the facility's concern for the inmate's safety? yes

If an involuntary segregated housing assignment is made pursuant to paragraph (a) of this section, does the facility clearly document: The reason why no alternative means of separation can be arranged? yes

115.43 (e)	Protective Custody	In the case of each inmate who is placed in involuntary segregation because he/she is at high risk of sexual victimization, does the facility afford a review to determine whether there is a continuing need for separation from the general population EVERY 30 DAYS?	yes
115.51 (a)	Inmate reporting	Does the agency provide multiple internal ways for inmates to privately report: Sexual abuse and sexual harassment?	yes
		Does the agency provide multiple internal ways for inmates to privately report: Retaliation by other inmates or staff for reporting sexual abuse and sexual harassment?	yes
		Does the agency provide multiple internal ways for inmates to privately report: Staff neglect or violation of responsibilities that may have contributed to such incidents?	yes
115.51 (b)	Inmate reporting	Does the agency also provide at least one way for inmates to report sexual abuse or sexual harassment to a public or private entity or office that is not part of the agency?	yes
		Is that private entity or office able to receive and immediately forward inmate reports of sexual abuse and sexual harassment to agency officials?	yes
		Does that private entity or office allow the inmate to remain anonymous upon request?	yes
		Are inmates detained solely for civil immigration purposes provided information on how to contact relevant consular officials and relevant officials at the Department of Homeland Security?	no

115.51 (c)	Inmate reporting	
	Does staff accept reports of sexual abuse and sexual harassment made verbally, in writing, anonymously, and from third parties?	yes
	Does staff promptly document any verbal reports of sexual abuse and sexual harassment?	yes
115.51 (d)	Inmate reporting	
	Does the agency provide a method for staff to privately report sexual abuse and sexual harassment of inmates?	yes
115.52 (a)	Exhaustion of administrative remedies	
	Is the agency exempt from this standard? NOTE: The agency is exempt ONLY if it does not have administrative procedures to address inmate grievances regarding sexual abuse. This does not mean the agency is exempt simply because an inmate does not have to or is not ordinarily expected to submit a grievance to report sexual abuse. This means that as a matter of explicit policy, the agency does not have an administrative remedies process to address sexual abuse.	no
115.52 (b)	Exhaustion of administrative remedies	
	Does the agency permit inmates to submit a grievance regarding an allegation of sexual abuse without any type of time limits? (The agency may apply otherwise-applicable time limits to any portion of a grievance that does not allege an incident of sexual abuse.) (N/A if agency is exempt from this standard.)	yes
	Does the agency always refrain from requiring an inmate to use any informal grievance process, or to otherwise attempt to resolve with staff, an alleged incident of sexual abuse? (N/A if agency is exempt from this standard.)	yes

115.52 (c) Exhaustion of administrative remedies

Does the agency ensure that: An inmate who alleges sexual abuse may submit a grievance without submitting it to a staff member who is the subject of the complaint? (N/A if agency is exempt from this standard.)

yes

Does the agency ensure that: Such grievance is not referred to a staff member who is the subject of the complaint? (N/A if agency is exempt from this standard.)

yes

115.52 (d) Exhaustion of administrative remedies

Does the agency issue a final agency decision on the merits of any portion of a grievance alleging sexual abuse within 90 days of the initial filing of the grievance? (Computation of the 90-day time period does not include time consumed by inmates in preparing any administrative appeal.) (N/A if agency is exempt from this standard.)

yes

If the agency claims the maximum allowable extension of time to respond of up to 70 days per 115.52(d)(3) when the normal time period for response is insufficient to make an appropriate decision, does the agency notify the inmate in writing of any such extension and provide a date by which a decision will be made? (N/A if agency is exempt from this standard.)

yes

At any level of the administrative process, including the final level, if the inmate does not receive a response within the time allotted for reply, including any properly noticed extension, may an inmate consider the absence of a response to be a denial at that level? (N/A if agency is exempt from this standard.)

yes

115.52 (e) Exhaustion of administrative remedies

Are third parties, including fellow inmates, staff members, family members, attorneys, and outside advocates, permitted to assist inmates in filing requests for administrative remedies relating to allegations of sexual abuse? (N/A if agency is exempt from this standard.)

yes

Are those third parties also permitted to file such requests on behalf of inmates? (If a third party files such a request on behalf of an inmate, the facility may require as a condition of processing the request that the alleged victim agree to have the request filed on his or her behalf, and may also require the alleged victim to personally pursue any subsequent steps in the administrative remedy process.) (N/A if agency is exempt from this standard.)

yes

If the inmate declines to have the request processed on his or her behalf, does the agency document the inmate's decision? (N/A if agency is exempt from this standard.)

yes

115.52 (f) Exhaustion of administrative remedies

Has the agency established procedures for the filing of an emergency grievance alleging that an inmate is subject to a substantial risk of imminent sexual abuse? (N/A if agency is exempt from this standard.)

yes

After receiving an emergency grievance alleging an inmate is subject to a substantial risk of imminent sexual abuse, does the agency immediately forward the grievance (or any portion thereof that alleges the substantial risk of imminent sexual abuse) to a level of review at which immediate corrective action may be taken? (N/A if agency is exempt from this standard.)

yes

After receiving an emergency grievance described above, does the agency provide an initial response within 48 hours? (N/A if agency is exempt from this standard.)

yes

After receiving an emergency grievance described above, does the agency issue a final agency decision within 5 calendar days? (N/A if agency is exempt from this standard.)

yes

Does the initial response and final agency decision document the agency's determination whether the inmate is in substantial risk of imminent sexual abuse? (N/A if agency is exempt from this standard.)

yes

Does the initial response document the agency's action(s) taken in response to the emergency grievance? (N/A if agency is exempt from this standard.)

yes

Does the agency's final decision document the agency's action(s) taken in response to the emergency grievance? (N/A if agency is exempt from this standard.)

yes

115.52 (g) Exhaustion of administrative remedies

If the agency disciplines an inmate for filing a grievance related to alleged sexual abuse, does it do so ONLY where the agency demonstrates that the inmate filed the grievance in bad faith? (N/A if agency is exempt from this standard.)

yes

115.53 (a) Inmate access to outside confidential support services

Does the facility provide inmates with access to outside victim advocates for emotional support services related to sexual abuse by giving inmates mailing addresses and telephone numbers, including toll-free hotline numbers where available, of local, State, or national victim advocacy or rape crisis organizations? yes

Does the facility provide persons detained solely for civil immigration purposes mailing addresses and telephone numbers, including toll-free hotline numbers where available of local, State, or national immigrant services agencies? yes

Does the facility enable reasonable communication between inmates and these organizations and agencies, in as confidential a manner as possible? yes

115.53 (b) Inmate access to outside confidential support services

Does the facility inform inmates, prior to giving them access, of the extent to which such communications will be monitored and the extent to which reports of abuse will be forwarded to authorities in accordance with mandatory reporting laws? yes

115.53 (c) Inmate access to outside confidential support services

Does the agency maintain or attempt to enter into memoranda of understanding or other agreements with community service providers that are able to provide inmates with confidential emotional support services related to sexual abuse? yes

Does the agency maintain copies of agreements or documentation showing attempts to enter into such agreements? yes

115.54 (a) Third-party reporting

Has the agency established a method to receive third-party reports of sexual abuse and sexual harassment? yes

Has the agency distributed publicly information on how to report sexual abuse and sexual harassment on behalf of an inmate? yes

115.61 (a) Staff and agency reporting duties

Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding an incident of sexual abuse or sexual harassment that occurred in a facility, whether or not it is part of the agency? yes

Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding retaliation against inmates or staff who reported an incident of sexual abuse or sexual harassment? yes

Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding any staff neglect or violation of responsibilities that may have contributed to an incident of sexual abuse or sexual harassment or retaliation? yes

115.61 (b) Staff and agency reporting duties

Apart from reporting to designated supervisors or officials, does staff always refrain from revealing any information related to a sexual abuse report to anyone other than to the extent necessary, as specified in agency policy, to make treatment, investigation, and other security and management decisions? yes

115.61 (c) Staff and agency reporting duties

Unless otherwise precluded by Federal, State, or local law, are medical and mental health practitioners required to report sexual abuse pursuant to paragraph (a) of this section? yes

Are medical and mental health practitioners required to inform inmates of the practitioner's duty to report, and the limitations of confidentiality, at the initiation of services? yes

115.61 (d) Staff and agency reporting duties

If the alleged victim is under the age of 18 or considered a vulnerable adult under a State or local vulnerable persons statute, does the agency report the allegation to the designated State or local services agency under applicable mandatory reporting laws? yes

115.61 (e)	Staff and agency reporting duties	Does the facility report all allegations of sexual abuse and sexual harassment, including third-party and anonymous reports, to the facility's designated investigators?	yes
115.62 (a)	Agency protection duties	When the agency learns that an inmate is subject to a substantial risk of imminent sexual abuse, does it take immediate action to protect the inmate?	yes
115.63 (a)	Reporting to other confinement facilities	Upon receiving an allegation that an inmate was sexually abused while confined at another facility, does the head of the facility that received the allegation notify the head of the facility or appropriate office of the agency where the alleged abuse occurred?	yes
115.63 (b)	Reporting to other confinement facilities	Is such notification provided as soon as possible, but no later than 72 hours after receiving the allegation?	yes
115.63 (c)	Reporting to other confinement facilities	Does the agency document that it has provided such notification?	yes
115.63 (d)	Reporting to other confinement facilities	Does the facility head or agency office that receives such notification ensure that the allegation is investigated in accordance with these standards?	yes

115.64 (a) Staff first responder duties

Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Separate the alleged victim and abuser? yes

Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Preserve and protect any crime scene until appropriate steps can be taken to collect any evidence? yes

Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Request that the alleged victim not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating, if the abuse occurred within a time period that still allows for the collection of physical evidence? yes

Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Ensure that the alleged abuser does not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating, if the abuse occurred within a time period that still allows for the collection of physical evidence? yes

115.64 (b) Staff first responder duties

If the first staff responder is not a security staff member, is the responder required to request that the alleged victim not take any actions that could destroy physical evidence, and then notify security staff? yes

115.65 (a) Coordinated response

Has the facility developed a written institutional plan to coordinate actions among staff first responders, medical and mental health practitioners, investigators, and facility leadership taken in response to an incident of sexual abuse? yes

115.66 (a) Preservation of ability to protect inmates from contact with abusers

Are both the agency and any other governmental entities responsible for collective bargaining on the agency's behalf prohibited from entering into or renewing any collective bargaining agreement or other agreement that limit the agency's ability to remove alleged staff sexual abusers from contact with any inmates pending the outcome of an investigation or of a determination of whether and to what extent discipline is warranted? yes

115.67 (a) Agency protection against retaliation

Has the agency established a policy to protect all inmates and staff who report sexual abuse or sexual harassment or cooperate with sexual abuse or sexual harassment investigations from retaliation by other inmates or staff? yes

Has the agency designated which staff members or departments are charged with monitoring retaliation? yes

115.67 (b) Agency protection against retaliation

Does the agency employ multiple protection measures, such as housing changes or transfers for inmate victims or abusers, removal of alleged staff or inmate abusers from contact with victims, and emotional support services for inmates or staff who fear retaliation for reporting sexual abuse or sexual harassment or for cooperating with investigations? yes

115.67 (c) Agency protection against retaliation

Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor the conduct and treatment of inmates or staff who reported the sexual abuse to see if there are changes that may suggest possible retaliation by inmates or staff? yes

Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor the conduct and treatment of inmates who were reported to have suffered sexual abuse to see if there are changes that may suggest possible retaliation by inmates or staff? yes

Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Act promptly to remedy any such retaliation? yes

Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor any inmate disciplinary reports? yes

Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor inmate housing changes? yes

Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor inmate program changes? yes

Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor negative performance reviews of staff? yes

Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor reassignments of staff? yes

Does the agency continue such monitoring beyond 90 days if the initial monitoring indicates a continuing need? yes

115.67 (d) Agency protection against retaliation

In the case of inmates, does such monitoring also include periodic status checks? yes

115.67 (e)	Agency protection against retaliation	If any other individual who cooperates with an investigation expresses a fear of retaliation, does the agency take appropriate measures to protect that individual against retaliation?	yes
115.68 (a)	Post-allegation protective custody	Is any and all use of segregated housing to protect an inmate who is alleged to have suffered sexual abuse subject to the requirements of § 115.43?	yes
115.71 (a)	Criminal and administrative agency investigations	When the agency conducts its own investigations into allegations of sexual abuse and sexual harassment, does it do so promptly, thoroughly, and objectively? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations. See 115.21(a).)	yes
		Does the agency conduct such investigations for all allegations, including third party and anonymous reports? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations. See 115.21(a).)	yes
115.71 (b)	Criminal and administrative agency investigations	Where sexual abuse is alleged, does the agency use investigators who have received specialized training in sexual abuse investigations as required by 115.34?	yes
115.71 (c)	Criminal and administrative agency investigations	Do investigators gather and preserve direct and circumstantial evidence, including any available physical and DNA evidence and any available electronic monitoring data?	yes
		Do investigators interview alleged victims, suspected perpetrators, and witnesses?	yes
		Do investigators review prior reports and complaints of sexual abuse involving the suspected perpetrator?	yes

- 115.71 (d) Criminal and administrative agency investigations**
- When the quality of evidence appears to support criminal prosecution, does the agency conduct compelled interviews only after consulting with prosecutors as to whether compelled interviews may be an obstacle for subsequent criminal prosecution? yes
- 115.71 (e) Criminal and administrative agency investigations**
- Do agency investigators assess the credibility of an alleged victim, suspect, or witness on an individual basis and not on the basis of that individual's status as inmate or staff? yes
- Does the agency investigate allegations of sexual abuse without requiring an inmate who alleges sexual abuse to submit to a polygraph examination or other truth-telling device as a condition for proceeding? yes
- 115.71 (f) Criminal and administrative agency investigations**
- Do administrative investigations include an effort to determine whether staff actions or failures to act contributed to the abuse? yes
- Are administrative investigations documented in written reports that include a description of the physical evidence and testimonial evidence, the reasoning behind credibility assessments, and investigative facts and findings? yes
- 115.71 (g) Criminal and administrative agency investigations**
- Are criminal investigations documented in a written report that contains a thorough description of the physical, testimonial, and documentary evidence and attaches copies of all documentary evidence where feasible? yes
- 115.71 (h) Criminal and administrative agency investigations**
- Are all substantiated allegations of conduct that appears to be criminal referred for prosecution? yes

- 115.71 (i) Criminal and administrative agency investigations**
- Does the agency retain all written reports referenced in 115.71(f) and (g) for as long as the alleged abuser is incarcerated or employed by the agency, plus five years? yes
- 115.71 (j) Criminal and administrative agency investigations**
- Does the agency ensure that the departure of an alleged abuser or victim from the employment or control of the agency does not provide a basis for terminating an investigation? yes
- 115.71 (l) Criminal and administrative agency investigations**
- When an outside entity investigates sexual abuse, does the facility cooperate with outside investigators and endeavor to remain informed about the progress of the investigation? (N/A if an outside agency does not conduct administrative or criminal sexual abuse investigations. See 115.21(a).) yes
- 115.72 (a) Evidentiary standard for administrative investigations**
- Is it true that the agency does not impose a standard higher than a preponderance of the evidence in determining whether allegations of sexual abuse or sexual harassment are substantiated? yes
- 115.73 (a) Reporting to inmates**
- Following an investigation into an inmate's allegation that he or she suffered sexual abuse in an agency facility, does the agency inform the inmate as to whether the allegation has been determined to be substantiated, unsubstantiated, or unfounded? yes
- 115.73 (b) Reporting to inmates**
- If the agency did not conduct the investigation into an inmate's allegation of sexual abuse in an agency facility, does the agency request the relevant information from the investigative agency in order to inform the inmate? (N/A if the agency/facility is responsible for conducting administrative and criminal investigations.) na

115.73 (c) Reporting to inmates

Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the inmate has been released from custody, does the agency subsequently inform the resident whenever: The staff member is no longer posted within the inmate's unit? yes

Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The staff member is no longer employed at the facility? yes

Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The agency learns that the staff member has been indicted on a charge related to sexual abuse in the facility? yes

Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The agency learns that the staff member has been convicted on a charge related to sexual abuse within the facility? yes

115.73 (d) Reporting to inmates

Following an inmate's allegation that he or she has been sexually abused by another inmate, does the agency subsequently inform the alleged victim whenever: The agency learns that the alleged abuser has been indicted on a charge related to sexual abuse within the facility? yes

Following an inmate's allegation that he or she has been sexually abused by another inmate, does the agency subsequently inform the alleged victim whenever: The agency learns that the alleged abuser has been convicted on a charge related to sexual abuse within the facility? yes

115.73 (e)	Reporting to inmates	Does the agency document all such notifications or attempted notifications?	yes
115.76 (a)	Disciplinary sanctions for staff	Are staff subject to disciplinary sanctions up to and including termination for violating agency sexual abuse or sexual harassment policies?	yes
115.76 (b)	Disciplinary sanctions for staff	Is termination the presumptive disciplinary sanction for staff who have engaged in sexual abuse?	yes
115.76 (c)	Disciplinary sanctions for staff	Are disciplinary sanctions for violations of agency policies relating to sexual abuse or sexual harassment (other than actually engaging in sexual abuse) commensurate with the nature and circumstances of the acts committed, the staff member's disciplinary history, and the sanctions imposed for comparable offenses by other staff with similar histories?	yes
115.76 (d)	Disciplinary sanctions for staff	Are all terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, reported to: Law enforcement agencies(unless the activity was clearly not criminal)?	yes
		Are all terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, reported to: Relevant licensing bodies?	yes

115.77 (a)	Corrective action for contractors and volunteers	
	Is any contractor or volunteer who engages in sexual abuse prohibited from contact with inmates?	yes
	Is any contractor or volunteer who engages in sexual abuse reported to: Law enforcement agencies (unless the activity was clearly not criminal)?	yes
	Is any contractor or volunteer who engages in sexual abuse reported to: Relevant licensing bodies?	yes
 115.77 (b)	 Corrective action for contractors and volunteers	
	In the case of any other violation of agency sexual abuse or sexual harassment policies by a contractor or volunteer, does the facility take appropriate remedial measures, and consider whether to prohibit further contact with inmates?	yes
 115.78 (a)	 Disciplinary sanctions for inmates	
	Following an administrative finding that an inmate engaged in inmate-on-inmate sexual abuse, or following a criminal finding of guilt for inmate-on-inmate sexual abuse, are inmates subject to disciplinary sanctions pursuant to a formal disciplinary process?	yes
 115.78 (b)	 Disciplinary sanctions for inmates	
	Are sanctions commensurate with the nature and circumstances of the abuse committed, the inmate's disciplinary history, and the sanctions imposed for comparable offenses by other inmates with similar histories?	yes
 115.78 (c)	 Disciplinary sanctions for inmates	
	When determining what types of sanction, if any, should be imposed, does the disciplinary process consider whether an inmate's mental disabilities or mental illness contributed to his or her behavior?	yes

- 115.78 (d) Disciplinary sanctions for inmates**
- If the facility offers therapy, counseling, or other interventions designed to address and correct underlying reasons or motivations for the abuse, does the facility consider whether to require the offending inmate to participate in such interventions as a condition of access to programming and other benefits? yes
- 115.78 (e) Disciplinary sanctions for inmates**
- Does the agency discipline an inmate for sexual contact with staff only upon a finding that the staff member did not consent to such contact? yes
- 115.78 (f) Disciplinary sanctions for inmates**
- For the purpose of disciplinary action does a report of sexual abuse made in good faith based upon a reasonable belief that the alleged conduct occurred NOT constitute falsely reporting an incident or lying, even if an investigation does not establish evidence sufficient to substantiate the allegation? yes
- 115.78 (g) Disciplinary sanctions for inmates**
- Does the agency always refrain from considering non-coercive sexual activity between inmates to be sexual abuse? (N/A if the agency does not prohibit all sexual activity between inmates.) yes
- 115.81 (a) Medical and mental health screenings; history of sexual abuse**
- If the screening pursuant to § 115.41 indicates that a prison inmate has experienced prior sexual victimization, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a medical or mental health practitioner within 14 days of the intake screening? yes

115.81 (b) Medical and mental health screenings; history of sexual abuse

If the screening pursuant to § 115.41 indicates that a prison inmate has previously perpetrated sexual abuse, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a mental health practitioner within 14 days of the intake screening? (N/A if the facility is not a prison.)

yes

115.81 (c) Medical and mental health screenings; history of sexual abuse

If the screening pursuant to § 115.41 indicates that a jail inmate has experienced prior sexual victimization, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a medical or mental health practitioner within 14 days of the intake screening?

yes

115.81 (d) Medical and mental health screenings; history of sexual abuse

Is any information related to sexual victimization or abusiveness that occurred in an institutional setting strictly limited to medical and mental health practitioners and other staff as necessary to inform treatment plans and security management decisions, including housing, bed, work, education, and program assignments, or as otherwise required by Federal, State, or local law?

yes

115.81 (e) Medical and mental health screenings; history of sexual abuse

Do medical and mental health practitioners obtain informed consent from inmates before reporting information about prior sexual victimization that did not occur in an institutional setting, unless the inmate is under the age of 18?

yes

115.82 (a) Access to emergency medical and mental health services

Do inmate victims of sexual abuse receive timely, unimpeded access to emergency medical treatment and crisis intervention services, the nature and scope of which are determined by medical and mental health practitioners according to their professional judgment?

yes

115.82 (b) Access to emergency medical and mental health services

If no qualified medical or mental health practitioners are on duty at the time a report of recent sexual abuse is made, do security staff first responders take preliminary steps to protect the victim pursuant to § 115.62? yes

Do security staff first responders immediately notify the appropriate medical and mental health practitioners? yes

115.82 (c) Access to emergency medical and mental health services

Are inmate victims of sexual abuse offered timely information about and timely access to emergency contraception and sexually transmitted infections prophylaxis, in accordance with professionally accepted standards of care, where medically appropriate? yes

115.82 (d) Access to emergency medical and mental health services

Are treatment services provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident? yes

115.83 (a) Ongoing medical and mental health care for sexual abuse victims and abusers

Does the facility offer medical and mental health evaluation and, as appropriate, treatment to all inmates who have been victimized by sexual abuse in any prison, jail, lockup, or juvenile facility? yes

115.83 (b) Ongoing medical and mental health care for sexual abuse victims and abusers

Does the evaluation and treatment of such victims include, as appropriate, follow-up services, treatment plans, and, when necessary, referrals for continued care following their transfer to, or placement in, other facilities, or their release from custody? yes

115.83 (h) Ongoing medical and mental health care for sexual abuse victims and abusers

If the facility is a prison, does it attempt to conduct a mental health evaluation of all known inmate-on-inmate abusers within 60 days of learning of such abuse history and offer treatment when deemed appropriate by mental health practitioners? (NA if the facility is a jail.)

yes

115.86 (a) Sexual abuse incident reviews

Does the facility conduct a sexual abuse incident review at the conclusion of every sexual abuse investigation, including where the allegation has not been substantiated, unless the allegation has been determined to be unfounded?

yes

115.86 (b) Sexual abuse incident reviews

Does such review ordinarily occur within 30 days of the conclusion of the investigation?

yes

115.86 (c) Sexual abuse incident reviews

Does the review team include upper-level management officials, with input from line supervisors, investigators, and medical or mental health practitioners?

yes

115.86 (d)	Sexual abuse incident reviews	
	Does the review team: Consider whether the allegation or investigation indicates a need to change policy or practice to better prevent, detect, or respond to sexual abuse?	yes
	Does the review team: Consider whether the incident or allegation was motivated by race; ethnicity; gender identity; lesbian, gay, bisexual, transgender, or intersex identification, status, or perceived status; gang affiliation; or other group dynamics at the facility?	yes
	Does the review team: Examine the area in the facility where the incident allegedly occurred to assess whether physical barriers in the area may enable abuse?	yes
	Does the review team: Assess the adequacy of staffing levels in that area during different shifts?	yes
	Does the review team: Assess whether monitoring technology should be deployed or augmented to supplement supervision by staff?	yes
	Does the review team: Prepare a report of its findings, including but not necessarily limited to determinations made pursuant to §§ 115.86(d)(1)-(d)(5), and any recommendations for improvement and submit such report to the facility head and PREA compliance manager?	yes
115.86 (e)	Sexual abuse incident reviews	
	Does the facility implement the recommendations for improvement, or document its reasons for not doing so?	yes
115.87 (a)	Data collection	
	Does the agency collect accurate, uniform data for every allegation of sexual abuse at facilities under its direct control using a standardized instrument and set of definitions?	yes
115.87 (b)	Data collection	
	Does the agency aggregate the incident-based sexual abuse data at least annually?	yes

115.87 (c)	Data collection	Does the incident-based data include, at a minimum, the data necessary to answer all questions from the most recent version of the Survey of Sexual Violence conducted by the Department of Justice?	yes
115.87 (d)	Data collection	Does the agency maintain, review, and collect data as needed from all available incident-based documents, including reports, investigation files, and sexual abuse incident reviews?	yes
115.87 (e)	Data collection	Does the agency also obtain incident-based and aggregated data from every private facility with which it contracts for the confinement of its inmates? (N/A if agency does not contract for the confinement of its inmates.)	yes
115.87 (f)	Data collection	Does the agency, upon request, provide all such data from the previous calendar year to the Department of Justice no later than June 30? (N/A if DOJ has not requested agency data.)	na
115.88 (a)	Data review for corrective action	Does the agency review data collected and aggregated pursuant to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Identifying problem areas?	yes
		Does the agency review data collected and aggregated pursuant to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Taking corrective action on an ongoing basis?	yes
		Does the agency review data collected and aggregated pursuant to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Preparing an annual report of its findings and corrective actions for each facility, as well as the agency as a whole?	yes

115.88 (b)	Data review for corrective action	Does the agency's annual report include a comparison of the current year's data and corrective actions with those from prior years and provide an assessment of the agency's progress in addressing sexual abuse?	yes
115.88 (c)	Data review for corrective action	Is the agency's annual report approved by the agency head and made readily available to the public through its website or, if it does not have one, through other means?	yes
115.88 (d)	Data review for corrective action	Does the agency indicate the nature of the material redacted where it redacts specific material from the reports when publication would present a clear and specific threat to the safety and security of a facility?	no
115.89 (a)	Data storage, publication, and destruction	Does the agency ensure that data collected pursuant to § 115.87 are securely retained?	yes
115.89 (b)	Data storage, publication, and destruction	Does the agency make all aggregated sexual abuse data, from facilities under its direct control and private facilities with which it contracts, readily available to the public at least annually through its website or, if it does not have one, through other means?	yes
115.89 (c)	Data storage, publication, and destruction	Does the agency remove all personal identifiers before making aggregated sexual abuse data publicly available?	yes

115.89 (d) Data storage, publication, and destruction

Does the agency maintain sexual abuse data collected pursuant to § 115.87 for at least 10 years after the date of the initial collection, unless Federal, State, or local law requires otherwise? yes

115.401 (a) Frequency and scope of audits

During the prior three-year audit period, did the agency ensure that each facility operated by the agency, or by a private organization on behalf of the agency, was audited at least once? (Note: The response here is purely informational. A "no" response does not impact overall compliance with this standard.) yes

115.401 (b) Frequency and scope of audits

Is this the first year of the current audit cycle? (Note: a "no" response does not impact overall compliance with this standard.) no

If this is the second year of the current audit cycle, did the agency ensure that at least one-third of each facility type operated by the agency, or by a private organization on behalf of the agency, was audited during the first year of the current audit cycle? (N/A if this is not the second year of the current audit cycle.) na

If this is the third year of the current audit cycle, did the agency ensure that at least two-thirds of each facility type operated by the agency, or by a private organization on behalf of the agency, were audited during the first two years of the current audit cycle? (N/A if this is not the third year of the current audit cycle.) yes

115.401 (h) Frequency and scope of audits

Did the auditor have access to, and the ability to observe, all areas of the audited facility? yes

115.401 (i) Frequency and scope of audits

Was the auditor permitted to request and receive copies of any relevant documents (including electronically stored information)? yes

115.401 (m) Frequency and scope of audits

Was the auditor permitted to conduct private interviews with inmates, residents, and detainees? yes

115.401 (n) Frequency and scope of audits

Were inmates permitted to send confidential information or correspondence to the auditor in the same manner as if they were communicating with legal counsel? yes

115.403 (f) Audit contents and findings

The agency has published on its agency website, if it has one, or has otherwise made publicly available, all Final Audit Reports within 90 days of issuance by auditor. The review period is for prior audits completed during the past three years PRECEDING THIS AGENCY AUDIT. In the case of single facility agencies, the auditor shall ensure that the facility's last audit report was published. The pendency of any agency appeal pursuant to 28 C.F.R. § 115.405 does not excuse noncompliance with this provision. (N/A if there have been no Final Audit Reports issued in the past three years, or in the case of single facility agencies that there has never been a Final Audit Report issued.) yes