

# PREA Facility Audit Report: Final

**Name of Facility:** Hernando Correctional Institution

**Facility Type:** Prison / Jail

**Date Interim Report Submitted:** NA

**Date Final Report Submitted:** 12/02/2019

Auditor Certification	
The contents of this report are accurate to the best of my knowledge.	<input checked="" type="checkbox"/>
No conflict of interest exists with respect to my ability to conduct an audit of the agency under review.	<input checked="" type="checkbox"/>
I have not included in the final report any personally identifiable information (PII) about any inmate/resident/detainee or staff member, except where the names of administrative personnel are specifically requested in the report template.	<input checked="" type="checkbox"/>
<b>Auditor Full Name as Signed:</b> Noelda Martinez	<b>Date of Signature:</b> 12/02/2019

AUDITOR INFORMATION	
<b>Auditor name:</b>	Martinez, Noelda
<b>Address:</b>	
<b>Email:</b>	noelda@preaauditing.com
<b>Telephone number:</b>	
<b>Start Date of On-Site Audit:</b>	10/14/2019
<b>End Date of On-Site Audit:</b>	10/15/2019

<b>FACILITY INFORMATION</b>	
<b>Facility name:</b>	Hernando Correctional Institution
<b>Facility physical address:</b>	16415 Spring Hill Drive, Brooksville, Florida - 34604
<b>Facility Phone</b>	
<b>Facility mailing address:</b>	

<b>Primary Contact</b>	
<b>Name:</b>	Emilie Keeling
<b>Email Address:</b>	Emilie.Keeling@fdc.myflorida.com
<b>Telephone Number:</b>	352) 754-2225

<b>Warden/Jail Administrator/Sheriff/Director</b>	
<b>Name:</b>	Edward Griffin
<b>Email Address:</b>	Edward.Griffin@fdc.myflorida.com
<b>Telephone Number:</b>	352)754-2163

<b>Facility PREA Compliance Manager</b>	
<b>Name:</b>	Emilie Keeling
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<b>Facility Health Service Administrator On-site</b>	
<b>Name:</b>	Melissa O'Neal
<b>Email Address:</b>	O'Neal, Melissa
<b>Telephone Number:</b>	352) 754-2195

<b>Facility Characteristics</b>	
<b>Designed facility capacity:</b>	470
<b>Current population of facility:</b>	465
<b>Average daily population for the past 12 months:</b>	456
<b>Has the facility been over capacity at any point in the past 12 months?</b>	No
<b>Which population(s) does the facility hold?</b>	
<b>Age range of population:</b>	22-75
<b>Facility security levels/inmate custody levels:</b>	Community -Minimum, - Medium -Close
<b>Does the facility hold youthful inmates?</b>	No
<b>Number of staff currently employed at the facility who may have contact with inmates:</b>	132
<b>Number of individual contractors who have contact with inmates, currently authorized to enter the facility:</b>	21
<b>Number of volunteers who have contact with inmates, currently authorized to enter the facility:</b>	529

AGENCY INFORMATION	
<b>Name of agency:</b>	Florida Department of Corrections
<b>Governing authority or parent agency (if applicable):</b>	
<b>Physical Address:</b>	501 S Calhoun Street, Tallahassee, Florida - 32399
<b>Mailing Address:</b>	
<b>Telephone number:</b>	850-717-3498

Agency Chief Executive Officer Information:	
<b>Name:</b>	Mark Inch
<b>Email Address:</b>	Mark.Inch@fdc.myflorida.com
<b>Telephone Number:</b>	

Agency-Wide PREA Coordinator Information			
<b>Name:</b>	Judy Cardinez	<b>Email Address:</b>	Judy.Cardinez@fdc.myflorida.com

## AUDIT FINDINGS

### **Narrative:**

The auditor's description of the audit methodology should include a detailed description of the following processes during the pre-audit, on-site audit, and post-audit phases: documents and files reviewed, discussions and types of interviews conducted, number of days spent on-site, observations made during the site-review, and a detailed description of any follow-up work conducted during the post-audit phase. The narrative should describe the techniques the auditor used to sample documentation and select interviewees, and the auditor's process for the site review.

The number of Standards Exceeded: 7

- §115.11 - Zero tolerance of sexual abuse and sexual harassment; PREA coordinator
- §115.15 – Limits to Cross-Gender Viewing and Searches
- §115.17 – Hiring and Promotion Decisions
- §115.32 – Volunteer and Contractor Training
- §115.41 – Screening for Risk of Victimization and Abusiveness
- §115.51 – Inmate Reporting
- §115.53 – Inmate Access to Outside Confidential Support Services

Number of Standards Met: 38

- §115.12 - Contracting with other entities for the confinement of inmates
- §115.13 – Supervision and Monitoring
- §115.14 – Youthful Inmates
- §115.16 – Inmates with Disabilities and Inmates who are Limited English Proficient
- §115.18 – Upgrades to Facilities and Technology
- §115.21 – Evidence Protocol and Forensic Medical Examinations
- §115.22 – Policies to Ensure Referrals of Allegations for Investigations
- §115.31 – Employee Training
- §115.33 – Inmate Education
- §115.34 – Specialized Training: Investigations
- §115.35 – Specialized training: Medical and mental health care
- §115.42 – Use of Screening Information
- §115.43 – Protective Custody
- §115.52 – Exhaustion of Administrative Remedies
- §115.54 – Third-Party Reporting
- §115.61 – Staff and Agency Reporting Duties
- §115.62 – Agency Protection Duties
- §115.63 – Reporting to Other Confinement Facilities
- §115.64 – Staff First Responder Duties
- §115.65 – Coordinated Response
- §115.66 – Preservation of ability to protect inmates from contact with abusers
- §115.68 – Post-Allegation Protective Custody
- §115.71 – Criminal and Administrative Agency Investigations
- §115.72 – Evidentiary Standard for Administrative Investigations
- §115.73 – Reporting to Inmate
- §115.76 – Disciplinary sanctions for staff

- §115.77 – Corrective action for contractors and volunteers
- §115.78 – Disciplinary sanctions for inmates
- §115.81 – Medical and mental health screenings; the history of sexual abuse
- §115.82 – Access to emergency medical and mental health services
- §115.83 – Ongoing medical and mental health care for sexual abuse victims and abusers
- §115.86 – Sexual abuse incident reviews
- §115.87 – Data Collection
- §115.88 – Data Review for Corrective Action
- §115.89 – Data Storage, Publication, and Destruction
- §115.401 – Frequency & Scope of Audits
- §115.403-Audit contents and findings

Standards "Not Meet": 0

## AUDIT FINDINGS

### Facility Characteristics:

The auditor's description of the audited facility should include details about the facility type, demographics and size of the inmate or resident population, numbers and type of staff positions, configuration and layout of the facility, numbers of housing units, description of housing units including any special housing units, a description of programs and services, including food service and recreation. The auditor should describe how these details are relevant to PREA implementation and compliance.

The Prison Rape Elimination Act (PREA) re-certification audit for Hernando Correctional Institution, Florida Department of Corrections (FDC) in Hernando, Florida was conducted on October 14-15, 2019, to determine continued compliance of the Prison Rape Elimination Act Standards. The audit was conducted by Noelda Martinez, United States Department of Justice Prison Rape Elimination Act Certified Auditor. The auditor conducted the audit through a third-party entity as a contractor and is personally accountable for complying with the DOJ certification requirements and audit findings.

The agency contract was secured through a third-party entity, PREA Auditors of America and not directly by the auditor herself. The contract described the specific work required according to the DOJ standards and PREA audit handbook to include the pre-audit, onsite audit, and post-audit. The third-party contract was signed by the auditor in 2019. The third-party contract assigns the auditors after the contract was contractor executed and identifies the lead auditors' responsibilities. The previous PREA audit was conducted on October 17-18, 2016 by Hubert L "Buddy" Kent.

The Florida Department of Corrections elected to use the Online Audit System. The FDC and the PAOA agreed to conduct this audit using the OAS, the requests for access were conducted by the FDC PREA Coordinator then forwarded the information to the auditor. The auditor received an email invitation to access the system. The OAS system provides the auditor with the pre-audit questionnaire and supporting documentation. The FDC was prepared before receiving the audit information by utilizing the Online Audit System. The auditor requested additional information required in the PREA auditor handbook. The auditor received the additional requested information within days of the request. The PAQ and additional audit information were expedited promptly allowing follow-up questions & additional documentation as needed.

**Audit Methodology (Pre-Onsite Audit Phase):** The auditor utilized the Online Audit System (OAS) which included the pre-audit questionnaire, and auditor compliance tool. The audit utilized the instructions for the PREA audit tour, interview protocols: agency head or designee, warden or designee, PREA compliance manager/contractor, specialized staff, random staff, and inmates. The auditor also used the PREA auditor handbook for continued guidance, audit report template, process map and checklist of documents. The auditor contacted the Senior Warden before the audit to offer any assistance needed by the facility. The auditor established a positive working relationship with the Senior Warden and key facility staff engaging in a productive working atmosphere. The Senior Warden was receptive and ready to engage in dialogue and discussions regarding the standards. It was explained to the Warden and staff about the importance to have unfettered access to all areas of the facility, file review of personnel contractors, volunteers, and inmates to include a variety of sensitive and confidential documentation and information referencing standard 115.401 (PREA Auditor Handbook pg. 32 & 37).

The warden understood the importance of the audit process and review and with no hesitation and provided all access to the auditor. The auditor explained the 30-day interim report if corrective action was

required and the 180-day corrective action timeframe if needed. The auditor explained to the warden the 45-day time frame for the submission of the final PREA report. The auditor also notified the Warden and staff of her responsibilities and expectations as an auditor and the agency's right to report any violation of the auditors' code of conduct to the PREA resource center. The auditor did not encounter any difficulties while completing any portion of the audit. The facility provided the auditor with unfettered access to areas requested by the auditor to include chemical, electrical and janitor closets.

There was no pressure during the audit or prohibited access by the facility administration during the site review, the facility administration was transparent with policies, procedures, inmate and staff interviews. The employees displayed professionalism and provided any information requested by the auditor with no hesitation. Good communication was maintained throughout the audit with the FDC PREA Coordinator, Correctional Services Consultants, Senior Warden, and PREA Compliance Manager. The auditor did not receive correspondence from inmates assigned to the facility before the audit. During the random inmate interviews, the auditor asked the inmates if they were aware of the Audit Notice with the auditors' information, and the responses were "yes". During the site review, the auditor randomly asked inmates if they could point out the auditors posted information to ensure it was made available. The information was posted for the inmate population. Correspondence: The facility posted the notice of audit with the auditor information six to eight weeks before the audit in both English and Spanish for inmates to send confidential information or correspondence to the auditor. The auditor verified that the inmates could write the auditor in a confidential manner marked as legal mail. The auditor did not receive inmate correspondence from the HERNANDO Correctional Institution. The notices were posted throughout the facility to include visitation park, housing units, restrictive housing, to include large signs for inmates with disabilities. The auditor verified the audit notice during the site review and through random inmate interviews. The warden and auditor discussed information regarding the 90-day appeal process. The warden was interviewed and stated that the facility was not under any litigation, and or federal consent decree. The auditor conducted internet research regarding the facility with the following website links and information.

<https://prisoninsight.com/.../state/florida/hernando-correctional-institution>

<https://www.baynews9.com/fl/tampa/news/2018/07/03/...>

The audit notice was posted six weeks before the audit and observed by the auditor in the Locations observed: administration building, gate 4/5, medical/security building, inmate canteen, volleyball court, basketball court, adult recreation pavilion, B-dormitory, programs building, library, laundry, food service, staff dining, another laundry area, holding cells, confinement, C-dormitory, programs annex, inside grounds shop, multi-purpose building, chapel and maintenance department.

The auditor observed the zero-tolerance signs posted in all inmate housing units in both English and Spanish with the following information for sexual abuse and sexual harassment. The facility displayed good correctional practices and provided privacy with excellent coverage for the offender population.

The Florida Department of Corrections offers multiple ways to report sexual abuse and sexual harassment. Reports can be made verbally, in writing, anonymously and via a third party.

Below are the methods available to report: \* call an outside entity (Gulf Coast Children's Advocacy Center) to report by dialing 1-850-832-3905, \* call the TIPS line by dialing 1-866-246-4412, \* report to any staff member, volunteer or contractor, including medical and mental health care staff, \*submit a grievance or an inmate request, \*report to the facility's PREA compliance manager (the Assistant Warden

of Programs), \*tell a family member, friend, legal counsel, or anyone else outside the facility. They can report on your behalf through a third-party grievance, through the online citizen's complaint form or by contacting the PREA office at [PREA@fdc.myflorida.com](mailto:PREA@fdc.myflorida.com). You can also submit a report on someone's behalf, or someone at the facility can report for you using the methods listed above.

Victim Support Services: Suncoast Community Release Center has partnered with Suncoast Center Inc. to provide survivors of sexual abuse with emotional support services. To access these services, contact 1-727-530-7273 or send a letter to P.O. Box 10970, St. Petersburg, FL 33733.

Hernando Correctional Center has partnered with Dawn Center Services (Domestic & Sexual Violence Services of Hernando County) to provide survivors of sexual abuse with emotional support services. To access these services and contact 8499 or send a letter to P.O. Box 6179 Spring Hill, FL 34611. Services provided: 24-hour crisis hotline, an emergency shelter in a confidential location, supportive outreach services for victims and their children, legal advocacy services, information and referral services for women, men and children impacted by violence, danger assessment, and safety planning, and training for professional and law enforcement.

A point of contact (POC) was established with the facility before the audit and constant communication was maintained. The auditor and PREA Coordinator discussed the location audit planning and logistics phase, the auditor remained engaged with the PREA Coordinator and Correctional Services Consultant regarding the audit process, expectations, and coordinated the logistics of the onsite portion of the audit. The auditor focused on multiple sources of information during the audit process applying audit planning & logistics, posting notice of the audit, reviewing facility policies, procedures, supporting documentation and conducting outreach to advocacy organization contracts.

On-site Audit Phase: On the first day of the audit 10/14/19, an introductory meeting was held with the following staff in attendance: Senior Warden, Correctional Services Consultant, PREA Compliance Manager, PREA officer, and additional staff. The auditor conducted a site review on October 14-15, 2019 to observe the operations at the facility and was given unimpeded access to areas requested by the auditor. The auditor spent two days on the unit to observe and assess the day-to-day practice of the staff's interaction and promotion of the overall sexual safety. During the site review, the auditor interviewed food service, laundry and random correctional officers regarding the reporting and notification process. The auditor reviewed the following functions to include intake and risk screening, cross-gender announcements in housing units, cross-gender viewing in housing areas, grievance boxes, inmate dining areas, zero-tolerance posters, auditor notice of onsite visit, access to reporting entities, housing activity, restroom and shower procedures, staffing ratios, cameras and surveillance deployment, working telephones, and supervision practices.

Site Review/Locations: During the site review, the auditor observed the following areas with privacy barriers, privacy screens, half-doors, walls, recreation restrooms providing privacy from cross-gender viewing to include the verbal announcements made by the opposite gender staff before entering a housing unit. Locations observed: the auditor observed the following locations: administration building, gate 4/5, medical/security building, inmate canteen, volleyball court, basketball court, adult recreation pavilion, B-dormitory, programs building, library, laundry, food service, staff dining, another laundry area, holding cells, confinement, C-dormitory, programs annex, inside grounds shop, multi-purpose building, chapel and maintenance department. The auditor observed the zero-tolerance signs posted in all inmate housing units in both English and Spanish with the following information for sexual abuse and sexual harassment. The facility displayed good correctional practices and provided privacy with excellent

coverage for the offender population. The auditor observed the zero-tolerance signs posted in all inmate housing units in both English and Spanish with the following information for sexual abuse and sexual harassment. The auditor concluded the facility complies with the standard for the relevant recertification period.

Employee Files: The auditor reviewed a total of 15 employee files with training records and background checks that corresponded with employees interviewed during the onsite phase of the audit. Inmate Files: The auditor reviewed a total of 15 files that corresponded with the inmate interviewed during the onsite phase of audit meeting all required categories. Surveillance Camera video review was conducted on 10/15/19, observing unannounced rounds in the housing units on both day and night shifts.

The Hernando C.I. has surveillance cameras. The auditor reviewed the camera placement for Hernando surveillance cameras; they were checked for readiness and operational status of the equipment, including back up power, picture clarity and quality, sightline obstructions, blind spots, cracked/dirty lens and or anything that would affect the camera coverage video recording capability. Hernando C.I. has surveillance video monitoring to assist in preventing, detecting, and responding to sexual abuse harassment allegations. Hernando Correctional Institution has installed cameras in all inmate living and common areas: A, B, C, and D dormitories. The cameras are digital video recorders and are secured in the officers' control room areas. The cameras are digital video recorders and are secured in the officers' control room areas. The cameras are in the sleeping and common areas of the dorm with monitors and digital video recorders located in their station. The cameras are checked daily and tested monthly. The recorders are secured with limited access. The program and settings are password protected. There are twelve cameras in A, B, and D dormitories. C dormitory has six cameras. Segregated housing has seven cameras.

On September 14, 2016, Hernando Correctional Institution installed cameras in the entrance and exit area. There is a total of four cameras located in the sally port area. The DVR is secured in the control room and is password protected. There have not been any renovations or expansions to the facility. In the past year, more cameras have been placed throughout the facility including education, classification hallway areas and visiting park and audio is available in the confinement and main entry and sally port. Equipment DVR: Ever Focus Model EGOR HD 16XL, 16CH, HD Real-time DVR, memory: 30 days or recording before overwriting starts, Cameras: Ever Focus Model ED910 Analog HD true Day/Night indoor dome camera. Main entry and sally port equipment 16CH, HD real-time DVR, memory: 30 days of recording before overwriting starts, Unit is in control room locked cabinet and is password protected. Cameras: Ever Focus Model EHD930 Analog HD True Day/Night, Outdoor IR Vandal dome camera. Hernando Correctional Institution had a total of 12 security mirrors located in the following areas: A dorm (2), B dorm (2), C dorm (2), D dorm (2), Visiting Park (1), Sallyport (1), & Warehouse (2). The security mirrors are in place to eliminate blind spots and to ensure the security and safety of all inmates, staff, volunteers, and visitors.

Investigation Files: The auditor reviewed seven sexual abuse/sexual harassment allegations in the past twelve months preceding the audit that was ongoing during the site review. Investigative interviews determined the following: The Investigations are stored electronically, and the evidence is maintained with the Office of Inspector General then forwarded to the centralized evidence storage area, following all chain of evidence procedures. The auditor reviewed 7 investigations which consisted of ongoing active cases. The following information was reviewed: Photos of inmates, MINS, Incident report, witness statements, grievances, PREA Investigative Report (DC6-2019), Inspector General Inquiry/Report, Notification of other institution (warden to warden email or read receipt), Discipline report, Arrest report,

Law enforcement notification, special review screens, Acknowledgement of receipt of grievance orientation (DCI-307), Acknowledgement of Receipt of Training on PREA (DC6134C), Youthful inmate designation (if needed), IBAS/SRI Results-IM29 screen print, IM70 or IRN 79 printout, IBAS/SRI reassessment screening (IM29 screen print), Medical/Mental Health forms, housing logs (DC6-208), special housing logs (DC6-233), Holding cell log (DC6-208), Confinement forms, ICT review for 72 hours (30/60 days), completed DC62084, monitored phone calls, RMS daily roster, STG inquiry, advocacy documents, translator documents, SART notification, Sexual Abuse Incident Review (DC6-2076), work assignments, notification/reporting to inmate by IG notification, and monitoring for retaliation. The investigations were provided to the auditor which demonstrated compliance. The interview with the investigator determined that substantiated allegations of conduct that appears to be criminal shall be referred for prosecution. The auditor reviewed 7 investigations during the on-site portion of the audit at HERNANDO Correctional Institution that were ongoing active cases. The agency retains all written reports about the administrative or criminal investigation of alleged sexual abuse or sexual harassment for as long as the alleged abuser is incarcerated or employed by the agency, plus five years.

On 10/14/19, the Hernando Correctional Institution population was 466. The auditor's notice and contact information were posted throughout the unit. The staff interviewed by the auditor during the site review were professional and cooperative with the auditor during the audit process. A unit layout of the facility was provided by the PREA Coordinator consisting of all housing areas and camera locations. The average length of stay or time under supervision: 4.81. Facility Security level/inmate custody levels community, minimum to medium. The facility does not house youthful offenders. The number of inmates admitted to the facility during the past 12 months: 410. The number of inmates admitted to the facility during the past 12 months whose length of stay in the facility was for 72 hours or more: 375. The number of inmates admitted to the facility during the past 12 months whose length of stay in the facility was for 30 days or more: 279. The number of staff currently employed at the facility who may have contact with inmates: 132. The number of staff hired by the facility during the past 12 months who may have contact with inmates: 23. The number of individual contractors who have contact with inmates, currently authorized to enter the facility: 21. The number of volunteers who have contact with inmates, currently authorized to enter the facility: 529. The number of buildings: 27. Number of housing units: 4, number of single-cell housing units: 1, number of multiple occupancy cell housing units: 1, number of open bay/dorm housing units: 4, number of segregation cells: 9. Medical and Mental Health Services and Forensic Medical Exams: Medical services are provided on-site. The mental health services provided on-site and forensic exams are conducted on-site by on-call Certified Sexual Abuse Nurse Examiners. The number of investigators employed by the agency and/or facility who are responsible for conducting CRIMINAL investigations into allegations of sexual abuse or sexual harassment: 94. When the facility receives allegations of sexual abuse or sexual harassment (whether staff-on-inmate or inmate-on-inmate), CRIMINAL INVESTIGATIONS are conducted by Facility investigators & Agency investigators.

Staff Interviews: The auditor conducted inmate and staff interviews on October 14-15, 2019 as part of standard 115.401 (k) (m) with privacy in an office setting. A list of inmates, staff, volunteers, and contractors to include their shift and job assignments was provided to the auditor for selection & review of interviews and documentation review. Staff interviews were conducted in a private setting in the administration building in a separate office on an individual basis with no distractions or delays (Previous Interviews conducted: Agency Head and Agency Contract Administrator).

The auditor conducted the following interviews with facility staff during the onsite phase of the audit: Random Staff 15 and Specialized Staff 27 with a total of 42.

Specialized Staff Total: 27

Random Staff Total: 15

Total Staff Interviews: 42

Breakdown of Specialized Staff Interviews: 27 (1) Warden/PREA Compliance Manager (1) PREA Officer (3) Intermediate or higher-level facility staff (0) Line staff who supervise youthful inmates (0) Education and Program staff who work with youthful inmates (2) Medical and mental health staff (0) Non-medical staff involved in cross-gender strip searches (1) Human resource (2) Volunteers who have contact with inmates (3) Contractors who have contact with inmates (2) Investigative staff (1) Staff who perform screening for risk of victimization (1) Staff who supervise inmates in segregation housing (1) Incident review team (1) Designated staff member charged with monitoring retaliation (7) First responder, security staff-First responder, non-security staff (1) Intake staff

RANDOM STAFF INTERVIEWS: 15

Inmate Interviews: The auditor conducted inmate interviews on October 14-15, 2019 at the Hernando Correctional Institution. The auditor selected a geographically diverse sample of random inmates for the audit process to include housing units by selecting the first and tenth of every housing unit.

Targeted Inmates Total: 13

Random Inmates Total: 15

Total Inmates Interviewed: 28

Breakdown of Targeted Inmate Interviews: 13

(0) Youthful inmates (1) Inmates with a physical disability (0) Inmates who are blind (visually impaired) (0) deaf (0) hard of hearing (4) Inmates who are LEP (0) Inmates with a cognitive disability (5) Inmates who identify as lesbian, gay, or bisexual (0) Inmates who identify as transgender (0) Intersex (0) Inmates who reported sexual abuse (3) Inmates who reported sexual victimization during risk screening

Random Inmates: 15 Inmates were interviewed in an office, in a separate room on an individual basis with privacy and enough time. The inmates were interviewed using the Department of Justice protocol interview questions generally and specifically targeting their knowledge of reporting mechanisms available for inmates to report sexual abuse and sexual harassment. The inmates interviewed were well informed about the PREA reporting process, their rights to be free from sexual abuse, and how to report sexual abuse or sexual harassment. No inmates refused during the inmate interview process.

An exit meeting was held on 10/15/19 to discuss the overall audit process with the Senior Warden. The auditor discussed the review of the pre-audit process, communication with the community-based victim advocates, and auditor review of submitted agency facility questionnaire, policies and procedures. The facility was prepared with primary and secondary documentation with resources supporting each PREA standard. The on-site audit consisted of the site review, additional document review, to include staff and inmate interviews.

The Post Audit included the auditor compliance tool, review of policies/procedures, review of documentation and data. The auditor noted that this audit was the recertification for the facility, staff, and inmates.

## AUDIT FINDINGS

### Summary of Audit Findings:

The summary should include the number of standards exceeded, number of standards met, and number of standards not met, along with a list of each of the standards in each category. If relevant, provide a summarized description of the corrective action plan, including deficiencies observed, recommendations made, actions taken by the agency, relevant timelines, and methods used by the auditor to reassess compliance. Auditor Note: No standard should be found to be "Not Applicable" or "NA". A compliance determination must be made for each standard.

<b>Number of standards exceeded:</b>	7
<b>Number of standards met:</b>	38
<b>Number of standards not met:</b>	0

The Hernando Correctional Institution was constructed in 1954 with a designated capacity of 470. The facility unit capacity was 466 on the first day of the audit. The facility houses female inmates with community, minimum, and medium custody. The Hernando Correctional Institution was located on 16415 Spring Hill Drive in Brooksville, FL 34604. The facility had a satellite facility: Suncoast Community Release Center. In October 2013 Hernando became the only Faith-based and Character-Based Women's Prison in the state. Hernando Correctional Institution houses 427 inmates and has 4 inmate work squads contracted with Hernando County and the City of Brooksville. The institution offers a contractual Intensive Outpatient Substance Abuse Program. The institution has four open-bay style dormitories, each with two wings. In January of 2006, the institution converted the remaining wing of C dormitory to a confinement unit that provides housing for inmates placed in administrative or disciplinary confinement. The facility did not have any consent decrees, class actions or pending litigation according to the interview with the Warden. The overall sanitation of the facility was designed to protect the health of the employees, inmates and the public in compliance with state and applicable federal regulations.

The Hernando Correctional Institution was facilitated with a Medical, Mental Health (Psychiatrist/Mental Health Professional/Psychologist), Faith & Character Programs classes, control room, tool room, 4 housing units/open bay A, B, C, D, confinement, multiple cells, SHOS cell, Food Service, recreation, re-entry classes, Academic and Vocational programming, Education classes, Adult Basic Education, GED, Inmate teaching assistant education, life skills, computer, and religious programs.

Locations observed: administration building, gate 4/5, medical/security building, inmate canteen, volleyball court, basketball court, adult recreation pavilion, B-dormitory, programs building, library, laundry, food service, staff dining, another laundry area, holding cells, confinement, C-dormitory, programs annex, inside grounds shop, multi-purpose building, chapel and maintenance department. The auditor observed the zero-tolerance signs posted in all inmate housing units in both English and Spanish with the following information for sexual abuse and sexual harassment. The facility displayed good correctional practices and provided privacy with excellent coverage for the offender population. The auditor observed the administration building on 10/14/19 with the audit notice dated 8/26/19, the zero-tolerance signs in both English and Spanish, with the victim support services information. The auditor observed one female inmate assigned to the administration building, and the strip search area had a full door and conducted by the same gender staff. The auditor entered the front sally port where all staff must show identification. The program building has cameras in every corner with the PREA Zero-Tolerance signs in both English and Spanish, the victim support services information and the notice of

audit dated 8/26/19. The inmate restrooms had the PREA posters in the restroom with the zero-tolerance information with a full door. The education department does not conduct strip searches in the building. If emergency strip searches are required, they will be conducted in the inmate restroom for privacy. The GED class had an inmate restroom with a full door for privacy and the door was labeled. The outside recreation did not have any urinals. B dorm was observed with inmate restrooms (7 stalls with individual half walls), the staff was observed announcing by male staff. There was no cross-gender viewing observed by the auditor. The showers had walls with curtains and no cameras in the area. There was complete privacy from cross-gender viewing. B-dorm officer station (no cross-gender viewing of female staff). The auditor observed 12 cameras in the officer station with limited access which is password protected; no cross-gender viewing and good coverage. The auditor observed the video footage for unannounced rounds conducted by supervisors on both day and night shifts.

The auditor observed closets with good lighting and limited access (closet 602 had good lighting and limited access). The entrance to laundry had one camera and observed to be clean. B-2 dorm was observed with 2 working phones, zero-tolerance signs (English/Spanish), TTY phone available upon request, 1 Sergeant, and 2 correctional officers. The capacity was 72 inmates and cameras were observed in the housing unit. The dayroom had a television, 1 kiosk (emails, videos, visitation, j-pay).

The inmate canteen had one inmate and the area had good lighting with no inmate restroom. The chapel had a capacity of 150 with good visibility of all areas. The library was observed to have one inmate restroom with privacy (one inmate at a time) with a full door. The closet was observed to be clean with limited access. The kitchen was clean with good lighting and the tool room had no cameras.

The Maintenance/Warehouse had three employees and three inmates with no allegations reported in the past 12 months. The zero-tolerance signs were posted in both English and Spanish, victim support services and audit notice. The storage was observed, no cameras or security mirrors in the area; the warehouse had a security mirror; the freezer and cooler were observed to be clean with good lighting and limited access; the inmate restroom was outside with a full door with privacy (one inmate at a time). The Medical department operates from 8 to 5 p.m. which includes mental health staff, medical staff, security, and dental staff. The inmate storage was secured, no infirmary or cameras were observed. The lobby had a capacity of 25 inmates with an inmate restroom with a full door for privacy. The exam rooms had full doors for privacy with zero-tolerance and notice of audit in both English and Spanish. C-dorm (confinement) dayroom had one security mirror, 1 kiosk, 1-TV, 1 storage, PREA zero-tolerance signs, a notice of audit (in both English and Spanish) to include 2 working phones for the inmate population. The auditor observed an open bay (38 capacity) with male staff who made the verbal announcement before entering the dorm. C dorm had three cameras and the auditor viewed the monitors in the officer control. The auditor observed three inmate restroom stalls with the officer restroom in the area with a full door. The staff interviewed stated that they would clear the area of all inmates before entering or using the restroom. If they were unable to do so, the staff would use the restroom in another location. The auditor recommended for the facility to label staff/inmate restrooms for identifying purposes (staff restroom was labeled immediately). The auditor observed five showers with privacy and no cross-gender viewing. The food service department and staff dining were observed with cameras installed (more cameras were awaiting installation). The food service department had six employees and about fifteen inmates assigned during the site review. The auditor observed one cooler, one freezer, the dry storage area with no cameras, wash area, with a full door for the inmate restroom. The wash house was observed to have good lighting, 3 washers, 3 dryers, and the auditor suggested a security mirror to eliminate any blind spots. The facility placed the security mirror in the wash house positioned to eliminate blind spots.

The Suncoast work release satellite had a capacity of 200 inmates. The auditor drove to the satellite

location at St. Petersburg Fla. There were no cameras at the location and the inmate population was 191 on 10/14/19. The auditor observed the zero-tolerance signs and notice of audit in both English and Spanish in all areas of the facility. The officer station was at the entrance and all staff was required to show ID and sign in. The facility had two floors and housing units on both floors; 11 residents in each room with the zero-tolerance signs (English and Spanish) and a notice of audit dated 8/26/19. A113 Notice of Audit on a large bulletin board. The first floor had an extra common area with two wings; zero tolerance signs (English/Spanish) and four working phones for the residents. The strip searches are conducted in the restroom area on the first floor by female staff with privacy. The recreation area and utility closet were observed. The laundry was small with glass doors for clear visibility. The second floor had a common area and used the same laundry area on the first floor. A222 on the second floor had a capacity of 11 residents, with a full shower (shower curtain) with two toilets and full restroom stalls for privacy. The male staff did not enter the housing units according to residents interviewed onsite. The Suncoast Community Release Center provided plenty of privacy for the female residents on the facility.

## **Standards**

### **Auditor Overall Determination Definitions**

- Exceeds Standard  
(Substantially exceeds requirement of standard)
- Meets Standard  
(substantial compliance; complies in all material ways with the stand for the relevant review period)
- Does Not Meet Standard  
(requires corrective actions)

### **Auditor Discussion Instructions**

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

115.11	<b>Zero tolerance of sexual abuse and sexual harassment; PREA coordinator</b>
<b>Auditor Overall Determination:</b> Exceeds Standard	
<b>Auditor Discussion</b>	
<p>The following evidence was analyzed in making compliance determination:</p> <p>Documentation Reviewed: (Policies, directives, forms, files, records, etc.)</p> <ol style="list-style-type: none"> <li>a. FDC Procedure 602.053 Prison Rape Prevention, Detection, and Response-Zero Tolerance</li> <li>b. FDC Organizational Chart</li> <li>c. PREA Compliance Manager memo</li> <li>d. Hernando Correctional Institution Organizational Chart</li> </ol> <p>Interviews:</p> <ol style="list-style-type: none"> <li>1. PREA Coordinator</li> <li>2. PREA Compliance Manager</li> </ol> <p>Site Review Observations:</p> <ol style="list-style-type: none"> <li>a. PREA signs “Zero-Tolerance” are posted in both English and Spanish in the inmate housing areas and throughout the facility.</li> <li>b. PREA information displayed in all areas of the facility</li> </ol> <p>Auditor Findings:</p> <p>115.11 (a). The auditor reviewed Procedure 602.053, Prison Rape: Prevention, Detection, and Response of the Florida Department of Corrections (FDC)/Hernando Correctional Institution which mandates zero tolerance toward all forms of sexual abuse and sexual harassment and definitions of prohibited behaviors regarding sexual abuse and sexual harassment. The Florida Department of Corrections offers multiple ways to report sexual abuse and sexual harassment. Reports can be made verbally, in writing, anonymously and via a third party. Below are the methods available to report: * call an outside entity (Gulf Coast Children’s Advocacy Center) to report by dialing 1-850-832-3905, * call the TIPS line by dialing 1-866-246-4412, * report to any staff member, volunteer or contractor, including medical and mental health care staff, *submit a grievance or an inmate request, *report to the facility’s PREA compliance manager (the Assistant Warden of Programs), *tell a family member, friend, legal counsel, or anyone else outside the facility. They can report on your behalf through a third party grievance, through the online’s citizen’s complaint form or by contacting the PREA office at PREA@fdc.myflorida.com. You can also submit a report on someone’s behalf, or someone at the facility can report for you using the methods listed above.</p> <p>Victim Support Services: Suncoast Community Release Center has partnered with Suncoast Center Inc. to provide survivors of sexual abuse with emotional support services. To access these services, contact 1-727-530-7273 or send a letter to P.O. Box 10970, St. Petersburg, FL 33733.</p> <p>Hernando Correctional Center has partnered with Dawn Center Services (Domestic &amp; Sexual</p>	

Violence Services of Hernando County) to provide survivors of sexual abuse with emotional support services. To access these services and contact 8499 or send a letter to P.O. Box 6179 Spring Hill, FL 34611. Services provided: 24-hour crisis hotline, an emergency shelter in a confidential location, supportive outreach services for victims and their children, legal advocacy services, information and referral services for women, men and children impacted by violence, danger assessment, and safety planning, and training for professional and law enforcement.

115.11 (b). The Florida Department of Corrections (FDOC)-Bureau of Security Operations assigned PREA coordinators for developing, implementing and monitoring the Department's compliance of the PREA standards which include a PREA Coordinator and two Correctional Services Consultants. FDC assigns the Assistant Wardens with an auxiliary (PREA) staff member to provide management and oversight of the program. The agency designates an agency-wide PREA coordinator with sufficient time and authority to develop, implement, and oversee agency efforts to comply with the PREA standards in all of its facilities. The FDC PREA Coordinator was interviewed on 5/21/19 and stated PREA management was her sole function, and she had two Correctional Services Consultants to assist in the process which allowed her full-time access to focus on PREA related duties and responsibilities. The PREA Coordinator supervises and manages about fifty-seven PREA Compliance Managers throughout the Florida Department of Corrections to include private facilities. The Warden supervises the PREA Compliance Manager (Assistant Warden of Programs) with an auxiliary PREA officer to assist the PREA Compliance Manager.

115.11 (c). The Assistant Warden is designated as the PREA Compliance Manager with one auxiliary (PREA) staff assigned to the facility. The PREA Compliance Manager was interviewed onsite and stated that enough time was provided to manage the PREA duties and responsibilities of the facility. The auditor interviewed the PREA officer regarding PREA responsibilities. The auditor interviewed the Secretary of the Florida Department of Corrections and reviewed the Organizational chart which reflected the Secretary was the head of the Department; the Warden was the head of the institution, the Agency PREA Coordinator held a position in upper management, and the Institutional PREA Manager was an Assistant Warden to include the auxiliary PREA officer. The PREA zero-tolerance signs in both English and Spanish were posted in the following areas: administration building, front entrance, housing units, laundry, food service, education, and other areas throughout the facility. The lines were tested in B dorm phone 1 on 10/14/19 @8:57; D dorm phone 2 on 10/15/19 @ 8:09 verifying the calls were received and answered.

Corrective Action: The auditor recommends no corrective action.

115.12	<b>Contracting with other entities for the confinement of inmates</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>The following evidence was analyzed in making compliance determination:</p> <p>Documentation Reviewed: (Policies, directives, forms, files, records, etc.)</p> <p>a. Goodwill Industries-Suncoast, Inc</p> <p>Interviews:</p> <ol style="list-style-type: none"> <li>1. PREA Coordinator</li> <li>2. Agency's Contract Administrator</li> </ol> <p>Auditor Findings:</p> <p>115.12 (a). The Hernando Correctional Institution does the contract for the confinement of inmates. The agency contracts with Goodwill Industries-Suncoast, Inc. to provide operation of a residential contractor-operated work release center in Pinellas County to include the facility, staff, supervision and related transitional services. Section VII., EE., of the contract specifies the Prison Rape Elimination Act (PREA).</p> <p>115.12 (b). The Florida Department of Corrections (FDC) contracts include verbiage related to the vendor's obligation to comply with PREA standards before agreeing with the agency. If the entity is not PREA compliant the contract will not be executed. There are currently 74 contracts for the confinement of inmates. DMS Contract facilities submit their completed audit reports to the FDC PREA Coordinator. These reports are then posted on the FDC public page along with FDC facility PREA reports. This was determined by interviews conducted with the Agency Contract Administrator and PREA Coordinator.</p> <p>Corrective Action: The auditor recommends no corrective action.</p>

<b>115.13</b>	<b>Supervision and monitoring</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>The following evidence was analyzed in making compliance determination:</p> <p>Documentation Reviewed: (Policies, directives, forms, files, records, etc.)</p> <ul style="list-style-type: none"> <li>a. Hernando CI Staffing Plan-2019</li> <li>b. FDC Procedure 602.030 Security Staff Utilization</li> <li>c. PREA Memo camera and showers</li> <li>d. Memo Camera location listing</li> <li>e. Level 1 Vacancy Report-Incident reports</li> <li>f. Shift supervisor post order</li> <li>g. Housing Logs (DC6-209) unannounced rounds</li> </ul> <p>Interviews:</p> <ul style="list-style-type: none"> <li>1. PREA Coordinator</li> <li>2. Agency's Contract Administrator</li> <li>3. Intermediate or Higher-Level Staff.</li> <li>4. Captain of Correctional Officers.</li> <li>5. Lieutenant of Correctional Officers.</li> <li>6. Staff interviews (supervisor visibility)</li> <li>7. Inmate interviews (supervisor availability)</li> </ul> <p>Site Review Observations:</p> <ul style="list-style-type: none"> <li>1. Dormitory Housing Logs (signature/location of unannounced rounds)</li> <li>2. Video review/visibility of supervisors</li> </ul> <p>Auditor Findings:</p> <p>115.13 (a). The auditor reviewed the Hernando Correctional Institution staffing plan and acknowledges that the facility makes its best efforts to comply regularly with the staffing plan to provide an adequate level of staffing to monitor inmates against sexual abuse and sexual harassment. The interview with the Warden determined that the facility had a staffing plan to protect inmates against sexual abuse and sexual harassment. The facility had surveillance cameras as part of the plan for the protection of inmates and a total of 12 security mirrors to prevent blind spots.</p> <p>The warden explained that when assessing adequate staffing levels and the need for video monitoring, the facility will consider:</p> <ul style="list-style-type: none"> <li>(1) Generally accepted detention and correctional practices;</li> <li>(2) Any judicial findings of inadequacy;</li> <li>(3) Any findings of inadequacy from Federal investigative agencies;</li> <li>(4) Any findings of inadequacy from internal or external oversight bodies;</li> </ul>

- (5) All components of the facility's physical plant (including "blind spots" or areas where staff or inmates may be isolated);
- (6) The composition of the inmate population;
- (7) The number and placement of supervisory staff;
- (8) Institution programs occurring on a particular shift;
- (9) Any applicable state or local laws, regulations, or standards;
- (10) The prevalence of substantiated and unsubstantiated incidents of sexual abuse; and
- (11) Any other relevant factors.

The facility utilizes the available database in the DC web which is a roster management system to check the daily rosters for post chart & approved positions. The auditor reviewed the camera footage for unannounced rounds, cross-gender viewing, and PREA compliance.

115.13 (b). The warden's interview determined that in circumstances where the staffing plan is not complied with, the facility documents and justifies all deviations from the plan. The facility will submit a below protocol incident report and all attempts will be made to fill the position as required. If any deviations are made it would be documented via the Roster Management System and subsequently anytime the facility falls below Level 1 staffing it is documented in a report. the six most common reasons for deviating from the staffing plan in the past 12 months: staffing, FMLA, unscheduled sick, academy, military, sick leave, and training (information obtained from the PAQ).

115.13 (c). The PREA coordinator stated that she is consulted regarding any assessments or adjustments to the staffing plan every year. The staffing plan is submitted to the Central office at a minimum and is reassessed and reviewed with Security Operations for PREA compliance to include: the staffing plan; the deployment of monitoring technology; or the allocation of facility/agency resources to commit to the staffing plan to ensure compliance with the staffing plan.

115.13 (d). The FDC has the Officer in Charge (OIC) designated as the Captains and Lieutenants responsible for completing the unannounced rounds on day and night shift to meet the portion of the standard. The interviews with intermediate staff validated that they were conducting the required unannounced rounds and security rounds. The facility documents the unannounced rounds on the control room log: OIC conducted daily dorm security inspections. The auditor reviewed the camera footage for unannounced rounds, cross-gender viewing, and PREA compliance.

Corrective Action: The auditor recommends no corrective action.

<b>115.14</b>	<b>Youthful inmates</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>The following evidence was analyzed in making compliance determination:</p> <p>Documentation Reviewed: (Policies, directives, forms, files, records, etc.)</p> <p>1. FS 944.1905</p> <p>Interviews:</p> <p>No interviews conducted</p> <p>Auditor Findings:</p> <p>115.14 (a) Hernando Correctional Institution does not house youthful inmates.</p> <p>115.14 (b) Hernando Correctional Institution does not house youthful inmates.</p> <p>115.14 (c) Hernando Correctional Institution does not house youthful inmates.</p> <p>Corrective Action: The auditor recommends no corrective action.</p>

115.15	<b>Limits to cross-gender viewing and searches</b>
	<p data-bbox="248 168 925 201"><b>Auditor Overall Determination:</b> Exceeds Standard</p> <p data-bbox="248 246 523 280"><b>Auditor Discussion</b></p> <p data-bbox="248 324 1220 358">The following evidence was analyzed in making compliance determination:</p> <p data-bbox="248 414 1197 448">Documentation Reviewed: (Policies, directives, forms, files, records, etc.)</p> <ul style="list-style-type: none"> <li data-bbox="248 504 1101 537">a. FDC Procedure 602.018 Contraband and Searches of Inmates</li> <li data-bbox="248 542 1460 575">b. FDC Procedure 602.036 Gender Specific Security Positions, Shift, Posts, and Assignments</li> <li data-bbox="248 580 470 613">c. Post Order # 1</li> <li data-bbox="248 618 518 651">d. PREA Etrain Data</li> <li data-bbox="248 656 742 689">e. In-service PREA training curriculum</li> </ul> <p data-bbox="248 750 391 784">Interviews:</p> <ul style="list-style-type: none"> <li data-bbox="248 840 462 873">1. Random Staff</li> <li data-bbox="248 878 510 911">2. Random Inmates</li> <li data-bbox="248 916 1189 949">3. Non-medical staff (involved in a cross-gender strip or visual searches)</li> <li data-bbox="248 954 678 987">4. Transgender/Intersex Inmates</li> </ul> <p data-bbox="248 1048 590 1081">Site Review Observations:</p> <p data-bbox="248 1137 1476 1339">1. During the site review, the auditor observed the following areas with privacy barriers, privacy screens, half-doors, shower curtains, walls, recreation restrooms providing privacy from cross-gender viewing to include the verbal announcements made by the opposite gender staff before entering a housing unit. Hernando Correctional Institution does not house youthful inmates.</p> <p data-bbox="248 1395 1460 1776">2. Locations observed: the auditor observed the following locations: administration building, gate 4/5, medical/security building, inmate canteen, volleyball court, basketball court, adult recreation pavilion, B-dormitory, programs building, library, laundry, food service, staff dining, another laundry area, holding cells, confinement, C-dormitory, programs annex, inside grounds shop, multi-purpose building, chapel and maintenance department. The auditor observed the zero-tolerance signs posted in all inmate housing units in both English and Spanish with the following information for sexual abuse and sexual harassment. The facility displayed good correctional practices and provided privacy with excellent coverage for the offender population.</p> <p data-bbox="248 1821 518 1854"><b>RIGHT TO REPORT</b></p> <p data-bbox="248 1910 1476 1989">If you, or someone you know, are experiencing sexual abuse or sexual harassment, Hernando Correctional Institution wants to know. We want you to report right away! Why?</p> <p data-bbox="248 2045 1476 2123">The Florida Department of Corrections offers multiple ways to report sexual abuse and sexual harassment.</p>

Reports can be made verbally, in writing, anonymously and via a third party. Below are the methods available to report: \* call an outside entity (Gulf Coast Children's Advocacy Center) to report by dialing 1-850-832-3905, \* call the TIPS line by dialing 1-866-246-4412, \* report to any staff member, volunteer or contractor, including medical and mental health care staff, \*submit a grievance or an inmate request, \*report to the facility's PREA compliance manager (the Assistant Warden of Programs), \*tell a family member, friend, legal counsel, or anyone else outside the facility. They can report on your behalf through a third-party grievance, through the online's citizen's complaint form or by contacting the PREA office at [PREA@fdc.myflorida.com](mailto:PREA@fdc.myflorida.com). You can also submit a report on someone's behalf, or someone at the facility can report for you using the methods listed above.

Victim Support Services: Suncoast Community Release Center has partnered with Suncoast Center Inc. to provide survivors of sexual abuse with emotional support services. To access these services, contact 1-727-530-7273 or send a letter to P.O. Box 10970, St. Petersburg, FL 33733.

Hernando Correctional Center has partnered with Dawn Center Services (Domestic & Sexual Violence Services of Hernando County) to provide survivors of sexual abuse with emotional support services. To access these services and contact 8499 or send a letter to P.O. Box 6179 Spring Hill, FL 34611. Services provided: 24-hour crisis hotline, an emergency shelter in a confidential location, supportive outreach services for victims and their children, legal advocacy services, information and referral services for women, men and children impacted by violence, danger assessment, and safety planning, and training for professional and law enforcement.

\*Refer to the NI1-120 Sexual Abuse Awareness pamphlet for more information on anonymous reporting and limits to confidentiality for emotional support services.

#### Auditor Findings:

115.15 (a). The auditor did not conduct an interview with non-medical staff (involved in a cross-gender strip or visual searches) because the facility did not have any in the past 12 months. The auditor reviewed documentation; however, the facility did not have any cross-gender strip searches and cross-gender visual body cavity searches in the past 12 months. Logs of the cross-gender strip and/or cross-gender body cavity searches conducted in the past 12 months that were not conducted by medical staff or were not conducted during exigent circumstances, documented in the log: 0. Documentation of instances where medical staff conducted such searches: 0. The Florida Department of Corrections Procedures: 602.053, Prison Rape: Prevention, Detection, and Response; 602.018 Contraband Searches of Inmates; and, 602.036 Gender Specific Posts, all outline and direct limits to cross-gender viewing and searches.

115.15 (b). The auditor interviewed a total of 15 random staff and the interviews determined Hernando Correctional Institution has received the cross-gender pat search training at least once a year. The auditor interviewed a total of 15 random male inmates and determined that strip searches are conducted in a private area with no male visibility by female staff. There were no documented incidents in the past 12 months for the cross-gender pat-down searches of female inmates of exigent circumstances. The auditor conducted a video surveillance

review for pat-down searches of female inmates conducted by female staff and the auditor did not observe any cross-gender pat searches or strip searches.

115.15 (c). There were no cross-gender strip searches and cross-gender visual body cavity searches of inmates in the past 12 months. The auditor reviewed the procedures, post orders, duties and assignments, and observed operations, including pat-down searches which were conducted by male staff. The agency prohibits cross-gender strip or cross-gender visual body cavity searches, except in exigent circumstances. There have been no such searches at the Hernando Correctional Institution and the information was obtained from the pre-audit documentation and interviews with staff and inmates.

115.15 (d). The auditor interviewed a total of 15 random inmates and determined that male staff announce themselves before entering the housing unit. The auditor interviewed a total of 42 inmates overall and the general answers were that they had privacy doors, walls, and privacy screens during shower use, restroom use and while changing clothes. The auditor interviewed inmates with disabilities as part of the targeted inmate interviews and determined that they had handicap showers and restrooms with privacy from cross-gender viewing. The auditor interviewed a total of 15 random staff including both male and female correctional staff and determined that the verbal announcements were made before male staff entered a housing unit for female inmates to cover up for their privacy.

115.15 (e). The auditor interviewed 15 random samples of staff and determined that they have been trained and prohibited from searching or physically examining a transgender or intersex inmate for the sole purpose of determining the inmate's genital status. There were no inmates onsite for interviews from the transgender/intersex population. The auditor randomly interviewed staff at the facility and determined that staff are prohibited from examining transgender or intersex inmates for the sole purpose of determining the inmate's genital status. This is outlined in the policy.

115.15 (f). The auditor conducted a total of 15 random staff interviews and determined that staff has been trained on how to conduct cross-gender pat-down searches and searches of transgender and intersex inmates professionally and respectfully. The auditor reviewed a portion of staff training and acknowledgments of PREA material. 100% of the staff have been trained on how to conduct cross-gender pat-down searches in a professional a respectful manner consistent with the provisions of the standard.

Corrective Action: The auditor recommends no corrective action.

115.16	<b>Inmates with disabilities and inmates who are limited English proficient</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>The following evidence was analyzed in making compliance determination:</p> <p>Documentation Reviewed: (Policies, directives, forms, files, records, etc.)</p> <ul style="list-style-type: none"> <li>a. Contracts with Professionals Hired to Assist Inmates</li> <li>b. Inmates PREA</li> <li>c. FDC Procedure 602.053 Prison Rape- Prevention, Detection, and Response</li> <li>d. FDC Procedure 604.101 American with Disabilities Act Provisions for Inmates</li> <li>e. Methods Available for Disabled-LEP Inmates</li> <li>f. Staff training on ADA – PREA</li> <li>g. LEP Inmates PREA Orientation</li> <li>h. Methods Available for Disabled-LEP Inmates</li> <li>i. PREA Spanish Brochure</li> <li>j. PREA Spanish Poster</li> <li>k. FDOC Translator List</li> </ul> <p>Interviews:</p> <ul style="list-style-type: none"> <li>1. Agency Head</li> <li>2. PREA Manager</li> <li>3. Random Staff</li> <li>4. Intake Staff</li> <li>5. Medical staff</li> </ul> <p>Site Review Observations:</p> <p>The Hernando Correctional Institution takes appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency’s efforts to prevent, detect, and respond to sexual abuse and sexual harassment. The agency takes reasonable steps to ensure meaningful access to all aspects of the agency’s efforts to prevent, detect, and respond to sexual abuse and sexual harassment to inmates who are Disabled and limited English proficient inmates. The facility had PREA signage displayed in both English and Spanish in the housing units in large print for easy visibility.</p> <p>Auditor Findings:</p> <p>115.16 (a). The auditor conducted an interview with the Agency Head providing the following response: Disability Rights, their representative agency, and have three pre-court settlements that relate to disability rights, how we are able to provide good communication, language skills, criminal population as well, we don’t use inmate as translators, and other materials, we have the ability of hearing impaired, TV, etc. The FDC is tying into federal litigation, mental health treatment, and ADA compliance. The Department established a procedure to comply with the “Americans with Disabilities Act” in January 2001. This procedure outlines the opportunity and</p>

resources that all disabled inmate is afforded the same opportunity. The Department does not discriminate based on disability in the provision of services, programs and activities (this includes PREA). The PREA Orientation video offers closed caption and Spanish options. Inmate interpreters are not utilized for PREA related incidents.

115.16 (b) The FDC has established procedures to provide inmates with limited English proficiency equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment. Inmates may be provided effective communication aides including; qualified sign language interpreters, readers, sound amplifiers, captioned television, telecommunication devices for the deaf, digital texts, Braille materials, and large-print signs. (Note: Inmates cannot and are not utilized as interpreters and readers, except in exigent circumstances). The Department has compiled a list of staff members who can be utilized as translators and has also partnered with Language Line Services to provide translation services when needed.

Specifically for PREA education, staff may read the provided brochure (NI1-120) to blind or decreased sight inmates or may read the translated version of the brochure to an LEP inmate (Note: we have Spanish and English brochures/posters/education materials available; we also have the NI1120 brochure in 6 other languages). Closed captioning may be utilized during the PREA education video for inmates who are deaf or hard of hearing. The auditor interviewed inmates (with disabilities or who are limited English proficient): The auditor interviewed a total of nine inmates who met the criteria for disabled and limited English proficiency, falling into the category of the following: blind, deaf, hard of hearing and cognitive disabilities. The auditor interviewed one hard of hearing, a male inmate at the Hernando CI. The auditor is bilingual and proficient in Sign Language, the auditor asked the hard of hearing inmate if the facility provided the information about sexual abuse and sexual harassment that they were able to understand, and the inmates said "yes". The inmates said they were able to read the information in the handbook. Inmate interpreters are not utilized for PREA related incidents.

115.16 (c). The auditor interviewed a total of 15 random samples of staff and determined that staff did not use inmate interpreters or other types of inmate's assistants to assist inmates with disabilities or inmates who were LEP when making an allegation of sexual abuse or sexual harassment. There were no inmate interpreters used in the past 12 months to assist an inmate reporting sexual abuse or sexual harassment. The auditor interviewed inmates (with disabilities or who are limited English proficient) and determined that the facility provides information about sexual abuse and sexual harassment that they were able to understand. The LEP inmates stated that several staff members serve in the role of a translator and are readily available as needed. The auditor reviewed documentation and no inmate interpreters, readers, other inmate assistants were used in the past 12 months. Inmate interpreters are not utilized for PREA related incidents.

Corrective Action: The auditor recommends no corrective action

115.17	<b>Hiring and promotion decisions</b>
	<p><b>Auditor Overall Determination:</b> Exceeds Standard</p> <p><b>Auditor Discussion</b></p> <p>The following evidence was analyzed in making compliance determination:</p> <p>Documentation Reviewed: (Policies, directives, forms, files, records, etc.)</p> <ul style="list-style-type: none"> <li>a. FDC 208.049 Background Investigation and Appointment of Certified Officers</li> <li>b. FDC Rule 33-601.202 Use of Inmates in Public Works</li> <li>c. FDC 208.049 Background Investigation and Appointment of Certified Officers</li> <li>d. FDC Rule 33-601.202</li> <li>e. Florida Statute 435.03 Level 1 Screening Standards</li> <li>f. Florida Statute 435.04 Level 2 Screening Standards</li> </ul> <p>Interviews:</p> <ul style="list-style-type: none"> <li>1. Human Resource Manager</li> </ul> <p>Site Review Observations:</p> <p>The auditor reviewed Hernando Correctional Institution employee files with training records and background checks that corresponded with employees interviewed during the onsite phase of the audit. All employees are required by law to be screened (fingerprinting, statewide criminal background checks (through the Federal Bureau of Investigation (FBI), local criminal history checks, and Florida Department of Law Enforcement (FDLE). The FDC uses a "live scan" in conjunction with a law enforcement system that alerts the FDC of any employee arrest. The "live scan" is accurate with a quicker notification process than a five-year background check. The FDC utilizes the National Crime Information Center (NCIC) and the Florida Criminal Information Center (FCIC) as a comprehensive updated background check on all employees, contractors, and volunteers.</p> <p>Auditor Findings:</p> <p>115.17 (a) The auditor reviewed files of persons hired or promoted in the past 12 months to determine whether proper criminal record background checks have been conducted and questions regarding past conduct were asked and answered; the facility is compliant with the provision of the standard. The FDC has established policies to assist with hiring and promotion of anyone who may have contact with inmates who may have engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or who has been convicted of engaging or attempting to engage in sexual activity in a facility by force or sexual battery or sexual activity, for the prevention of sexual abuse or sexual harassment as outlined in the PREA standards. The Florida Statute Chapter 435 Employment Screening; FDC Procedure, 208.049 Background Investigation, and Appointment of Certified Officers, Procedure 602.016 Entering and Exiting Department of Corrections Institutions; and Personnel Rules and Regulations help direct employment of staff, contractors, and interns; contractors/volunteers, and help impose on employees a continuing duty to disclose</p>

misconduct, to include hiring and promotion procedures.

115.17 (b) An interview was with the FDC Human Resources Manager and the Security Operations Bureau Chief of FDC for the contractor questions on 6/10/19; FDC's supplemental employment application form DC2-854 asks the following question with a "yes" or "no" response option. Page 3, question 16, "have you ever been civilly or administratively adjudicated guilty to have engaged in any sexual abuse or sexual harassment? If yes, explain? The promotion process reviews any charges that would be considered disciplinary.

115.17 (c) The interview with the FDC Administrative (Human Resources) Manager determined; FDC conducts a thorough background investigation that includes a complete National and State Criminal History check utilizing the FCIC and NCIC information centers. For criminal information that is returned on these checks, we complete a Criminal Record Review (CRR), as outlined in FDC Procedures 208.049 that initiates a deeper look into the applicants' criminal charges. A level 2 Background checks are conducted for all contractors entering the facilities. This consists of fingerprints and a background check using a live scan portal. The live scan system is purged if the contractor/owner of the OIR# is employed. The auditor reviewed contractor, employees and volunteer files of personnel hired in the past 12 months confirming that the agency has completed checks consistent with 115.17(c). In the past 12 months, the number of persons hired who may have contact with inmates who have had criminal background records checks: 23.

115.17 (d). A level 2 Background checks are conducted for all contractors entering the facilities. This consists of fingerprints and a background check using a live scan portal. The live scan system is purged if the contractor/owner of the OIR# is employed. The auditor reviewed contractor, employees and volunteer files of personnel hired in the past 12 months confirming that the agency has completed checks consistent with 115.17(c) at the facility.

115.17 (e) The interview with the FDC Administrative (Human Resources) Manager states that criminal record background checks for employees are completed by the Central Office recruitment team. As part of the hiring process, employees are fingerprinted and have their biometric information retained. If an employee is arrested, the Human Resources department is notified via FDLE's Falcon Database and proper action is taken through the Employee Relations team. Employee biometric fingerprint information is retained in FDLE's Falcon Database and notified if the employee is arrested.

115.17 (f) The interview with the FDC Administrative (Human Resources) Manager states that FDC's supplemental employment application form DC2-854 asks the following questions with a "yes" or "no" response option. Page 3, question 16, "Have you ever been civilly or administratively adjudicated guilty to have engaged in any sexual abuse or sexual harassment? If yes explain? The promotions process reviews any charges that would be considered disciplinary.

115.17 (g) Material omissions regarding such misconduct, or the provision of materially false information, are grounds for termination.

115.17 (h). Interview response with the FDC Administrative (Human Resources) Supervisor: When former employees reapply to work with FDC, a new background investigation is initiated

which involves the completion of a "Request for Rehire," form DC2-814. This form probes into the applicants' work discipline and investigation history with the department. These checks are completed with the Human Resource Personnel Records Unit, Human Resources Employee Relations Database and Office of the Inspector General.

Corrective Action: The auditor recommends no corrective action.

115.18	<b>Upgrades to facilities and technologies</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>The following evidence was analyzed in making compliance determination:</p> <p>Documentation Reviewed: (Policies, directives, forms, files, records, etc.)</p> <p>a. Surveillance Camera Report b. Memo facility upgrades</p> <p>Interviews:</p> <p>1. Warden 2. Agency Head</p> <p>Site Review Observations:</p> <p>The Hernando C.I. has surveillance cameras. The auditor reviewed the camera placement for Hernando surveillance cameras; they were checked for readiness and operational status of the equipment, including back up power, picture clarity and quality, sightline obstructions, blind spots, cracked/dirty lens and or anything that would affect the camera coverage video recording capability. Hernando C.I. has surveillance video monitoring to assist in preventing, detecting, and responding to sexual abuse harassment allegations. Hernando Correctional Institution has installed cameras in all inmate living and common areas: A, B, C, and D dormitories. The cameras are digital video recorders and are secured in the officers' control room areas. The cameras are digital video recorders and are secured in the officers' control room areas. The cameras are in the sleeping and common areas of the dorm with monitors and digital video recorders located in their station. The cameras are checked daily and tested monthly. The recorders are secured with limited access. The program and settings are password protected. There are twelve cameras in A, B, and D dormitories. C dormitory has six cameras. Segregated housing has seven cameras. On September 14, 2016, Hernando Correctional Institution installed cameras in the entrance and exit area. There is a total of four cameras located in the sally port area. The DVR is secured in the control room and is password protected. There have not been any renovations or expansions to the facility. In the past year, more cameras have been placed throughout the facility including education, classification hallway areas and visiting park and audio is available in the confinement and main entry and sally port. Equipment DVR: Ever Focus Model EGOR HD 16XL, 16CH, HD Real-time DVR, memory: 30 days or recording before overwriting starts, Cameras: Ever Focus Model ED910 Analog HD true Day/Night indoor dome camera. Main entry and sally port equipment 16CH, HD real-time DVR, memory: 30 days of recording before overwriting starts, Unit is in control room locked cabinet and is password protected. Cameras: Ever Focus Model EHD930 Analog HD True Day/Night, Outdoor IR Vandal dome camera. Hernando Correctional Institution had a total of 12 security mirrors located in the following areas: A dorm (2), B dorm (2), C dorm (2), D dorm (2), Visiting Park (1), Sallyport (1), &amp; Warehouse (2). The security mirrors are in place to eliminate blind spots and to ensure the security and safety of all inmates, staff, volunteers, and visitors.</p>

Auditor Findings:

115.18 (a) There were no renovations, modifications, or expansions in the past 12 months. The Agency Head interview response: Facility modification has always been focused on providing safety to both staff and inmates. Facilities must submit a request (via the chain of command) to the Regional Director regarding any renovation or new construction. The request must include at a minimum; description of the work, the program area of the facility the work is to be completed, what the project is correcting or improving, if permits will be required, if the work is routine or critical, the FDC building number, the size of the building, the individuals requesting the project, justification for the project, the estimated cost of the project and confirmation that the work has been reviewed to ensure PREA compliance. The review for PREA compliance includes; ensuring a proper line of sight, making sure the construction will not create blind spot areas and making certain that the construction will not inhibit an inmate's ability to benefit from all aspects of PREA. The site review and surveillance camera report determined that Hernando Correctional Institution had cameras utilized to enhance inmate's protection from sexual abuse. The Sexual Abuse Incident Review team (SAIR) reviews each completed allegation of sexual abuse at the facility excluding only unfounded incidents and takes into consideration whether monitoring technology should be deployed or augmented to supplement supervision by staff. Additionally, the team examines the area where the alleged incident occurred and whether physical areas may enable abuse. The SAIR, which is headed by the PREA Manager (Assistant Warden) makes recommendations and forwards them to the Warden and reports recommendations for improvements to the PREA Coordinator, outlined 602.053, Prison Rape: Prevention, Detection, and Response.

115.18 (b) The agency head interview responses: in recent years the department has focused resources on adding and upgrading to the current video monitoring technology. Video cameras have been installed in all housing units across the state. Many facilities have cameras in food service, laundry, and other common areas. The department is continually working with the legislature to obtain funding to enhance current video monitoring technology to have all areas of every facility under surveillance. Video is utilized to monitoring technology to have all areas of every facility under surveillance. Video is utilized to monitor inmates between security checks, to allow staff to monitor multiple areas at once and to limit blind spot areas. Monitoring technology may also be utilized in identifying suspicious activity by inmates and/or staff members and may allow staff to more actively monitor inmates who are deemed aggressive or potential perpetrators/abusers. Video technology can also assist the Office of the Inspector General (OIG) with investigations and prosecutions. Another resource that the Department has employed at facilities is audio monitoring devices in dormitories. Each dorm is equipped with an intercom system that is monitored by the officer in the officer's station. This allows for officers to respond to situations such as assaults or sexual victimization when they hear the inmate call out. This system is completely operational statewide and is especially important in segregated housing dorms with two-man cells. The warden or designee interview: the objective is to eliminate sexual abuse and sexual harassment by enhancing inmate's protection from sexual abuse. The auditor checked the monitoring system and surveillance cameras during the site review. Privacy screens and barriers have been placed in every dorm/housing unit and shower area to allow privacy from the staff of the opposite gender.

Corrective Action: The auditor recommends no corrective action.



115.21	<b>Evidence protocol and forensic medical examinations</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>The following evidence was analyzed in making compliance determination:</p> <p>Documentation Reviewed: (Policies, directives, forms, files, records, etc.)</p> <ul style="list-style-type: none"> <li>a. Evidence Protocol for Sexual Battery</li> <li>b. FDC Procedure 108.015 Sexual Battery, Sexual Harassment, and Sexual Misconduct Investigations</li> <li>c. Victim Brochure Provided to Inmates</li> <li>d. FDC Procedure 602.053 Prison Rape Prevention, Detection, and Response</li> <li>e. Nurses SANE certificates</li> <li>f. Victim Advocacy, Rape Crisis Center Contract</li> <li>g. Cardinez-Harris Advocacy Qualification</li> <li>h. Victim Brochure Provided to Inmates</li> <li>i. Victim Advocacy Approved List 2019 Updated</li> <li>j. Victim Advocate Contacts</li> </ul> <p>Interviews:</p> <ul style="list-style-type: none"> <li>1. Random Staff</li> <li>2. PREA Compliance Manager</li> <li>3. Medical Staff</li> </ul> <p>Site Review Observations:</p> <p>The auditor observed the Zero-Tolerance signs posted in all inmate housing units in both English and Spanish with the following information for sexual abuse and sexual harassment. Locations observed: the auditor observed the following locations: administration building, gate 4/5, medical/security building, inmate canteen, volleyball court, basketball court, adult recreation pavilion, B-dormitory, programs building, library, laundry, food service, staff dining, another laundry area, holding cells, confinement, C-dormitory, programs annex, inside grounds shop, multi-purpose building, chapel and maintenance department. The auditor observed the zero-tolerance signs posted in all inmate housing units in both English and Spanish with the following information for sexual abuse and sexual harassment. The facility displayed good correctional practices and provided privacy with excellent coverage for the offender population.</p> <p><b>RIGHT TO REPORT</b></p> <p>If you, or someone you know, are experiencing sexual abuse or sexual harassment, Hernando Correctional Institution wants to know. We want you to report right away! Why?</p> <p>The Florida Department of Corrections offers multiple ways to report sexual abuse and sexual harassment.</p>

Reports can be made verbally, in writing, anonymously and via a third party. Below are the methods available to report: \* call an outside entity (Gulf Coast Children's Advocacy Center) to report by dialing 1-850-832-3905, \* call the TIPS line by dialing 1-866-246-4412, \* report to any staff member, volunteer or contractor, including medical and mental health care staff, \*submit a grievance or an inmate request, \*report to the facility's PREA compliance manager (the Assistant Warden of Programs), \*tell a family member, friend, legal counsel, or anyone else outside the facility. They can report on your behalf through a third-party grievance, through the online citizen's complaint form or by contacting the PREA office at [PREA@fdc.myflorida.com](mailto:PREA@fdc.myflorida.com). You can also submit a report on someone's behalf, or someone at the facility can report for you using the methods listed above.

Victim Support Services: Suncoast Community Release Center has partnered with Suncoast Center Inc. to provide survivors of sexual abuse with emotional support services. To access these services, contact 1-727-530-7273 or send a letter to P.O. Box 10970, St. Petersburg, FL 33733.

Hernando Correctional Center has partnered with Dawn Center Services (Domestic & Sexual Violence Services of Hernando County) to provide survivors of sexual abuse with emotional support services. To access these services and contact 8499 or send a letter to P.O. Box 6179 Spring Hill, FL 34611. Services provided: 24-hour crisis hotline, an emergency shelter in a confidential location, supportive outreach services for victims and their children, legal advocacy services, information and referral services for women, men and children impacted by violence, danger assessment, and safety planning, and training for professional and law enforcement.

\*Refer to the NI1-120 Sexual Abuse Awareness pamphlet for more information on anonymous reporting and limits to confidentiality for emotional support services.

#### Auditor Findings:

115.21 (a) The auditor interviewed a total of 15 Random Sample of Staff, and they were knowledgeable of the agency's protocol for obtaining usable physical evidence and stated that the Office of Inspector General was responsible for conducting all investigations. Investigations for sexual abuse and sexual harassment are performed by the Office of the Inspector General trained investigators who have a chain of command from the agency and a chain of command to the Governor's Inspector General Office. This is a "Sworn Law Enforcement Statewide Authority, investigative Agency". The FDC's Evidence Protocol and Forensic Medical Examinations are comprehensive to help prevent, detect, and respond to sexual abuse in prison. The PREA audit questionnaire (PAQ) reflected a total of seven investigations in the past 12 months.

115.21 (b) FDC Procedure 108.015 Sexual Battery, Sexual Harassment and Sexual Misconduct Investigations. Hernando Correctional Institution did not have forensic medical examinations conducted in the past 12 months. The agency is responsible for conducting administrative and criminal sexual abuse investigations by the Office of Inspector General (OIG), who reports to the Agency Secretary and Inspector General of the Governor's Office to include Florida statutory authority and responsibility to conduct criminal investigations at Hernando and the Florida Department of Corrections. The number of forensic medical exams

conducted during the past 12 months: 0. Hernando Correctional Institution does not house youthful.

115.21 (c) If forensic examinations are required, Procedure 108.015 Sexual Assault Response Team (SART), where used herein, refers to a contract medical team that, at the direction of staff from the Office of the Inspector General, responds to report sexual assault incidents in all regions where the Department has a contract, by conducting a forensic sexual assault examination at the reporting facility. The number of forensic medical exams conducted during the past 12 months: 0.

115.21 (d). Interview with the PREA Compliance Manager-Victim Support Services (VSS) (based on the contract with Another Way.,) & the Florida Department of Corrections Victims Services Section has a Victims' Rights Brochure (pamphlet/form NI1120), which further accentuates the FDC's commitment to PREA and its' commitment to victim assistance. Inmates who reported a sexual abuse interview response: staff provided the FDC Sexual Awareness pamphlet for inmates and the Florida Department of Corrections Victims Services Section has a Victims' Rights Brochure (pamphlet/form NI1-120). The auditor reviewed a total of four investigations and verified that any required victims advocate would be offered as needed. The auditor asked a random inmate onsite to test the phone lines to the Another Way, Inc with no issues. The contractor provides a 24/7 toll-free rape crisis hotline, staffed by certified Victim Advocates; provides a mailing address for correspondence; and provides a certified Victim Advocate for forensic exams and investigatory interviews; provides follow-up services and crisis intervention to victims of sexual assault.

115.21 (e) The FDC has staff victim advocates who are certified by the Florida Attorney General's Office.

115.21 (f) A review of documentation of the request regarding the requirements of §115.21(a) through (e) with outside investigating agency; Investigations for sexual abuse and sexual harassment are performed by the Office of the Inspector General trained investigators who have a chain of command from the agency and a chain of command to the Governor's Inspector General Office. This is a "Sworn Law Enforcement Statewide Authority, investigative Agency". The FDC Procedure 602.053, Prison Rape: Prevention, Detection, and Response, requires investigations of all allegations of sexual abuse and sexual harassment (and by Florida Statute); forensic examinations (based on the contract with SAFE/SANE practitioners and on Investigative Procedures of the Office of the Inspector General [OIG]); and Victim Support Services (VSS) (based on the contract with Another Way, Inc).

Corrective Action: The auditor recommends no corrective action.

115.22	<b>Policies to ensure referrals of allegations for investigations</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>The following evidence was analyzed in making compliance determination:</p> <p>Documentation Reviewed: (Policies, directives, forms, files, records, etc.)</p> <ul style="list-style-type: none"> <li>a. FDC Procedure 108.003 Investigative Process</li> <li>b. FDC Procedure 108.015 Sexual Battery, Sexual Harassment, and Sexual Misconduct Investigations</li> <li>c. FDC Procedure 602.053 Prison Rape Prevention, Detection, and Response</li> </ul> <p>Interviews:</p> <ul style="list-style-type: none"> <li>1. Warden</li> </ul> <p>Auditor Findings:</p> <p>115.22 a. In the past 12 months, the number of allegations of sexual abuse and sexual harassment that were received: 7. The number of forensic medical exams conducted in the past 12 months by SANE/SAFE: 0. Allegations that were referred to the administration for resolution have been completed. Interview with Agency Head: Allegations of sexual harassment committed by staff are addressed in an administrative investigation and completed within a designated period. A case summary report documenting the finding of the investigation is completed and reviewed by OIG management. Additionally, any sustained finding is reviewed by the appropriate disciplinary authority to impose appropriate disciplinary action in consultation with the Legal Department. Allegations of sexual harassment committed by inmates are addressed as a disciplinary investigation under the rules of prohibited inmate conduct by the Office of Institutions. Allegations of sexual abuse are addressed in a criminal investigation and completed within designated periods. A case summary report documenting the finding of the investigation is completed and presented to the local State Attorney's Office for prosecution if warranted. If the State Attorney's Office declines prosecution, a case summary report is completed which documents the declination of prosecution. All case summary reports are reviewed by OIG management. Allegations of repeated sexual harassment by staff members on inmates are handled with an administrative investigation. The complainant/victim inmate is interviewed to ascertain any names of witnesses or evidence to corroborate the allegation. Any identified evidence is evaluated, and the subject staff member is interviewed. A summary report is completed and submitted to management for the review of findings. Additionally, any sustained finding is reviewed by the appropriate disciplinary authority to impose appropriate disciplinary action in consultation with the Legal Department. Allegations of inmate-on-inmate sexual harassment are addressed as a disciplinary investigation governed under the rules of prohibited inmate conduct by the Office of Institutions. It is important to note that when inmates have issued a disciplinary report related to sexual victimization, this information is incorporated and utilized in their IBAS/SRI screening designation. Disciplinary reports are just one of the many criteria utilized to determine whether an inmate is or has the potential to be a predator or prey.</p>

115.22 b. The interview with investigative staff: The FDC has Procedures in place 602.053, Prison Rape: Prevention, Detection, and Response; and 108.015 Office of the Inspector General Sexual Battery, Sexual Harassment, and Sexual Misconduct Investigations; which direct, outline, and ensure the referral of allegations of sexual abuse for investigation. These procedures specifically address instances of sexual abuse and sexual harassment and the Inspector Responsibilities and Reporting. FDC Procedure 108.003 Investigative Process OIG is responsible for the investigation of civil, criminal, and administrative matters relating to the Department. It is posted on the website: <http://www.dc.state.fl.us/ig/index.html>. The Office of the Inspector General (OIG) is a statutorily created independent entity whose mission is to detect and deter waste, fraud, abuse, and misconduct in Departmental programs and personnel, and to promote economy and efficiency in those programs. The OIG investigates both alleged violations of criminal laws and major departmental policy violations/F.A.C. violations committed by Departmental employees and audits and inspects Departmental programs.

115.22 c. The agency website is <http://www.dc.state.fl.us/PREA/index.html> with the publication (website or paper) that describes the investigative responsibilities of both the agency and the separate entity that conducts criminal investigations for the agency, if applicable. Resources: Instructions for Filing a Third Party, Grievance, Third-Party Grievance Form, Florida Statute 944.31, FDC Policy 108.015, PREA Final Standards, PREA Resource Center and PREA Survivor Stories.

115.22 d/e. N/A

Corrective Action: The auditor recommends no corrective action.

115.31	<b>Employee training</b>
<b>Auditor Overall Determination:</b> Meets Standard	
<b>Auditor Discussion</b>	
<p>The following evidence was analyzed in making compliance determination:</p> <p>Documentation Reviewed: (Policies, directives, forms, files, records, etc.)</p> <ul style="list-style-type: none"> <li>a. FDC Procedure 602.053</li> <li>b. Employee PREA Certificates</li> <li>c. PREA Inservice Training Curriculum</li> <li>d. E-Train Documentation</li> <li>e. 602.053 Prison Rape Prevention, Detection, and Response</li> <li>f. Female Offender Annual Training</li> <li>g. Female Offender Initial Training</li> </ul> <p>Interviews:</p> <ul style="list-style-type: none"> <li>1. Random Staff</li> </ul> <p>Site Review Observations:</p> <p>The Florida Department of Corrections and Hernando Correctional Institution train all employees who have contact with inmates regarding the Prison Rape Elimination Act. FDC Procedure 602.053 Prison Rape: Prevention, Detection, and Response require all staff to be trained on these topics every two years.</p> <p>Auditor Findings:</p> <p>115.31 (a). The auditor reviewed employee training records for the required PREA training and refresher course meeting compliance. A review of the PREA training curriculum supports that it addresses all aspects required by the standard. The auditor conducted 42 staff interviews from Hernando Correctional Institution. The random staff stated that they received PREA annual training each year and described first responder duties and responsibilities of reporting sexual abuse and sexual harassment. The auditor verified the required training in the training curriculum provided to the FDC staff.</p> <p>Its zero-tolerance policy for sexual abuse and sexual harassment; (2) How to fulfill their responsibilities under agency sexual abuse and sexual harassment prevention, detection, reporting, and response policies and procedures; (3) Inmates' rights to be free from sexual abuse and sexual harassment; (4) The right of inmates and employees to be free from retaliation for reporting sexual abuse and sexual harassment; (5) The dynamics of sexual abuse and sexual harassment in confinement; (6) The common reactions of sexual abuse and sexual harassment victims; (7) How to detect and respond to signs of threatened and actual sexual abuse; (8) How to avoid inappropriate relationships with inmates; (9) How to communicate effectively and professionally with inmates, including lesbian, gay, bisexual, transgender, intersex, or gender-nonconforming inmates; (10) How to comply with relevant laws related to mandatory reporting of sexual abuse to outside authorities.</p>	

115.31 (b). A sample review of training records confirmed compliance. The facility utilizes the FDC Lesson Plan Offender Training Curriculum tailored to the gender of the inmates at the facility. The auditor reviewed ten samples of training records verifying the training had been conducted and completed with signature verification. In-Service Training is specific to the gender of the inmate within the Institution. If reassigned to a facility housing opposite gender inmates, refresher in-service would be provided.

115.31 (c). The auditor reviewed ten samples of training records verifying the training had been conducted and completed. All current employees receive training annually. FDC Employees receive PREA training annually. In-Service Training provides an annual refresher on PREA related topics.

115.31 (d). The auditor reviewed samples of training records verifying the training had been conducted and completed through electronic records.

Corrective Action: The auditor recommends no corrective action.

115.32	<b>Volunteer and contractor training</b>
	<p><b>Auditor Overall Determination:</b> Exceeds Standard</p> <p><b>Auditor Discussion</b></p> <p>The following evidence was analyzed in making compliance determination:</p> <p>Documentation Reviewed: (Policies, directives, forms, files, records, etc.)</p> <ul style="list-style-type: none"> <li>a. E-Train Documentation</li> <li>b. Contractor Training Record (Read and Sign)</li> <li>c. Vendor Training Record (Read and Sign)</li> <li>d. Volunteer-Contractors Training Curriculum</li> <li>e. Volunteer Training Record (Read and Sign)</li> <li>f. F.S. 110.503</li> <li>g. FDC Procedure 503.004</li> <li>h. Volunteer-Contractor PREA Training Curriculum</li> </ul> <p>Interviews:</p> <ul style="list-style-type: none"> <li>1. Volunteer(s) and Contractor(s) who have contact with inmates</li> </ul> <p>Site Review:</p> <p>FDC Procedure 602.053 Prison Rape: Prevention, Detection, and Response ensure all contractors and volunteers who have contact with inmates are trained on their responsibilities regarding the PREA standards.</p> <p>Auditor Findings:</p> <p>115.32 (a). Hernando Correctional Center trains all the contractors and volunteers who have contact with inmates on FDC Procedure 602.053, Prison Rape: Prevention, Detection, and Response. This procedure directs that the "institution shall ensure that all contractors and volunteers who have contact with inmates are trained in their responsibilities under this and related policies via the Staff Development and Training lesson plan, titled Prison Rape Elimination Act Training for Interns, Volunteers and Contractors "Read and Sign". The Florida Department of Corrections requires all interns, volunteers, and contractors to receive training on the Prison Rape Elimination Act, also known as PREA. This requirement can be fulfilled by reading the Prison Rape Elimination Act Training for Interns, Volunteers, and Contractors and signing the Training Affidavit (form NI 1-127). A review of training records for volunteers and contractors who have contact with inmates confirmed compliance. The auditor conducted interviews with volunteers and contractors who have contact with inmates and acknowledged that they had received the training.</p> <p>115.32 (b). The auditor reviewed a sample of training records of volunteers and contractors validating compliance. Interns, Volunteers, and Contractors are required to sign the Training Affidavit (form NI 1-127). Hernando Correctional Institutions had a total of 263 volunteers and contractors who may have contact with inmates, who have been trained in agency's policies</p>

and procedures regarding sexual abuse and sexual harassment prevention, detection and response.

115.32 (c). The Pre-Audit Questionnaire documents that 263 volunteers and contractors who may have contact with inmates have been trained in the Agency's policies and procedures regarding sexual abuse and sexual harassment prevention, detection and response. Two contractors and two volunteers were interviewed and asked about the zero-tolerance policy regarding sexual abuse and sexual harassment and were also asked about how to report incidences of sexual abuse and sexual harassment and they were able to clearly articulate the reporting process for sexual abuse and sexual harassment. The auditor verified the volunteer and contractor PREA training during the on-site portion of the audit.

Corrective Action: The auditor recommends no corrective action.

115.33	<b>Inmate education</b>
<b>Auditor Overall Determination:</b> Meets Standard	
<b>Auditor Discussion</b>	
<p>The following evidence was analyzed in making compliance determination:</p> <p>Documentation Reviewed: (Policies, directives, forms, files, records, etc.)</p> <ul style="list-style-type: none"> <li>a. FDC Procedure 601.210 Inmate Orientation</li> <li>b. FDC Procedure 602.053 Prison Rape Prevention, Detection, and Response</li> <li>c. Inmate Handbook (English &amp; Spanish)</li> <li>d. Inmate Brochure (NI1-120 English &amp; Spanish)</li> <li>e. Acknowledgment of Receipt of PREA Orientation (DC6-134C)</li> <li>f. Inmate Education Facilitators Guide - Permanent Facilities</li> </ul> <p>Interviews:</p> <ul style="list-style-type: none"> <li>1. Random Inmates</li> <li>2. Intake Staff</li> </ul> <p>Site Review Observations:</p> <p>The auditor conducted inmate interviews on October 14 &amp; 15, 2019 at the Hernando Correctional Institution. The auditor selected a geographically diverse sample of random female inmates for the audit process to include housing units by selecting inmates from all backgrounds meeting the required interviews. There was a total of 42 inmates who were interviewed in a room on an individual basis with privacy and enough time. The inmates were interviewed using the Department of Justice protocol interview questions generally and specifically targeting their knowledge of reporting mechanisms available for inmates to report sexual abuse and sexual harassment. The inmates interviewed were well informed about the PREA reporting process, their rights to be free from sexual abuse, and how to report sexual abuse or sexual harassment. There were no inmate refusals. FDC DVD Video "PREA: What You Need to Know" is played in both English &amp; Spanish at the time of intake or within 25 days of arrival. Educational materials are provided at intake to include NI1124 Sexual Abuse Awareness brochure in their primary language.</p> <p>Auditor Findings:</p> <p>115.33 (a). The number of inmates admitted during the past 12 months who were given this information at intake: 410. The FDC Procedure 602.053, Prison Rape: Prevention, Detection, and Response, indicates inmates will receive information concerning sexual abuse, sexual battery, staff sexual misconduct, and sexual harassment and be oriented/educated following the FDC Inmate Orientation Procedure 601.241. FDC Procedure 602.053, directs that each institution "will ensure that the inmate orientation process will encourage inmates to immediately report any concern or fear of possible sexual abuse, sexual battery, staff sexual misconduct, or sexual harassment to the correctional staff." The procedure further indicates that inmates with limited English proficiency, and/or who have a disability will be educated</p>	

referenced their right to be free from sexual abuse and sexual harassment, zero tolerance, and how to report. The interview with staff conducting the orientation process determined that inmates receive their rights to be free from sexual abuse and sexual harassment and PREA information within 72 hours.

The auditor interviewed a total of 15 random samples of inmates and determined that all the inmates interviewed received the PREA information. LEP and inmates with disabilities were interviewed the auditor verified that they received PREA training upon arrival to include orientation, PREA video, and PREA information. It was determined that during the intake process, inmates received information explaining the agency's zero-tolerance policy regarding sexual abuse and sexual harassment and how to report incidents or suspicions of sexual abuse or sexual harassment. The number of inmates admitted during the past 12 months who were given this information at intake: 279.

115.33 (b). The number of inmates admitted during the past 12 months (stay was 30 days or more): 279. LEP and inmates with disabilities were interviewed the auditor verified that they received PREA training upon arrival to include orientation, PREA video, and PREA information. Staff interviews, Inmate interviews and a review of documentation determined the facility provides comprehensive education within 30 days of intake, to inmates either in person or through video regarding their rights to be free from sexual abuse and sexual harassment and to be free from retaliation for reporting such incidents, and regarding agency policies and procedures for responding to such incidents.

115.33 (c). An interview with the Intake/receiving staff determined that all inmate received the training required at this time. A review of information determined that inmates were current with the comprehensive PREA education within the required timeframe. The auditor interviewed a total of 15 random samples of inmates and determined that all the inmates interviewed received the PREA information.

115.33 (d). The auditor randomly reviewed 24 DC6-134C-Acknowledgement of Receipt of Orientation on the Prison Rape Elimination Act (PREA) of 2403. The signature certifies that the inmate received: an explanation of PREA, DOC's zero-tolerance policy on sexual abuse/assault, avoiding/preventing sexual abuse/assault, an explanation of appropriate methods of intervention, an explanation of appropriate methods of self-protection, information on reporting sexual abuse/assault, and instructions on the process to request treatment and counseling. One hard of hearing inmate was interviewed and stated that she received the information he was able to understand and described how to report sexual abuse.

115.33 (e). The auditor interviewed a total of 15 random samples of inmates and determined that all the inmates interviewed received the PREA information. The auditor randomly reviewed DC6-134C-Acknowledgement of Receipt of Orientation on the Prison Rape Elimination Act (PREA) of 2403. The signature certifies that the inmate received: an explanation of PREA, DOC's zero-tolerance policy on sexual abuse/assault, avoiding/preventing sexual abuse/assault, an explanation of appropriate methods intervention, an explanation of appropriate methods of self-protection, information on reporting sexual abuse/assault, and instructions on the process to request treatment and counseling.

115.33 (f). The auditor observed large PREA signs displayed in both English and Spanish in all

housing units, large enough to view. Informal interviews during the site review determined that inmates received education material, handbooks, and PREA information.

Corrective Action: The auditor recommends no corrective action.

<b>115.34</b>	<b>Specialized training: Investigations</b>
	<p data-bbox="252 168 896 201"><b>Auditor Overall Determination:</b> Meets Standard</p> <p data-bbox="252 246 523 280"><b>Auditor Discussion</b></p> <p data-bbox="252 324 1225 358">The following evidence was analyzed in making compliance determination:</p> <p data-bbox="252 414 1200 448">Documentation Reviewed: (Policies, directives, forms, files, records, etc.)</p> <ul style="list-style-type: none"> <li data-bbox="252 504 1396 571">a. FDC Procedure 108.015 Sexual Battery, Sexual Harassment, and Sexual Misconduct Investigations</li> <li data-bbox="252 582 858 616">b. FDC Procedure 602.053, Inspector Training</li> <li data-bbox="252 627 673 660">c. Inspector Training Curriculum</li> <li data-bbox="252 672 646 705">d. Inspector Training Data.pdf</li> <li data-bbox="252 716 699 750">e. SR_Employee Training Data IG</li> </ul> <p data-bbox="252 795 395 828">Interviews:</p> <ul style="list-style-type: none"> <li data-bbox="252 884 518 918">1. Investigative Staff</li> </ul> <p data-bbox="252 974 598 1008">Site Review Observations:</p> <p data-bbox="252 1064 1444 1265">Investigation Files: The facility provided the auditor with seven sexual abuse/sexual harassment allegations in the past twelve months preceding the audit. The PREA officer provided the investigations to the auditor on the first day of the audit for review. The auditor reviewed four investigations. The agency had a total of 94 inspectors statewide who have been trained and completed the required training. Auditor Findings:</p> <p data-bbox="252 1310 1476 1646">115.34 (a). The auditor interviewed specialized investigators with the Office of Inspector General. The agency is responsible for conducting administrative sexual abuse investigations and the Office of the Inspector General (OIG), who reports to the Agency Secretary, and the Inspector General of the Governor's office; has Florida statutory authority and responsibility to conduct criminal investigations at Hernando Correctional Institution and for the entire Florida Department of Corrections. This protocol meets the Department of Justice requirements. The auditor reviewed the training records for the Specialized Investigators with the Office of Inspector verifying compliance with the required training.</p> <p data-bbox="252 1691 1428 1904">115.34 (b) A review of the Specialized Investigators/Office of Inspector General training records and interviews confirmed that their training included: techniques for interviewing sexual abuse victims, proper use of Miranda and Garrity warnings, sexual abuse evidence collection in confinement settings, and the criteria and evidence required to substantiate a case for administrative action or prosecution referral.</p> <p data-bbox="252 1948 1476 2116">115.34 (c) A review of the investigators' training records verifying compliance with this provision of the standard; the agency maintains documentation that agency investigators have completed the required specialized training in conducting sexual abuse investigations. The number of investigators currently employed who had completed the required training: 94.</p>

Corrective Action: The auditor recommends no corrective action.

115.35	<b>Specialized training: Medical and mental health care</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>The following evidence was analyzed in making compliance determination:</p> <p>Documentation Reviewed: (Policies, directives, forms, files, records, etc.)</p> <ul style="list-style-type: none"> <li>a. FDC HSB 15.03.36 Post Sexual Battery Medical Action</li> <li>b. FDC Procedure 602.053 Medical-MH Training</li> <li>c. Centurion Specialized PREA Training</li> <li>d. Medical Mental Health Training Curriculum</li> <li>e. Contractor Training Read and Sign</li> <li>f. Certificate of Completion - PREA</li> <li>g. Centurion Specialized PREA Training</li> <li>h. Medical Mental Health Training Curriculum</li> </ul> <p>Interviews:</p> <ul style="list-style-type: none"> <li>1. Medical and Mental Health</li> </ul> <p>Site Review Observations:</p> <p>The auditor reviewed the Hernando Correctional Institution operating policies and procedures along with secondary documentation submitted with the pre-audit questionnaire; observed facility practices; reviewed data and documentation provided by the facility staff, and interviewed inmates and staff during an on-site visit and site review of the facility.</p> <p>Auditor Findings:</p> <p>115.35 (a). The number of all medical and mental health care practitioners who work regularly at this facility who received the training required by agency policy: 21. The auditor interviewed the medical and mental health staff and determined that staff received the employee training in addition to the training required by the PREA standard. The auditor reviewed the training curriculum provided by Centurion (the contractor who provides medical and mental health services) confirming all requirements have been met. The medical and mental health were trained in: (1) How to detect and assess signs of sexual abuse and sexual harassment; (2) How to preserve physical evidence of sexual abuse; (3) How to respond effectively and professionally to victims of sexual abuse and sexual harassment; and (4) How and to whom to report allegations or suspicions of sexual abuse and sexual harassment.</p> <p>115.35 (b) Agency medical staff at Hernando Correctional Institution do not conduct forensic medical exams. Forensic Medical Exams are conducted by approved SART employees contracted by the agency. Medical and Mental Health staff interviews along with the review of the documentation determined that Forensic Examinations are not conducted by facility medical staff.</p>

115.35 (c) Employee Training data was provided and received by the auditor verifying that 100% medical/mental health staff has received the training in 2018/2019 as required.

Corrective Action: The auditor recommends no corrective action.

115.41	<b>Screening for risk of victimization and abusiveness</b>
	<p><b>Auditor Overall Determination:</b> Exceeds Standard</p> <p><b>Auditor Discussion</b></p> <p>The following evidence was analyzed in making compliance determination:</p> <p>Documentation Reviewed: (Policies, directives, forms, files, records, etc.)</p> <ul style="list-style-type: none"> <li>a. FDC Procedure 601.209 Reception Process- Initial Classification</li> <li>b. FDC Procedure 602.053 Prison Rape- Prevention, Detection, and Response</li> <li>c. IBAS-SRI Questions and Answers -PREA Assessment</li> <li>e. IBAS-SRI Questionnaire for PREA Assessment</li> </ul> <p>Interviews:</p> <ul style="list-style-type: none"> <li>1. Staff responsible for Risk Screening</li> <li>2. Random inmates</li> <li>3. PREA Compliance Manager</li> </ul> <p>Site Review Observations:</p> <p>The auditor interviewed the classification officer who is responsible for conducting screening for risk of victimization and abusiveness. The SRI/SRA is appointment driven for the inmates to have the assessments conducted in an office setting for privacy. The classification officer the PREA will conduct the assessment on the computerized form asking every question required and providing PREA education and explanation during the interview for the inmate. The classification officer provided the inmate with a PREA brochure. The classification officer uses the Classification Management System for the SRI. The facility has a system in place that automatically generates an appointment for the 30-day SRI/PREA reassessment to include immediate referrals to medical/mental health. The SRI/PREA assessments are appointment driven to ensure tracking and accountability of the required standard.</p> <p>Auditor Findings:</p> <p>115.41 (a). The auditor reviewed the intake and screening processes at the HERNANDO CORRECTIONAL INSTITUTION. The process at the HERNANDO CORRECTIONAL INSTITUTION is effective and consistent with PREA standards. The Procedure 602.053 Prison Rape: Prevention, Detection, and Response direct the identification, safety, and security during inmate orientation on all newly received inmates. Procedure 601.210 Inmate Orientation details the processes "to articulate PREA orientation requirements." This Procedure 601.210 outlines the required documentation and information to not only meet PREA but to assist classification, medical, and security with the screening. The auditor interviewed with the classification officer who is responsible for conducting screening for risk of victimization and abusiveness.</p> <p>The classification officer will utilize the language line if required. The classification officer conducts the PREA assessment on the computer asking every question required and</p>

providing PREA education and explanation during the interview for the inmate. The classification officer provided the inmate with a PREA brochure. The classification officer used the Classification Management System for the SRI. The classification converted the English translation to the Spanish translation for inmate use and understanding.

The facility has a system in place that automatically generates an appointment for the 30-day SRI/PREA reassessment to include immediate referrals to medical/mental health. The SRI/PREA assessments are appointment driven to ensure tracking and accountability of the required standard. A total of 15 random staff members were interviewed and stated that inmates could report numerous ways.

The auditor observed the Zero-Tolerance signs posted in all inmate housing units in both English and Spanish with the following information for sexual abuse and sexual harassment. How to report: The Florida Department of Corrections offers multiple ways to report sexual abuse and sexual harassment. Reports can be made verbally, in writing, anonymously and via third-party. Methods available to report:

#### RIGHT TO REPORT

If you, or someone you know, are experiencing sexual abuse or sexual harassment, Hernando Correctional Institution wants to know. We want you to report right away! Why?

The Florida Department of Corrections offers multiple ways to report sexual abuse and sexual harassment. Reports can be made verbally, in writing, anonymously and via a third party. Below are the methods available to report: \* call an outside entity (Gulf Coast Children's Advocacy Center) to report by dialing 1-850-832-3905, \* call the TIPS line by dialing 1-866-246-4412, \* report to any staff member, volunteer or contractor, including medical and mental health care staff, \*submit a grievance or an inmate request, \*report to the facility's PREA compliance manager (the Assistant Warden of Programs), \*tell a family member, friend, legal counsel, or anyone else outside the facility. They can report on your behalf through a third-party grievance, through the online citizen's complaint form or by contacting the PREA office at [PREA@fdc.myflorida.com](mailto:PREA@fdc.myflorida.com). You can also submit a report on someone's behalf, or someone at the facility can report for you using the methods listed above.

Victim Support Services: Suncoast Community Release Center has partnered with Suncoast Center Inc. to provide survivors of sexual abuse with emotional support services. To access these services, contact 1-727-530-7273 or send a letter to P.O. Box 10970, St. Petersburg, FL 33733.

Hernando Correctional Center has partnered with Dawn Center Services (Domestic & Sexual Violence Services of Hernando County) to provide survivors of sexual abuse with emotional support services. To access these services and contact 8499 or send a letter to P.O. Box 6179 Spring Hill, FL 34611. Services provided: 24-hour crisis hotline, an emergency shelter in a confidential location, supportive outreach services for victims and their children, legal advocacy services, information and referral services for women, men and children impacted by violence, danger assessment, and safety planning, and training for professional and law enforcement.

\*Refer to the NI1-120 Sexual Abuse Awareness pamphlet for more information on anonymous reporting and limits to confidentiality for emotional support services.

PREA issue by third-party, a third-party reporter is someone who reports sexual abuse and sexual harassment but is neither the victim nor abuser. The following is utilized in the screening process: The Offender Based Information System (OBIS), electronic records database, Inmate Behavior Assessment Scale (IBAS), an Inmate Risk Management System (IRMS).

115.41 (b). The interview with the Staff Responsible for Risk Screening provided the auditor with a database that maintains all the SRI/PREA assessments and 30-day reassessments verifying compliance with the standard of 72 hours of arrival to the facility. The number of inmates entering the facility (either through intake or transfer) within the past 12 months whose length of stay in the facility was for 72 hours or more and who were screened for risk of sexual victimization or risk of sexually abusing other inmates within 72 hours of their entry into the facility: 375. The number of inmates entering the facility (either through intake or transfer) within the past 12 months whose length of stay in the facility was for 30 days or more and who were reassessed for their risk of sexual victimization or of being sexually abusive within 30 days after their arrival at the facility based upon any additional, relevant information received since intake: 279.

115.41 (c). The Hernando Correctional Institution utilizes the SRI Questionnaire/Inmate Risk Management System on a database to include a classification appoint log and case management log entry. The interview with the Staff Responsible for Risk Screening provided the auditor with a database that maintains all the SRI/PREA assessments and 30-day reassessments verifying compliance with the standard of 72 hours of arrival to the facility. The auditor observed the SRI screening process and documentation provided on-site validating compliance with the 72hour timeframe upon arrival.

115.41 (d). Interview with Staff Responsible for Risk Screening-the intake screening consist at a minimum the following: (1) Whether the inmate has a mental, physical, or developmental disability; (2) The age of the inmate; (3) The physical build of the inmate; (4) Whether the inmate has previously been incarcerated; (5) Whether the inmate's criminal history is exclusively nonviolent; (6) Whether the inmate has prior convictions for sex offenses against an adult or child; (7) Whether the inmate is or is perceived to be gay, lesbian, bisexual, transgender, intersex, or gender nonconforming; (8) Whether the inmate has previously experienced sexual victimization; (9) The inmate's own perception of vulnerability; (10) Whether the inmate is detained solely for civil immigration purposes.

115.41 (e). Interview with Staff Responsible for Risk Screening determined that the initial screening considers prior acts of sexual abuse, prior convictions for violent offenses, and history of prior institutional violence or sexual abuse, as known to the agency, in assessing inmates for risk of being sexually abusive.

115.41 (f). Interview with Staff Responsible for Risk Screening determined that the 30 days from the inmate's arrival at the facility, the facility will reassess the inmate's risk of victimization or abusiveness based upon any additional, relevant information received by the facility since the intake screening. The auditor reviewed the 30-day reassessments for compliance with the

standard. The SRI questionnaire is set up by an appointment in the Classification appointment log. A random sample of inmate (15) interviews determined that inmates are set up by appointment and interviewed in an office setting for a one-on-one interview with staff.

115.41 (g). The Interview with Staff Responsible for Risk Screening determined that an inmate's risk level shall be reassessed when warranted due to a referral, request, incident of sexual abuse, or receipt of additional information that bears on the inmate's risk of sexual victimization or abusiveness.

115.41 (h). The interview with Staff Responsible for Risk Screening determined that inmates are not disciplined for refusing to answer or for not disclosing complete information in response to, questions asked pursuant to paragraphs (d)(1), (d)(7), (d)(8), or (d)(9) of this section. A total of 15 random inmate interviews were conducted and none of the inmates claimed to have received a disciplinary for refusing to answer any of the SRI screening questions.

115.41 (i). Interviews with the PREA Coordinator, PREA Compliance Manager, and Staff Responsible for Risk Screening confirmed that the facility authorized staff who can are required to be notified based on the response to the assessment in a confidential manner.

Corrective Action: The auditor recommends no corrective action.

115.42	<b>Use of screening information</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>The following evidence was analyzed in making compliance determination:</p> <p>Documentation Reviewed: (Policies, directives, forms, files, records, etc.)</p> <ul style="list-style-type: none"> <li>a. ASI4 0 IRN 79 Printout</li> <li>b. ASI4 0 IRN 79 Printout-Suncoast</li> <li>c. FDC Procedure 601.209 Reception Process- Initial Classification</li> <li>d. FDC Procedure 602.053 Prison Rape- Prevention, Detection, and Response</li> <li>e. FDC Procedure 602.053 Prison Rape- Prevention, Detection, and Response</li> <li>f. Housing Assignments for LGBTI Inmates</li> <li>g. SRI Questions for PREA assessment</li> <li>h. IBAS-SRI Questions and Answers -PREA Assessment</li> </ul> <p>Interviews:</p> <ul style="list-style-type: none"> <li>1. PREA Compliance Manager</li> <li>2. Staff responsible for Risk Screening</li> <li>3. No Transgender/Intersex inmate Interviews</li> </ul> <p>Auditor Findings:</p> <p>115.42 (a). Interviews with the PREA Compliance Manager and staff responsible for risk screening to include a review of transgender records confirmed the facility uses information from the risk screening required by § 115.41 to inform housing, bed, work, education, and program assignments with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive. The inmate Risk Management System will initiate a code for an identified predator, potential predator, high aggression risk, moderate aggression risk, identified prey, potential prey, high victimization risk, and moderate victimization risk, etc. The facility uses the Sexual Risk Indicator (SRI) Questionnaire/ Inmate Risk Management System and Inmate behavioral assessment scale (IBAS) which alerts classification staff of inmates who are identified as potential victims or predators for the assistance of appropriate housing.</p> <p>115.42 (b). The facility has many different systems in place to make sure individualized determinations about how to ensure the safety of each inmate to include the Sexual Risk Indicator (SRI) Questionnaire/ Inmate Risk Management System and Inmate behavioral assessment scale (IBAS). Observation of the SRI/classification staff supports the auditors' overall assessment of the process meeting compliance with the provision of the standard.</p> <p>115.42 (c). The auditor also reviewed the FDC Procedures 602.053, Prison Rape and 403.012 Identification and Management of Transgender Inmates and Inmates Diagnosed with Gender Dysphoria; the Florida Department of Corrections IBAS Factors and Scores/Profile Comparison Summary Sheet that includes the inmates name, number, bed, location, IBAS,</p>

SRI, age, race, custody, height, weight, release date, medical, mental health, work, status, and other identifying information/data. Interviews with the PREA compliance manager and transgender inmate determined that housing assignments are made on a case by case basis, considering the inmate's health and safety as well as potential programming, management, and security concerns.

115.42 (d) A review of policy and interviews determined that placement and programming assignments for each transgender or intersex inmates will be reassessed at least twice each year for a review of threats to safety experienced by the inmate as needed. There were no transgender or intersex inmates onsite for review or interview.

115.42 (e) Interview with the PCM determined that transgender and intersex inmates would be given respect to his or her views. The policy and interview determined that they would be given the opportunity to shower separately with privacy.

115.42 (f) The interview with the PREA compliance manager and staff responsible for risk screening confirmed that inmates can shower separately from other inmates.

115.42 (g) Interview with the PREA coordinator and PREA compliance manager determined that the facility did not have a title, status, and findings of any consent decree, legal settlement, or legal judgment requiring a facility to establish a dedicated facility, unit, or wing for lesbian, gay, bisexual, transgender, or intersex inmates at Hernando Correctional Institution. LGBTI inmates were interviewed and stated that they were placed in the general population and the auditor reviewed the housing assignments for verification.

Corrective Action: The auditor recommends no corrective action.

<b>115.43</b>	<b>Protective Custody</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>The following evidence was analyzed in making compliance determination:</p> <p>Documentation Reviewed: (Policies, directives, forms, files, records, etc.)</p> <ul style="list-style-type: none"> <li>a. FDC Rule 33-602.220 Administrative Confinement</li> <li>b. FDC Rule 33-602.221 Protective Management</li> <li>c. FDC Procedure 602.053 Prison Rape, Prevention, Detection, and Response</li> </ul> <p>Interviews:</p> <ul style="list-style-type: none"> <li>1. PREA Compliance Manager</li> <li>2. Staff responsible for Risk Screening</li> <li>3. No Transgender/Intersex inmate Interviews</li> </ul> <p>Auditor Findings:</p> <p>115.42 (a). Interviews with the PREA Compliance Manager and staff responsible for risk screening to include a review of transgender records confirmed the facility uses information from the risk screening required by § 115.41 to inform housing, bed, work, education, and program assignments with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive. The inmate Risk Management System will initiate a code for an identified predator, potential predator, high aggression risk, moderate aggression risk, identified prey, potential prey, high victimization risk, and moderate victimization risk, etc. The facility uses the Sexual Risk Indicator (SRI) Questionnaire/ Inmate Risk Management System and Inmate behavioral assessment scale (IBAS) which alerts classification staff of inmates who are identified as potential victims or predators for the assistance of appropriate housing.</p> <p>115.42 (b). The facility has many different systems in place to make sure individualized determinations about how to ensure the safety of each inmate to include the Sexual Risk Indicator (SRI) Questionnaire/ Inmate Risk Management System and Inmate behavioral assessment scale (IBAS). Observation of the SRI/classification staff supports the auditors' overall assessment of the process meeting compliance with the provision of the standard.</p> <p>115.42 (c). The auditor also reviewed the FDC Procedures 602.053, Prison Rape and 403.012 Identification and Management of Transgender Inmates and Inmates Diagnosed with Gender Dysphoria; the Florida Department of Corrections IBAS Factors and Scores/Profile Comparison Summary Sheet that includes the inmates name, number, bed, location, IBAS, SRI, age, race, custody, height, weight, release date, medical, mental health, work, status, and other identifying information/data. Interviews with the PREA compliance manager and transgender inmate determined that housing assignments are made on a case by case basis, considering the inmate's health and safety as well as potential programming, management, and security concerns.</p>

115.42 (d) A review of policy and interviews determined that placement and programming assignments for each transgender or intersex inmates will be reassessed at least twice each year for a review of threats to safety experienced by the inmate as needed. There were no transgender or intersex inmates onsite for review or interview.

115.42 (e) Interview with the PCM determined that transgender and intersex inmates would be given respect to his or her views. The facility did not have any transgender/intersex inmates onsite for an interview. The policy and interview determined that they would be allowed to shower separately with privacy.

115.42 (f) The interview with the PREA compliance manager and staff responsible for risk screening confirmed that inmates can shower separately from other inmates.

115.42 (g) Interview with the PREA coordinator and PREA compliance manager determined that the facility did not have a title, status, and findings of any consent decree, legal settlement, or legal judgment requiring a facility to establish a dedicated facility, unit, or wing for lesbian, gay, bisexual, transgender, or intersex inmates at Hernando Correctional Institution. LGBTI inmates were interviewed and stated that they were placed in the general population and the auditor reviewed the housing assignments for verification.

Corrective Action: The auditor recommends no corrective action.

115.51	<b>Inmate reporting</b>
<b>Auditor Overall Determination:</b> Exceeds Standard	
<b>Auditor Discussion</b>	
<p>The following evidence was analyzed in making compliance determination:</p> <p>Documentation Reviewed: (Policies, directives, forms, files, records, etc.)</p> <ul style="list-style-type: none"> <li>a. 33-103.006 Formal Grievance - Institution or Facility Level 2</li> <li>b. FDC Procedure 602.053 Prison Rape- Prevention, Detection, and Response</li> <li>c. Inmate Brochure (NI1-120) with TIPS new</li> <li>e. Inmate Handbook from DC Web English &amp; Spanish</li> <li>f. PREA Posters (English &amp; Spanish)</li> <li>g. Incident Report (DC6-210) inmate request on staff &amp; inmate</li> <li>h. 2018 Employee Handbook</li> <li>i. Staff E-Train Documentation</li> </ul> <p>Interviews:</p> <ul style="list-style-type: none"> <li>1. A random sample of staff</li> <li>2. A random sample of inmates</li> </ul> <p>Site Review Observations: The auditor selection was made from a geographically diverse sample of random inmates for the audit process to include housing units by selecting the first and tenth of every housing unit. A few modifications were made to the list to due inmate transfers on the day of the audit and selections were made to best fit the category. A total of 42 inmate interviews were conducted on-site.</p> <p>Auditor Findings:</p> <p>115.51 (a) The Florida Department of Corrections offers multiple internal ways for inmates to report privately about sexual abuse or sexual harassment, retaliation by other inmates or staff. The ways to report are documented on posters in English and Spanish throughout the facility, in the Inmate Manual, in the handout Sexual Awareness, through FDC Procedures, including Procedure 602.053, at the library/law library, and on the Agency website. The auditor observed the Zero-Tolerance signs posted in all inmate housing units in both English and Spanish with the following information for sexual abuse and sexual harassment.</p> <p>The Florida Department of Corrections offers multiple ways to report sexual abuse and sexual harassment. Reports can be made verbally, in writing, anonymously and via a third party. Below are the methods available to report: * call an outside entity (Gulf Coast Children’s Advocacy Center) to report by dialing 1-850-832-3905, * call the TIPS line by dialing 1-866-246-4412, * report to any staff member, volunteer or contractor, including medical and mental health care staff, *submit a grievance or an inmate request, *report to the facility’s PREA compliance manager (the Assistant Warden of Programs), *tell a family member, friend, legal counsel, or anyone else outside the facility. They can report on your behalf through a third-party grievance, through the online citizen’s complaint form or by contacting the PREA office at</p>	

PREA@fdc.myflorida.com. You can also submit a report on someone's behalf, or someone at the facility can report for you using the methods listed above.

Hernando Correctional Center has partnered with Dawn Center Services (Domestic & Sexual Violence Services of Hernando County) to provide survivors of sexual abuse with emotional support services. To access these services and contact 8499 or send a letter to P.O. Box 6179 Spring Hill, FL 34611. Services provided: 24-hour crisis hotline, an emergency shelter in a confidential location, supportive outreach services for victims and their children, legal advocacy services, information and referral services for women, men and children impacted by violence, danger assessment, and safety planning, and training for professional and law enforcement.

115.51 (b) The agency provides at least one way for inmates to report abuse or harassment to a public or private entity or office that is not part of the agency that is able to receive and immediately forward inmate reports of sexual abuse and sexual harassment to agency officials, allowing the inmate to remain anonymous upon request. FDC does not detain inmates solely for civil immigration purposes. This information is posted in the library and housing units. Victim Support Services: Suncoast Community Release Center has partnered with Suncoast Center Inc. to provide survivors of sexual abuse with emotional support services. To access these services, contact 1-727-530-7273 or send a letter to P.O. Box 10970, St. Petersburg, FL 33733.

115.51 (c) The auditor interviewed 15 samples of random staff interviewing determining that staff accept reports made verbally, in writing, anonymously, and from third parties and shall promptly document any verbal reports. A total of 15 samples of random inmates determined that the process was in place and they could report to any staff member if needed. Inmate interviews support that they are aware they have many options for reporting sexual abuse or sexual harassment. Forty-nine inmate interviews indicated they have observed the posters in the unit over the phones and displayed in different areas that provide this information.

115.51 (d) FDC Procedure 602.053 Prison Rape: Prevention, Detection, and Response specify that a TIPS line is available for inmates and staff that would connect them to the Office of Inspector General. Forty-seven staff interviews determined that staff is aware of the TIPS line if required. Staff can report to any supervisor privately, to include the OIC, Major, Colonel, Classification Supervisor, Assistant Warden, and Warden. Employee Orientation and in-service Training.

Corrective Action: The auditor recommends no corrective action.

115.52	<b>Exhaustion of administrative remedies</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>The following evidence was analyzed in making compliance determination:</p> <p>Documentation Reviewed: (Policies, directives, forms, files, records, etc.)</p> <ul style="list-style-type: none"> <li>a. FDC Rule 33-103.005 Informal Grievance</li> <li>b. FDC Rule 33-103.006 Formal Grievances</li> <li>c. Inmate Orientation Handbook English Spanish DC Web</li> <li>d. Grievance Orientation Form (DC1-307)</li> <li>e. FDC Procedure 602.053 Prison Rape- Prevention, Detection, and Response</li> </ul> <p>Interviews:</p> <ul style="list-style-type: none"> <li>1. Inmates who reported sexual abuse</li> <li>2. Grievance Coordinator</li> </ul> <p>Auditor Findings:</p> <p>115.52 (a) The HERNANDO Correctional Institution allows inmates to submit a grievance regarding sexual abuse and allows inmates to submit an emergency grievance alleging substantial risk of imminent sexual abuse. As indicated in Standard 115.51, Reporting, Florida Department of Correction Rules and their procedures allow inmates to submit a grievance or an inmate request as an administrative remedy as needed. In the past 12 months, the number of grievances filed that alleged sexual abuse: 1.</p> <p>33-103.005 Informal Grievance. (1) Inmates shall utilize the informal grievance process before initiating a formal grievance. Inmates may skip this step and initiate the process at the formal institutional level for issues about the following: grievance of an emergency nature, the grievance of reprisal, grievance alleging violations of the Americans with Disabilities Act, medical grievance, grievance involving gain time governed by rule 33-601.101, F.A.C. Incentive Gain Time, grievance challenging placement in close management or subsequent reviews, grievances regarding the return of incoming mail governed by subsection 33210.101(14), F.A.C., grievances regarding disciplinary action (does not include corrective consultations) governed by rules 33-601.301.314, F.A.C., and grievances regarding allegations of sexual abuse as defined in subsection 33-103.002(17), F.A.C. 33-103.006 Formal Grievance – Institution or Facility Level. The grievance should begin at the formal level at the institution unless filing according to paragraph 33-103.007(6)(a), F.A.C., or subparagraph 33-103.007(6)(b)5., F.A.C. There is no time limit on when an inmate or third party may initiate a grievance regarding allegations of sexual abuse.</p> <p>115.52 (b) The Hernando Correctional Institution allows an inmate to submit a grievance regarding an allegation of sexual abuse at any time regardless of when the incident is alleged to have occurred. There are no time limits for an inmate to submit a grievance regarding an allegation of sexual abuse. The inmate handbook was reviewed and describes there are no</p>

time limits for an inmate to submit a grievance regarding sexual abuse. In the past 12 months, the number of grievances alleging sexual abuse that reached a final decision within 90 days after being filed: 0.

115.52 (c) The auditor reviewed a sample of grievances and determined that the following did not occur: (1) An inmate who alleges sexual abuse may submit a grievance without submitting it to a staff member who is the subject of the complaint, and (2) Such grievance is not referred to a staff member who is the subject of the complaint.

115.52 (d) In the past 12 months, the number of grievances filed that alleged sexual abuse: 0. In the past 12 months, the number of grievances alleging sexual abuse that reached a final decision within 90 days after being filed: 0. In the past 12 months, the number of grievances alleging sexual abuse that involved extensions because a final decision was not reached within 90 days: 0. There have been no cases where Hernando Correctional Institution has requested or notified for an extension for a final decision.

115.52 (e) FDC Procedure 602.053 permits third parties, including fellow inmates, staff members, family members, attorneys, and outside advocates, to assist inmates in filing requests for administrative remedies relating to allegations of sexual abuse and to file such requests on behalf of inmates. Additional documentation and sexual abuse investigations were reviewed by the auditor for the determination of compliance.

115.52 (f) FDC Procedure 602.053 has established procedures for filing an emergency grievance alleging that an inmate is subject to a substantial risk of imminent sexual abuse. The number of emergency grievances alleging a substantial risk of imminent sexual abuse requires that a final agency decision be issued within five days. The number of those grievances in 115.52 that had an initial response within 48 hours: 0.

115.52 (g) FDC Procedure 602.053 limits its ability to discipline an inmate for filing a grievance alleging sexual abuse to occasions where the agency demonstrates that the inmate filed the grievance in bad faith.

Corrective Action: The auditor recommends no corrective action.

115.53	<b>Inmate access to outside confidential support services</b>
	<p><b>Auditor Overall Determination:</b> Exceeds Standard</p> <p><b>Auditor Discussion</b></p> <p>The following evidence was analyzed in making compliance determination:</p> <p>Documentation Reviewed: (Policies, directives, forms, files, records, etc.)</p> <ul style="list-style-type: none"> <li>a. FDC Procedure 602.053 Prison Rape- Prevention, Detection, and Response</li> <li>b. Victim Services Brochure-Pamphlet</li> <li>c. PREA Poster with Advocacy Information (Hernando, Pinellas and Suncoast WRC)</li> <li>d. Inmate Brochure (NI1-120) with TIPS</li> <li>e. PREA Posters (English &amp; Spanish)</li> <li>f. Inmate Orientation Handbook English Spanish DC Web</li> <li>g. Victim Services Contract (Dawn Center &amp; Suncoast Center Inc.)</li> <li>h. Victim Services Brochure-Pamphlet</li> </ul> <p>Interviews:</p> <ul style="list-style-type: none"> <li>1. Random sample of inmates</li> <li>2. Inmates who reported sexual abuse</li> </ul> <p>Site Review Observations:</p> <p>The auditor observed the Zero-Tolerance signs posted in all inmate housing units in both English and Spanish with the following information for sexual abuse and sexual harassment. The Florida Department of Corrections offers multiple ways to report sexual abuse and sexual harassment. Reports can be made verbally, in writing, anonymously and via a third party.</p> <p>Below are the methods available to report: * call an outside entity (Gulf Coast Children's Advocacy Center) to report by dialing 1-850-832-3905, * call the TIPS line by dialing 1-866-246-4412, * report to any staff member, volunteer or contractor, including medical and mental health care staff, *submit a grievance or an inmate request, *report to the facility's PREA compliance manager (the Assistant Warden of Programs), *tell a family member, friend, legal counsel, or anyone else outside the facility. They can report on your behalf through a third-party grievance, through the online citizen's complaint form or by contacting the PREA office at PREA@fdc.myflorida.com. You can also submit a report on someone's behalf, or someone at the facility can report for you using the methods listed above.</p> <p>Victim Support Services: Suncoast Community Release Center has partnered with Suncoast Center Inc. to provide survivors of sexual abuse with emotional support services. To access these services, contact 1-727-530-7273 or send a letter to P.O. Box 10970, St. Petersburg, FL 33733.</p> <p>Hernando Correctional Center has partnered with Dawn Center Services (Domestic &amp; Sexual Violence Services of Hernando County) to provide survivors of sexual abuse with emotional support services. To access these services and contact 8499 or send a letter to P.O. Box</p>

6179 Spring Hill, FL 34611. Services provided: 24-hour crisis hotline, an emergency shelter in a confidential location, supportive outreach services for victims and their children, legal advocacy services, information and referral services for women, men and children impacted by violence, danger assessment, and safety planning, and training for professional and law enforcement.

**Auditor Findings:**

115.53 (a) The Victim Support Services: Hernando Correctional Center has partnered with Dawn Center Services (Domestic & Sexual Violence Services of Hernando County) to provide survivors of sexual abuse with emotional support services. To access these services and contact 8499 or send a letter to P.O. Box 6179 Spring Hill, FL 34611. Services provided: 24-hour crisis hotline, an emergency shelter in a confidential location, supportive outreach services for victims and their children, legal advocacy services, information and referral services for women, men and children impacted by violence, danger assessment, and safety planning, and training for professional and law enforcement.

The Sexual Abuse Awareness pamphlet and the information is provided to the inmates as needed. The auditor interviewed 15 random samples of inmates and they stated that the information was available to them if needed. Hernando Correctional Institution does not detain inmates solely for civil immigration purposes.

115.53 (b) access to outside support services, the extent to which such communications will be monitored. The line was tested by the auditor during the site review at HERNANDO facility with emails verifying that the phones and numbers were functioning. TIPS calls were received from Hernando verified by the crime intelligence analyst II receiving the TIPS calls.

115.53 (c) The facility has a victim services contract with Dawn Center and Suncoast Center Inc. that can provide inmates with emotional support services related to sexual abuse.

**Corrective Action:** The auditor recommends no corrective action.

<b>115.54</b>	<b>Third-party reporting</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>The following evidence was analyzed in making compliance determination</p> <p>Documentation Reviewed: (Policies, directives, forms, files, records, etc.)</p> <ul style="list-style-type: none"> <li>a. Chapter 33-103.006(3)(j)(1)(b)</li> <li>b. Instructions for Filing Third Party Grievances on Public Website</li> </ul> <p>Site Review Observation:</p> <p>The auditor reviewed the FDC website for Third-party reporting and facility posting with the required information. Third parties can report sexual abuse via the citizens' complaint form, FDC website, phone number, mailing address, and email.</p> <p>Auditor Findings:</p> <p>115.54 (a) The Prison Rape Elimination Act (PREA) of 2003 (28 CFR Part 115) allows you to file an inmate grievance as a third party if you believe that an inmate is the victim of sexual abuse. The following link will direct you to instructions for filing the grievance and access to the proper form. The Office of the Inspector General's mission is to protect and promote public integrity and accountability within the Department through investigations of criminal and administrative violations, and audits that detect fraud, waste and abuse.</p> <p>To report a fraud, waste and misuse complaint, please utilize the Fraud, Waste, and Misuse of Public Funds Hotline (866) 246-4412 or send an email to <a href="mailto:FraudWasteMisUse@mail.dc.state.fl.us">FraudWasteMisUse@mail.dc.state.fl.us</a>. The Office of the Inspector General (OIG) additionally investigates complaints against employees of the Florida Department of Corrections, such as institutional and community corrections staff, private facility employees, vendors, inmates, and probationers.</p> <p>The following are the types of complaints the OIG investigates physical abuse, excessive force, whistle-blower's complaints criminal activity within the institutions, sexual misconduct, to include unprofessional relationships, staff misconduct, a death which is alleged to be the result of the actions of an employee of the Department or Private Facility.</p> <p>If this is an emergency, please contact our Emergency Action Center (EAC) at (850) 922-6867 or the facility in which the inmate or offender is supervised. If the incident is investigated, you may be asked to provide a more complete statement.</p> <p>Corrective Action: The auditor recommends no corrective action.</p>

115.61	<b>Staff and agency reporting duties</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>The following evidence was analyzed in making compliance determination:</p> <p>Documentation Reviewed: (Policies, directives, forms, files, records, etc.)</p> <ul style="list-style-type: none"> <li>a. FDC Procedure 602.053 Prison Rape- Prevention, Detection, and Response</li> <li>b. F.S. 944.35 Sexual Misconduct</li> <li>c. F.S. 794.027 Sexual Battery</li> </ul> <p>Interviews:</p> <ul style="list-style-type: none"> <li>1. Random sample of staff</li> <li>2. Warden</li> <li>3. PREA Compliance Manager</li> <li>4. Medical/Mental Health staff</li> </ul> <p>Auditor Findings:</p> <p>115.61 (a) FDC and HERNANDO Correctional Institution are required by Procedure 602.053, Prison Rape: Prevention, Detection, and Response that "All incidents or allegations of sexual abuse, sexual battery, staff sexual misconduct, and sexual harassment will be reported". Employees, volunteers, and contractors must notify the shift supervisor, the Chief of Security, the Warden, or the Office of the Inspector General, who will then take immediate steps to evaluate the inmates' concern/allegation.</p> <p>115.61 (b) The Emergency Action Center (EAC), Procedure 602.012 and the Management Information Notification System (NIMS) Procedure 108.007 further outline reporting procedures and address, retaliation, staff neglect, and confidentiality of records. All allegations of sexual abuse and sexual harassment, including third-party and anonymous reports, are investigated. Twenty random samples of staff interviews determined compliance with the standard.</p> <p>115.61 (c) Interviews with Medical/Mental Health determined that the contracted agency for healthcare, Centurion, requires its medical and mental health practitioners to report according to FDC policy/procedure and PREA law.</p> <p>115.61 (d) Interview with the warden determined that policy requires that if the alleged victim is under the age of 18 or considered a vulnerable adult under a State or local vulnerable person's statute, the agency shall report the allegation to the designated State or local services agency under applicable mandatory reporting laws. Florida Statute 415.1034, Mandatory reporting of abuse, neglect, or exploitation of vulnerable adults, requires reports of sexual abuse on vulnerable adults. The facility does not house youthful offenders.</p> <p>115.61 (e) The HERNANDO Correctional Institution has a system in place for all allegations of</p>

sexual abuse and sexual harassment, including third-party and anonymous reports, to the facility's designated investigators. All incidents are entered in the agency data system which ensures that all allegations are reported to the investigator and PREA Manager. This occurs by the Incident Report DC6-210, and MINS Incident Report. FDC Procedure 602.053 Prison Rape: Prevention, Detection, and Response require staff to notify Shift Supervisor if the staff has any reason to believe an inmate is at risk of being sexually victimized.

Corrective Action: The auditor recommends no corrective action.

<b>115.62</b>	<b>Agency protection duties</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>The following evidence was analyzed in making compliance determination:</p> <p>Documentation Reviewed: (Policies, directives, forms, files, records, etc.)</p> <ul style="list-style-type: none"> <li>a. FDC Procedure 602.053 Prison Rape- Prevention, Detection, and Response</li> <li>b. FDC Rule 33-602.220</li> <li>c. Incident Reports DC6-210</li> <li>d. Internal Movement Screen</li> </ul> <p>Interviews:</p> <ul style="list-style-type: none"> <li>1. Warden</li> <li>2. Agency Head</li> <li>3. Random Sample of Staff</li> </ul> <p>Auditor Findings:</p> <p>115.62 (a) FDC Procedure 602.053, Prison Rape: Prevention, Detection, Response states when a facility learns that an inmate is subject to a substantial risk of imminent sexual abuse, it shall take immediate action to protect the inmate. In the past 12 months, the number of times the agency or facility determined that an inmate was subject to a substantial risk of imminent sexual abuse: 0. Interview with the Agency Head: If an inmate is at risk of imminent sexual abuse the first thing staff will be responsible for is separating that inmate from the potential abuser. The potential victim will be allowed to speak to a staff member regarding the situation as well as medical and/or mental health.</p> <p>If necessary, a housing change or facility transfer may be required for that inmate. The inmate may also request to be reviewed for placement in Protective Management (PM), which would require the inmate to be placed in administrative confinement until the PM investigation is complete. Random staff interviews were conducted, and the staff clearly articulated their duties and responsibilities for reports of sexual abuse and taking immediate action to protect the inmate.</p> <p>Corrective Action: The auditor recommends no corrective action.</p>

115.63	<b>Reporting to other confinement facilities</b>
	<p data-bbox="248 168 898 203"><b>Auditor Overall Determination:</b> Meets Standard</p> <p data-bbox="248 248 523 284"><b>Auditor Discussion</b></p> <p data-bbox="248 329 1222 365">The following evidence was analyzed in making compliance determination:</p> <p data-bbox="248 409 1198 445">Documentation Reviewed: (Policies, directives, forms, files, records, etc.)</p> <p data-bbox="248 499 1278 535">a. FDC Procedure 602.053 Prison Rape- Prevention, Detection, and Response</p> <p data-bbox="248 584 799 620">b. Allegations from Another Facility-Memo</p> <p data-bbox="248 669 392 705">Interviews:</p> <ol data-bbox="248 754 552 835" style="list-style-type: none"> <li>1. Agency head</li> <li>2. Warden or designee</li> </ol> <p data-bbox="248 884 475 920">Auditor Findings:</p> <p data-bbox="248 969 1473 1346">115.63 (a) Hernando Correctional Institution has not received any allegations an inmate was sexually abused while confined at another facility. The FDC Procedure 602.053, Prison Rape: Prevention, Detection, and Response directs and outlines that "If staff at a receiving institution receives information that sexual abuse, sexual battery, staff sexual misconduct, or sexual harassment occurred at another institution, the receiving institution's Warden shall notify the sending institution's Warden within 72 hours of receiving the allegation. The interview with the warden determined the notification shall be documented on DC 6-210. In the past 12 months, the number of allegations the facility received that an inmate was abused while confined at another facility: 0.</p> <p data-bbox="248 1395 1465 1691">115.63 (b). Hernando Correctional Institution has not received any allegations an inmate was sexually abused while confined at another facility. The receiving institution, where the allegation is reported, will be responsible for contacting EAC, completing a DC 6-210, and entering the appropriate information into the MINS for appropriate handling. Agency Head interview: The point of contact for all Department related incidents is either the facility where the incident occurred or the Office of the Inspector General. The staff members receiving the allegations will, in turn, contact the Department's Emergency Action Center (EAC).</p> <p data-bbox="248 1740 1473 2076">115.63 (c). Hernando Correctional Institution has not received any allegations an inmate was sexually abused while confined at another facility. If an outside agency advises that an inmate was sexually victimized at a prior Departmental facility, it is the receiving staff member's responsibility to notify the EAC of the incident. The EAC will provide that staff member with an incident number and a PREA number, which in turn will be utilized by that staff member to create a record of the incident in the Management Information Notes System (MINS). Once a record is created in MINS the information is automatically routed to the OIG where it will be reviewed.</p> <p data-bbox="248 2125 1449 2161">115.63 (d). The Warden at the facility where the inmate reported will also be responsible for</p>

notifying the Warden at the facility the incident occurred within 72 hours of receiving the allegation. In the past 12 months, the facility received that an inmate was abused while confined at another facility: 0. An incident report is immediately submitted, the Warden and EAC are notified and a PREA number for the institution where the allegation occurred is given. The Warden notifies the other institution within 72 hours of the allegation being made. For a positive SRI response, an incident report is immediately submitted, and the Warden sends notification within 72 hours. In the past 12 months, the number of allegations of sexual abuse the facility received from other facilities: 0.

Corrective Action: The auditor recommends no corrective action

<b>115.64</b>	<b>Staff first responder duties</b>
	<p data-bbox="252 170 896 203"><b>Auditor Overall Determination:</b> Meets Standard</p> <p data-bbox="252 248 523 282"><b>Auditor Discussion</b></p> <p data-bbox="252 327 1222 360">The following evidence was analyzed in making compliance determination:</p> <p data-bbox="252 412 1198 445">Documentation Reviewed: (Policies, directives, forms, files, records, etc.)</p> <ul style="list-style-type: none"> <li data-bbox="252 497 1278 530">a. FDC Procedure 602.053 Prison Rape- Prevention, Detection, and Response</li> <li data-bbox="252 539 603 573">b. FDC Procedure 108.015</li> <li data-bbox="252 582 655 616">c. Staff E-Train Documentation</li> <li data-bbox="252 624 608 658">d. Staff Training Curriculum</li> </ul> <p data-bbox="252 710 392 743">Interviews:</p> <ul style="list-style-type: none"> <li data-bbox="252 795 994 828">1. Security Staff and Non-Security Staff First Responders</li> <li data-bbox="252 837 794 871">2. Inmates who Reported a Sexual Abuse</li> <li data-bbox="252 880 608 913">3. Random Sample of Staff</li> </ul> <p data-bbox="252 965 472 999">Auditor Findings:</p> <p data-bbox="252 1050 1485 2029">115.64 (a) The FDC Procedure 602.053 Prison Rape: Prevention, Detection, and Response requires that the inmates are separated, any evidence is preserved and protected until appropriate steps can be taken to collect it, the potential victim is requested to not destroy physical evidence by washing, bathing, brushing teeth, changing clothes, urinating, defecating, drinking or eating. The potential abuser is not allowed to take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating. The auditor reviewed employee training validating compliance with the standard. The auditor interviewed Security Staff and Non-Security Staff First Responders and Random Sample of Staff determining that they understood their duties and responsibilities for reporting and the protection of inmates. In the past 12 months, the number of allegations that an inmate was sexually abused: 7. Of these allegations of sexual abuse in the past 12 months, the number of times the first security staff member to respond to the report separated the alleged victim and abuser: 0. In the past 12 months, the number of allegations where the staff was notified within a period that still allowed for the collection of physical evidence: 0. Of these allegations in the past 12 months where the staff was notified within a period that still allowed for the collection of physical evidence, the number of times the first security staff member to respond to the report preserved and protected any crime scene until appropriate steps could be taken to collect any evidence: 0. Of these allegations in the past 12 months where staff were notified within a time period that still allowed for the collection of physical evidence, the number of times the first security staff member to respond to the report requested that the alleged victim not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating: 0.</p> <p data-bbox="252 2080 1485 2157">115.64 (b) Of the allegations that an inmate was sexually abused made in the past 12 months, the number of times a non-security staff member was the first responder: 0. Of those</p>

allegations responded to first by a non-security staff member, the number of times that staff member requested that the alleged victim not take any actions that could destroy physical evidence: 0. Of those allegations responded to first by a non-security staff member, the number of times that staff member notified security staff: 0. Staff interviewed onsite have been trained on their first responder duties relating to sexual abuse and sexual harassment.

Corrective Action: The auditor recommends no corrective action.

<b>115.65</b>	<b>Coordinated response</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>The following evidence was analyzed in making compliance determination:</p> <p>Documentation Reviewed: (Policies, directives, forms, files, records, etc.)</p> <p>a. PREA Coordinated Response 2019</p> <p>Interviews:</p> <p>1. Warden</p> <p>Auditor Findings:</p> <p>115.65 (a) The HERNANDO Correctional Institution has developed a written institutional plan titled "HERNANDO PREA Coordinated Response; to coordinate actions taken in response to an incident of sexual abuse among staff first responders, medical and mental health practitioners, investigators, and facility leadership. Interview with the Warden determined that the facility did have a coordinated response plan in place for all allegations of sexual abuse and sexual harassment.</p> <p>Corrective Action: The auditor recommends no corrective action.</p>

115.66	<b>Preservation of ability to protect inmates from contact with abusers</b>
<b>Auditor Overall Determination:</b> Meets Standard	
<b>Auditor Discussion</b>	
<p>The following evidence was analyzed in making compliance determination:</p> <p>Documentation Reviewed: (Policies, directives, forms, files, records, etc.)</p> <ul style="list-style-type: none"> <li>a. FAC Rule 60L-36.005 (a)</li> <li>b. F.S. 110.227 (a)</li> <li>c. Collective Bargaining Agreement</li> </ul> <p>Interviews:</p> <ul style="list-style-type: none"> <li>1. Agency Head</li> </ul> <p>Auditor Findings:</p> <p>115.66 (a) Interview with Agency Head: Yes, the Department currently has a collective bargaining agreement with the Police Benevolence Association (PBA) since December 2016 which represents our Correctional Officer and Probation Officer staff. Before the PBA, the Department has an agreement with the Teamsters Local 2011.</p> <p>The Department is authorized to dismiss or suspend a permanent status career service employee for any cause noted in Chapter 110.227 of the Florida Statutes and Rule 60L26.005 (2) of the Florida Administrative Code. Such cause includes poor performance, negligence, insubordination, inefficiency or inability to perform assigned duties, violation of law or agency rules, conduct unbecoming a public employee, misconduct, habitual drug use and any conviction of any crime. The Department does not have permanent post assignments, nor does it allow for posts to be “bid” out. Staff members are assigned to posts before the commencement of the shift by their shift supervisor. Staff members can be relocated to numerous posts, including posts that do not allow for contact with inmates. Because the Department is so large, staff and inmates may be relocated to alleviate any problems. The Florida Department of Corrections can protect inmates from contact with abusers’ reference collective bargaining agreements. Specifically, the Agency has an agreement with the Teamsters Local Union 2011 Security Services Bargaining Unit, but this agreement does not interfere with the ability of the FDC to remove the alleged staff abusers from contact with inmates.</p> <p>115.66 (b) Nothing in this standard shall restrict the entering into or renewal of agreements that govern: (1) The conduct of the disciplinary process, as long as such agreements are not inconsistent with the provisions of §§ 115.72 and 115.76; or (2) Whether a no-contact assignment that is imposed pending the outcome of an investigation shall be expunged from or retained in the staff member’s personnel file following a determination that the allegation of sexual abuse is not substantiated.</p> <p>Corrective Action: The auditor recommends no corrective action.</p>	



115.67	<b>Agency protection against retaliation</b>
<b>Auditor Overall Determination:</b> Meets Standard	
<b>Auditor Discussion</b>	
<p>The following evidence was analyzed in making compliance determination:</p> <p>Documentation Reviewed: (Policies, directives, forms, files, records, etc.)</p> <p>a. FDC Procedure 602.053 Prison Rape- Prevention, Detection, and Response  b. Retaliation Monitoring Report-IM02 Printout</p> <p>Interviews:</p> <ol style="list-style-type: none"> <li>1. Agency Head</li> <li>2. Warden or Designee</li> <li>3. Designated Staff Member Charged with Monitoring Retaliation (or Warden)</li> <li>4. Inmates in Segregated Housing (for risk of sexual victimization/who allege to have suffered sexual abuse)</li> <li>5. Inmates who Reported Sexual Abuse</li> </ol> <p>Auditor Findings:</p> <p>115.67 (a) The FDC Procedure 602.053, Prison Rape: Prevention, Detection, and Response, specifies, "inmates and/or staff who report sexual abuse will be monitored for retaliation for at least ninety (90) days, with at least three (3) contact status checks to occur within the (90) day monitoring at the thirty (30), sixty (60), and ninety (90) day marks from the date of the allegation." The PREA officer was interviewed and stated that the monitor will continue to the next facility if the inmate is transferred. Staff who monitor for retaliation include the PREA Compliance Manager and PREA officer. The procedure describes the conduct and treatment, and changes in demeanor of the staff and more inmates that will be observed and monitored during the review period. Monitoring continues beyond the minimum of at least 90 days unless the allegation of retaliation is determined to be unfounded.</p> <p>The Hernando Correctional Institution designated the PREA compliance manager and PREA officer as staff member(s) or does employ multiple protection measures, such as housing changes or transfers for inmate victims or abusers, removal of alleged staff or inmate abusers from contact with victims, and emotional support services for inmates or staff who fear retaliation for reporting sexual abuse or sexual harassment or for cooperating with investigations. Agency Head Interview: Facilities deploy numerous measures including housing changes, program changes and changes in work assignments. If warranted, an inmate may be transferred to another facility to protect him/her from retaliation. All inmates who report sexual abuse are monitored for retaliation for at least 90 days. Staff members are required to monitor the inmate with periodic status checks every 30 days to ensure they are not experiencing any additional problems. Inmates are also provided information for the local rape crisis center for emotional support services. Staff members may be afforded the ability to change posts or facilities to protect them from retaliation. They are also monitored for retaliation for at least 90 days following a report of sexual abuse or sexual harassment. The</p>	

warden's interview determined that immediate action would be taken to protect all the inmates at all measures. The auditor interviewed inmates who reported sexual abuse on the facility and stated that they were provided a PREA information brochure and the PREA officer conducted rounds upon their request and on a random basis to check for retaliation and their well-being.

115.67 (c) FDC Procedure 602.053 Prison Rape: Prevention, Detection, and Response state that a review of disciplinary reports, treatment by other staff and inmates, and changes in housing, program assignments, work assignments are reviewed. The PREA officer was interviewed to include a review of policy and it was determined that the facility monitors the inmate for at least 90 days or as needed. There is not a time frame for retaliation and if required, a new investigation will be initiated and conducted. The PREA officer monitors monitor include any inmate disciplinary reports, housing, or program changes, or negative performance reviews or reassignments of staff. The agency shall continue such monitoring beyond 90 days if the initial monitoring indicates a continuing need. The warden's interview described the different levels and measures he would take if retaliation was suspected following all required protocols. The number of times an incident of retaliation occurred in the past 12 months: 0.

115.67 (d) The interview with the Designated Staff Member Charged with Monitoring Retaliation determined the following. FDC Procedure 602.053 Prison Rape: Prevention, Detection, and Response indicate that periodic checks will occur 30 days after the incident, 60 days and then 90 days. These appointments are automatically generated in the Inmate Management system. The documentation is entered noting what was communicated during the interview process which is conducted in a private setting away from other inmates and staff.

115.67 (e) FDC Procedure 602.053 Prison Rape: Prevention, Detection, and Response require that inmates and/or staff who report sexual abuse will be monitored for retaliation for at least 90 days unless the incident is deemed unfounded. 115.67 (f) Agency Head Interview: If an individual who cooperates with an investigation expresses fear of retaliation the same process is utilized as previously described. If the individual is an inmate, he/she may be afforded a housing change or a transfer to another Department facility. That inmate will also be subject to the 90-day monitoring. If that individual is a staff member, they may be provided the opportunity to change posts or institutions and will also be subject to the 90-day monitoring.

Corrective Action: The auditor recommends no corrective action.

<b>115.68</b>	<b>Post-allegation protective custody</b>
	<p data-bbox="252 170 896 203"><b>Auditor Overall Determination:</b> Meets Standard</p> <p data-bbox="252 248 523 282"><b>Auditor Discussion</b></p> <p data-bbox="252 327 1222 360">The following evidence was analyzed in making compliance determination:</p> <p data-bbox="252 412 1198 445">Documentation Reviewed: (Policies, directives, forms, files, records, etc.)</p> <p data-bbox="252 497 1278 530">a. FDC Procedure 602.053 Prison Rape- Prevention, Detection, and Response</p> <p data-bbox="252 582 392 616">Interviews:</p> <ol data-bbox="252 667 1469 831" style="list-style-type: none"> <li>1. Warden or Designee</li> <li>2. Staff who Supervise Inmates in Segregated Housing</li> <li>3. Inmates in Segregated Housing (for risk of sexual victimization/who allege to have suffered sexual abuse)</li> </ol> <p data-bbox="252 882 472 916"><b>Auditor Findings:</b></p> <p data-bbox="252 967 1485 1131">115.68 (a) FDC Procedure 602.053 prohibits the placement of inmates who allege to have suffered sexual abuse in involuntary segregated housing unless an assessment of all available alternatives has been made and a determination has been made that there are no available alternative means of separation from likely abusers.</p> <p data-bbox="252 1182 1485 1391">The number of inmates who allege to have suffered sexual abuse who were held in involuntary segregated housing in the past 12 months for one to 24 hours awaiting completion of assessment: 0. The number of inmates who allege to have suffered sexual abuse who were assigned to involuntary segregated housing in the past 12 months for longer than 30 days while awaiting alternative placement: 0 (information obtained from the PAQ).</p> <p data-bbox="252 1442 1461 1606">The reviews are on a case-to-case basis and the inmate may be placed in temporary confinement until the situation can be reviewed. The ICT meets with the inmate within 72 hours; appropriate housing is determined. There were zero cases with the need for a 30-day review of involuntary segregated inmates at Hernando Correctional Institution.</p> <p data-bbox="252 1657 1091 1691"><b>Corrective Action:</b> The auditor recommends no corrective action.</p>

115.71	<b>Criminal and administrative agency investigations</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>The following evidence was analyzed in making compliance determination:</p> <p>Documentation Reviewed: (Policies, directives, forms, files, records, etc.)</p> <ul style="list-style-type: none"> <li>a. FDC Procedure 602.053 Prison Rape- Prevention, Detection, and Response</li> <li>b. FDC Procedure 108.003 Investigative Process</li> <li>c. FDC Procedure 108.015 Sexual Battery, Sexual Harassment, Sexual Misconduct Investigations</li> <li>d. F.S. 944.31</li> </ul> <p>Interviews:</p> <ul style="list-style-type: none"> <li>1. Warden or Designee</li> <li>2. Staff who Supervise Inmates in Segregated Housing</li> <li>3. Inmates in Segregated Housing (for risk of sexual victimization/who allege to have suffered sexual abuse)</li> </ul> <p>Auditor Findings:</p> <p>115.68 (a) FDC Procedure 602.053 prohibits the placement of inmates who allege to have suffered sexual abuse in involuntary segregated housing unless an assessment of all available alternatives has been made and a determination has been made that there are no available alternative means of separation from likely abusers.</p> <p>The number of inmates who allege to have suffered sexual abuse who were held in involuntary segregated housing in the past 12 months for one to 24 hours awaiting completion of assessment: 0. The number of inmates who allege to have suffered sexual abuse who were assigned to involuntary segregated housing in the past 12 months for longer than 30 days while awaiting alternative placement: 0 (information obtained from the PAQ).</p> <p>The reviews are on a case-to-case basis and the inmate may be placed in temporary confinement until the situation can be reviewed. The ICT meets with the inmate within 72 hours; appropriate housing is determined. There were zero cases with the need for a 30-day review of involuntary segregated inmates at Hernando Correctional Institution.</p> <p>Corrective Action: The auditor recommends no corrective action.</p>

<b>115.72</b>	<b>Evidentiary standard for administrative investigations</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>The following evidence was analyzed in making compliance determination:</p> <p>Documentation Reviewed: (Policies, directives, forms, files, records, etc.)</p> <p>a. Procedure 602.053 Prison Rape- Prevention, Detection, and Response</p> <p>Interviews:</p> <p>1. Investigative Staff</p> <p>Auditor Findings:</p> <p>115.72 (a) FDC Procedure 108.003 Investigative Process defines and supports that a preponderance of the evidence is used to support a finding of substantiated. The agency imposes no standard higher than a preponderance of the evidence in determining whether allegations of sexual abuse or sexual harassment are substantiated.</p> <p>Corrective Action: The auditor recommends no corrective action.</p>

115.73	<b>Reporting to inmates</b>
	<p data-bbox="252 168 901 201"><b>Auditor Overall Determination:</b> Meets Standard</p> <p data-bbox="252 246 526 280"><b>Auditor Discussion</b></p> <p data-bbox="252 324 1220 358">The following evidence was analyzed in making compliance determination:</p> <p data-bbox="252 414 1197 448">Documentation Reviewed: (Policies, directives, forms, files, records, etc.)</p> <ol data-bbox="252 504 1340 660" style="list-style-type: none"> <li>1. FDC Procedure 108.015 Sexual Battery, Sexual Harassment, Sexual Misconduct Investigations</li> <li>2. FDC Procedure 602.053 Prison Rape- Prevention, Detection, and Response</li> <li>3. Letter from IG's office</li> </ol> <p data-bbox="252 705 391 739">Interviews:</p> <ol data-bbox="252 795 798 918" style="list-style-type: none"> <li>1. Warden or Designee</li> <li>2. Investigative Staff</li> <li>3. Inmates who Reported a Sexual Abuse</li> </ol> <p data-bbox="252 974 478 1008">Auditor Findings:</p> <p data-bbox="252 1052 1476 1691">115.73 (a) The Office of the Inspector General, through trained investigative staff, is responsible for the investigation of PREA incidents. The auditor noted that the OIG investigators at HERNANDO Correctional Institution were very knowledgeable and professional regarding the investigations in confinement facilities. The FDC Procedure 108.015, and Procedure 602.053 include reporting to the inmates. Hernando Correctional Institution had seven criminal or administrative investigations of alleged sexual abuse investigations that were ongoing and open during the site review. Every allegation is investigated, and every investigated allegation is reported to the inmate with a finding of the completed investigation based on the outcome of substantiated, unsubstantiated, or unfounded. Following an investigation into an inmate's allegation that he or she suffered sexual abuse in an agency facility, the agency shall inform the inmate as to whether the allegation has been determined to be substantiated, unsubstantiated, or unfounded. The auditor reviewed one incident of an offender on offender which generated five separate investigations that were substantiated. The facility had two sexual abuse allegations that were open/ongoing.</p> <p data-bbox="252 1736 1484 1948">115.73 (b) The number of investigations of alleged inmate sexual abuse in the facility that was completed by an outside agency in the past 12 months: 0. Of the outside agency investigations of alleged sexual abuse that were completed in the past 12 months, the number of inmates alleging sexual abuse in the facility who were notified verbally or in writing of the results of the investigation: 0. The investigations were ongoing during the site review</p> <p data-bbox="252 1993 1476 2161">115.73 (c) Procedure 602.053 b) Unless the allegation is unfounded, following an inmate's allegation that a staff member has committed sexual abuse against her/him, the Warden or her/his designee shall inform the inmate via an "Inmate Notification PREA Staff Allegation," DC6-2081, whenever the staff member is no longer: assigned to this facility; or employed with</p>

the Department.

115.73 (d) The FDC procedures confirm that following an inmate's allegation that he or she has been sexually abused by another inmate, the agency shall subsequently inform the alleged victim whenever: 1) The agency learns that the alleged abuser has been indicted related to sexual abuse within the facility, or 2) The agency learns that the alleged abuser has been convicted on a charge related to sexual abuse within the facility. No cases have resulted in an indictment or conviction during the last 12 months.

115.73 (e) In the past 12 months: The number of notifications to inmates that were provided according to this standard: 5 and two were open/ongoing.

Corrective Action: The auditor recommends no corrective action.

<b>115.76</b>	<b>Disciplinary sanctions for staff</b>
	<p><b>Auditor Overall Determination:</b> Meets Standard</p> <p><b>Auditor Discussion</b></p> <p>The following evidence was analyzed in making compliance determination:</p> <p>Documentation Reviewed: (Policies, directives, forms, files, records, etc.)</p> <ul style="list-style-type: none"> <li>a. FDC Rule 33-208.003 Range of Disciplinary Actions</li> <li>b. FDC Rule 60L.36.005 Conduct of Employees</li> <li>c. FDC Procedure 208.039 Employee Counseling and Discipline</li> <li>d. Resignation – Memo (no staff violations)</li> </ul> <p>Interviews:</p> <ul style="list-style-type: none"> <li>1. Warden</li> <li>2. Human Resources</li> </ul> <p>Auditor Findings:</p> <p>115.76 (a) FDC Procedure 208.039 specifically lists the following: 1. Employee discipline standards. 2. Roles and responsibilities. 3. Employee counseling. 4. Types of disciplinary action. 5. Procedures for issuing discipline to permanent status career service employees. 6. Procedures for issuing disciplinary action to SES or promotion probationary status. 7. Procedures for suspension or dismissal of an OPS, or probationary status employee. This Procedure supplements the above Florida Statutes, Florida Administrative Code, and the State Personnel Rules.</p> <p>115.76 (b) In the past 12 months: The number of staff from the facility who have violated agency sexual abuse or sexual harassment policies: 0 terminations.</p> <p>115.76 (c) In the past 12 months, the number of staff from the facility who have been disciplined, short of termination, for violation of agency sexual abuse or sexual harassment policies: 0. Records of disciplinary sanctions taken against staff for violations of the agency sexual abuse or sexual harassment policies in the past 12 months: 0.</p> <p>115.76 (d) All terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, shall be reported to law enforcement agencies unless the activity was not criminal, and to any relevant licensing bodies per policy FS 944.35. In the past 12 months, the number of staff from the facility that has been reported to law enforcement or licensing boards following their termination (or resignation before termination) for violating agency sexual abuse or sexual harassment policies: 0.</p> <p>Corrective Action: The auditor recommends no corrective action.</p>



<b>115.77</b>	<b>Corrective action for contractors and volunteers</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>The following evidence was analyzed in making compliance determination:</p> <p>Documentation Reviewed: (Policies, directives, forms, files, records, etc.)</p> <ul style="list-style-type: none"> <li>a. FDC Procedure 602.053 Prison Rape- Prevention, Detection, and Response</li> <li>b. FDC Procedure 205.002 Contract Penalties</li> <li>c. Memo No PREA Cases involving Contactors or Volunteers</li> </ul> <p>Interviews:</p> <ul style="list-style-type: none"> <li>1. Warden</li> </ul> <p>Auditor Findings:</p> <p>115.77 (a) The FDC requires that any contractor or volunteer who engages in sexual abuse be reported to law enforcement agencies (unless the activity was not criminal) and to relevant licensing boards. Specifically, FDC Procedure, 205.002, Contract Management, not only requires that contractors will be identified as "PREA covered contracts" but that contract staff will conduct themselves following Ethics Procedure 102.004 and termination for cause will apply should "the contractor fail to comply with the Department's PREA policies and procedures. There have been no reports to law enforcement agencies of contractors or volunteers engaging in the sexual abuse of inmates. In the past 12 months, contractors or volunteers have been reported to law enforcement agencies and relevant licensing bodies for engaging in sexual abuse of inmates: 0. Hernando Correctional Institution has not had any substantiated cases involving a contractor or volunteer.</p> <p>115.77 (b) The facility takes appropriate remedial measures and considers whether to prohibit further contact with inmates in the case of any other violation of agency sexual abuse or sexual harassment policies by a contractor or volunteer. There have been no cases of violations involving the agency's sexual abuse or sexual harassment policies by a contractor or volunteer.</p> <p>Corrective Action: The auditor recommends no corrective action.</p>

<b>115.78</b>	<b>Disciplinary sanctions for inmates</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>The following evidence was analyzed in making compliance determination:</p> <p>Documentation Reviewed: (Policies, directives, forms, files, records, etc.)</p> <ul style="list-style-type: none"> <li>a. FDC Procedure 602.53 Prison Rape Prevention, Detection and Response</li> <li>b. FDC Rule 33-601.301 Inmate Discipline General Policy</li> <li>c. FDC Rule 33-601.314 Rules of Prohibited Conduct and Penalties for Infractions</li> <li>d. FDC Rule 33-601.800 Close Management</li> </ul> <p>Auditor Findings:</p> <p>115.78 (a) FDC Procedure 602.053 Prison Rape: Prevention, Detection, and Response states Inmate(s) who have been found guilty of sexual abuse, sexual battery, or sexual harassment. In the past 12 months, the number of administrative findings of inmate-on-inmate sexual abuse that have occurred at the facility: 0. In the past 12 months, the number of criminal finings of guilt for inmate-on-inmate sexual abuse that has occurred at the facility: 0.</p> <p>115.78 (b) Sanctions shall be commensurate with the nature and circumstances of the abuse committed, the inmate’s disciplinary history, and the sanctions imposed for comparable offenses by other inmates with similar histories</p> <p>115.78 (c) The Warden discussed the different levels of disciplinary sanctions inmates are subject to following administrative or criminal findings that engaged in inmate on inmate sexual abuse.</p> <p>115.78 (d) The HERNANDO Correctional Institution offers therapy, counseling, or other interventions to address all issues of sexual abuse.</p> <p>115.78 (e) The auditor did not verify any disciplinary sanctions for an inmate for sexual contact with staff only upon a finding that the staff member did not consent to such contact.</p> <p>115.78 (f) FDC Procedure 602.053 Prison Rape: Prevention, Detection, and Response.</p> <p>115.78 (g) FDC Rule 33-601.314 Rules of Prohibited Conduct and Penalties for Infractions prohibits sex acts or unauthorized physical contact involving inmates.</p> <p>Corrective Action: The auditor recommends no corrective action.</p>

115.81	<b>Medical and mental health screenings; history of sexual abuse</b>
	<p><b>Auditor Overall Determination:</b> Meets Standard</p> <p><b>Auditor Discussion</b></p> <p>The following evidence was analyzed in making compliance determination:</p> <p>Documentation Reviewed: (Policies, directives, forms, files, records, etc.)</p> <ul style="list-style-type: none"> <li>a. 602.053 Prison Rape Prevention, Detection and Response</li> <li>b. Chronological Record of Health Care (DC6-701)</li> <li>c. Mental Health Screening Evaluation (DC6-642B)</li> </ul> <p>Interviews:</p> <ul style="list-style-type: none"> <li>1. Inmates who Disclose Sexual Victimization at Risk Screening</li> <li>2. Staff Responsible for Risk Screening</li> <li>3. Medical and Mental Health Staff</li> </ul> <p>Auditor Findings:</p> <p>115.81 (a-c) FDC Procedure 602.053 Prison Rape: Prevention, Detection and Response requires if the SRI (intake risk screen) assessment or medical assessment indicate that an inmate has experienced prior sexual victimization, or has previously perpetrated sexual abuse, whether it occurred in an institutional setting or the community, the inmate shall be offered a follow-up meeting with a mental health practitioner within 14 days of the screening. The auditor reviewed a sample of medical training records verifying compliance. The auditor interviewed inmates who disclosed sexual victimization at-risk screening and determined that they were immediately referred to medical on the same day.</p> <p>115.81 (d) FDC Procedure 602.053 Prison Rape: Prevention, Detection, and Response require that any information relating to sexual victimization or abuse that occurred in an institutional setting shall be strictly limited to medical and mental health practitioners. Medical interviews determined that all information is highly confidential and is strictly limited.</p> <p>115.81 (e) FDC Procedure 602.053 Prison Rape: Prevention, Detection, and Response require that any information relating to sexual victimization or abuse that occurred in an institutional setting is strictly limited to medical and mental health practitioners. 115.81 (f) FDC Procedure 602.053 Prison Rape: Prevention, Detection and Response dated requires that if medical and mental health practitioners gain knowledge of sexual abuse, sexual batterers, staff sexual misconduct, or sexual harassment that did not occur in an institutional setting they shall obtain informed consent from the inmate before reporting the information, unless the inmate is under the age of 18. This informed consent shall be documented on DC4-711B Consent and Authorization for Use of and Disclosure Inspection and Release of Confidential Information.</p> <p>Corrective Action: The auditor recommends no corrective action.</p>



115.82	<b>Access to emergency medical and mental health services</b>
	<p><b>Auditor Overall Determination:</b> Meets Standard</p> <p><b>Auditor Discussion</b></p> <p>The following evidence was analyzed in making compliance determination:</p> <p>Documentation Reviewed: (Policies, directives, forms, files, records, etc.)</p> <ul style="list-style-type: none"> <li>a. Alleged Sexual Battery Protocol (DC4-683M)</li> <li>b. FDC Procedure 401.010 Co-Payment Requirements for Inmate Medical Encounter</li> <li>c. FDC Procedure 602.053 Prison Rape- Prevention, Detection, and Response</li> <li>d. HSB 15.03.36 Post Sexual Battery Medical Action</li> </ul> <p>Interviews:</p> <ul style="list-style-type: none"> <li>1. Medical and Mental Health Staff</li> <li>2. Inmates who Reported a Sexual Abuse</li> </ul> <p>Auditor Findings:</p> <p>115.82 (a) FDC Procedure) 602.053 Prison Rape: Prevention, Detection, and Response reflect treatment services are provided to every victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident. Inmate victims of sexual abuse receive timely, unimpeded access to emergency medical treatment and crisis intervention services. Medical interviews determined that staff maintain secondary materials (e.g., form, log) documenting the timeliness of emergency medical treatment and crisis intervention services that were provided; the appropriate response by non-health staff in the event health staff are not present at the time the incident is reported; and the provision of appropriate and timely information and services concerning contraception and sexually transmitted infection prophylaxis.</p> <p>115.82 (b) Staff have been trained as staff first responders, if no qualified medical or mental health practitioners are on duty at the time a report of recent abuse is made, security staff first responders shall take preliminary steps to protect the victim pursuant to § 115.62 and shall immediately notify the appropriate medical and mental health practitioners. FDC Procedure 602.053 Prison Rape: Prevention, Detection, and Response.</p> <p>115.82 (c) The auditor reviewed sexual abuse investigations on-site that were ongoing. The auditor verified that by medical staff interviews that inmates' victims of sexual abuse, while incarcerated, are offered timely information about and timely access to emergency contraception and sexually transmitted infections prophylaxis, following professionally accepted standards of care, where medically appropriate.</p> <p>115.82 (d) The Medical/Mental Health to include victims of sexual abuse determined that victims are provided with treatment services without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident.</p>

Corrective Action: The auditor recommends no corrective action.

115.83	<b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>The following evidence was analyzed in making compliance determination:</p> <p>Documentation Reviewed: (Policies, directives, forms, files, records, etc.)</p> <ul style="list-style-type: none"> <li>a. HSB 15.03.39 Health Care for Pregnant Inmates</li> <li>b. FDC Procedure 401.010 Co-Payment Requirements for Inmate Medical Encounter</li> <li>c. FDC Procedure 602.053 Prison Rape Prevention, Detection, and Response</li> <li>d. HSB 15.03.36 Post Sexual Battery Medical Action</li> </ul> <p>Interviews:</p> <ul style="list-style-type: none"> <li>1. Medical and Mental Health Staff</li> <li>2. Inmates who Reported a Sexual Abuse</li> </ul> <p>Auditor Findings:</p> <p>115.83 (a) FDC Procedure 602.053 Prison Rape: Prevention, Detection and Response states as appropriate, medical and mental health evaluation and treatment shall be offered to all inmates who have been sexually victimized in any facility.</p> <p>115.83 (b) Interviews with medical staff/ inmate victim interviews and a review of sexual abuse allegations determined the following: The evaluation and treatment of such victims shall include, as appropriate, follow-up services, treatment plans, and, when necessary, referrals for continued care following their transfer to, or placement in, other facilities, or their release from custody.</p> <p>115.83 (c) Interviews with medical and mental health, a review of sexual abuse investigations determined the facility provides such victims with medical and mental health services consistent with the community level of care. FDC Procedure 602.053 Prison Rape: Prevention, Detection, and Response.</p> <p>115.83 (d) FDC Procedure 602.053 and FDC HSB 15-03-36, the facility houses female inmates.</p> <p>115.83 (e) FDC Procedure 602.053 and FDC HSB 15-03-36; the facility houses female inmates. There were no inmates onsite who had reported sexual abuse during the audit for interviews.</p> <p>115.83 (f-g) Inmate victims of sexual abuse, while incarcerated, are offered tests for sexually transmitted infections as medically appropriate. Interviews with inmates who reported sexual abuse confirmed that treatment of services was provided without cost to the inmate.</p> <p>115.83 (h) FDC Procedure 602.053 Prison Rape: Prevention, Detection, and Response</p>

require that a mental health evaluation will be offered to any identified inmate-on-inmate abusers within 60 days of learning of such abuse history; appropriate treatment will be offered. H.S.B (health Services Bulletin) 15.03.36 Post Sexual Battery Medical Action supports that this action will occur. The auditor reviewed documentation was provided that demonstrated that a referral was made to mental health regarding the alleged perpetrator for mental health follow up. Medical & Mental Health filled-out and completed forms are within the inmate's Mental Health charts as appropriate.

Corrective Action: The auditor recommends no corrective action.

<b>115.86</b>	<b>Sexual abuse incident reviews</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>The following evidence was analyzed in making compliance determination:</p> <p>Documentation Reviewed: (Policies, directives, forms, files, records, etc.)</p> <p>a. 602.53 Prison Rape Prevention, Detection, and Response</p> <p>Interviews:</p> <p>1. Warden</p> <p>Auditor Findings:</p> <p>115.86 (a) The facility conducts a sexual abuse incident review after every criminal or administrative sexual abuse investigation unless the allegation has been determined to be unfounded. In the past 12 months, the number of criminal and/or administrative investigations of alleged sexual abuse completed at the facility, excluding only "unfounded" incidents: five closed and 2 are ongoing investigations.</p> <p>115.86 (b) The auditor reviewed the sexual abuse incidents and the review process was conducted within the 30-days of the conclusion of the investigation. In the past 12 months, the number of criminal and/or administrative investigations of alleged sexual abuse completed at the facility that was followed by a sexual abuse incident review within 30 days, excluding only "unfounded" incidents: five closed and two ongoing investigations.</p> <p>115.86 (c) The interview with the warden determined that the sexual abuse incident review team includes upper-level management officials and allows for input from line supervisors, investigators, and medical or mental health practitioners.</p> <p>115.86 (d) Interviews with the Warden, PREA compliance manager, and the Incident review team to include a review of the form and SAIR determined the following: the review team considers (1) Consider whether the allegation or investigation indicates a need to change policy or practice to better prevent, detect, or respond to sexual abuse; (2) Consider whether the incident or allegation was motivated by race; ethnicity; gender identity; lesbian, gay, bisexual, transgender, or intersex identification, status, or perceived status; or gang affiliation; or was motivated or otherwise caused by other group dynamics at the facility; (3) Examine the area in the facility where the incident allegedly occurred to assess whether physical barriers in the area may enable abuse; (4) Assess the adequacy of staffing levels in that area during different shifts; (5) Assess whether monitoring technology should be deployed or augmented to supplement supervision by staff; and (6) Prepare a report of its findings, including but not necessarily limited to determinations made pursuant to paragraphs (d)(1)-(d)(5) of this section, and any recommendations for improvement and submit such report to the facility head and PREA compliance manager.</p>

115.86 (e) A review of the Sexual Abuse Incident Review confirmed that the facility implements the recommendations for improvement or documents its reasons for not doing so. The auditor reviewed five closed and two ongoing investigations during the site review at Hernando Correctional Institution.

Corrective Action: The auditor recommends no corrective action.

<b>115.87</b>	<b>Data collection</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>The following evidence was analyzed in making compliance determination:</p> <p>Documentation Reviewed: (Policies, directives, forms, files, records, etc.)</p> <p>a. FDC Procedure 602.053 Prison Rape Prevention, Detection, and Response  b. Data Collection Instrument - Survey</p> <p><b>Auditor Findings:</b></p> <p>115.87 (a/c) The agency collects accurate, uniform data for every allegation of sexual abuse at facilities under its direct control using a standardized instrument and set of definitions per FDC Procedure 602.053 Prison Rape: Prevention, Detection, and Response.</p> <p>115.87 (b) The agency aggregates the incident-based sexual abuse data at least annually per FDC Procedure 602.053 Prison Rape: Prevention, Detection, and Response.</p> <p>115.87 (d) The agency maintains, reviews, and collects data as needed from all available incident-based documents, including reports, investigation files, and sexual abuse incident reviews per FDC Procedure 602.053 Prison Rape: Prevention, Detection, and Response.</p> <p>115.87 (e) The agency obtains incident-based and aggregated data from every private facility with which it contracts for the confinement of its inmates per FDC Procedure 602.053 Prison Rape: Prevention, Detection, and Response.</p> <p>Corrective Action: The auditor recommends no corrective action</p>

<b>115.88</b>	<b>Data review for corrective action</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>The following evidence was analyzed in making compliance determination:</p> <p>Documentation Reviewed: (Policies, directives, forms, files, records, etc.)</p> <ul style="list-style-type: none"> <li>a. FDC Procedure 602.053 Prison Rape Prevention, Detection, and Response</li> <li>b. Hernando 2018 CAP</li> <li>c. Annual Report with Corrective Action Plan</li> </ul> <p>Interviews:</p> <ul style="list-style-type: none"> <li>1. Agency Head</li> <li>2. PREA Coordinator</li> <li>3. PREA Compliance Manager</li> <li>4. Warden</li> </ul> <p>Auditor Findings:</p> <p>115.88 (a) The agency shall review data collected and aggregated pursuant to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by (1) Identifying problem areas; (2) Taking corrective action on an ongoing basis; and (3) Preparing an annual report of its findings and corrective actions for each facility, as well as the agency as a whole. Interview with FDC Secretary (Agency Head): Yes, the Secretary of the Department of Corrections is responsible for reviewing and approving the annual PREA report. Interviews with PREA Coordinator, PREA Compliance Manager, and Warden, confirm that the Agency and Lowell Correctional Institution are continually assessing and collecting PREA data for corrective action, and to "improve the effectiveness of the Department's efforts toward sexual abuse prevention, detection, and response policies, practices, and training, including identifying data, problem areas, taking corrective action, and the preparation of an Annual Report that includes a comparison of the current year's data and corrective actions with those from prior years." Per FDC Procedure 602.053, Prison Rape: Prevention, Detection, and Response.</p> <p>115.88 (b) Such report shall include a comparison of the current year's data and corrective actions with those from prior years and shall provide an assessment of the agency's progress in addressing sexual abuse. Review of 2018 Facility Cap &amp; Agency and Corrective Action Plan.</p> <p>115.88 (c) The agency makes its annual report readily available to the public at least annually through its website <a href="http://dcweb/co/sop/prea/index.html">http://dcweb/co/sop/prea/index.html</a>.</p> <p>115.88 (d) The agency indicates the nature of the material redacted.</p> <p>Corrective Action: The auditor recommends no corrective action.</p>

<b>115.89</b>	<b>Data storage, publication, and destruction</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<p><b>Auditor Discussion</b></p> <p>The following evidence was analyzed in making compliance determination:</p> <p>Documentation Reviewed: (Policies, directives, forms, files, records, etc.)</p> <ul style="list-style-type: none"> <li>a. 602.053 Prison Rape Prevention, Detection and Response</li> <li>b. 108.015 Sexual Battery, Sexual harassment, and Sexual Misconduct Investigations</li> </ul> <p>Interviews:</p> <ul style="list-style-type: none"> <li>1. PREA Coordinator Auditor Findings: <ul style="list-style-type: none"> <li>115.89 (a) Interview with the PREA Coordinator determined that the agency ensures that incident-based and aggregate data are securely retained.</li> <li>115.89 (b) The annual report is on the webpage at <a href="http://www.dc.state.fl.us/PREA/index.html">http://www.dc.state.fl.us/PREA/index.html</a>.</li> <li>115.89 (c) Before making aggregated sexual abuse data publicly available, the agency removes all personal identifiers.</li> <li>115.89 (d) The agency maintains sexual abuse data collected according to §115.87 for at least 10 years after the date of initial collection unless federal, state, or local law requires otherwise.</li> </ul> </li> </ul> <p>Corrective Action: The auditor recommends no corrective action.</p>

115.401	<b>Frequency and scope of audits</b>
	<p data-bbox="252 170 896 203"><b>Auditor Overall Determination:</b> Meets Standard</p> <p data-bbox="252 248 523 282"><b>Auditor Discussion</b></p> <p data-bbox="252 327 1484 741">The Florida Department of Corrections and the Hernando Correctional Institution demonstrated compliance with the standard. The auditor reviewed all relevant agency-wide policies, procedures, reports, internal and external audits, and accreditations for the facility. The audits were reviewed, at a minimum, a sampling of relevant documents and other records and information for the recertification period. The auditor had access to all areas of the audited facility. The auditor was permitted to request and receive copies of any relevant documents (including electronically stored information). The auditor will retain and preserve all documentation (including, e.g., videotapes and interview notes) relied upon in making audit determinations. Such documentation shall be provided to the Department of Justice upon request.</p> <p data-bbox="252 797 1465 1211">The auditor interviewed a representative sample of inmates, staff, supervisors, and administrators. The auditor reviewed a sampling of available surveillance cameras and other electronically available data that may be relevant to the provisions being audited. The auditor was permitted to conduct private interviews with inmates. Inmates were permitted to send confidential information or correspondence to the auditor in the same manner as if they were communicating with legal counsel. The auditor was able to communicate with community-based or victim advocates who may have insight into relevant conditions in the facility. The auditor concluded that the facility complies with the standard for the relevant recertification period. 115.401 (a) The auditor reviewed agency records, the FDC website, etc. to ensure that each facility has been audited and the agency met this standard during the prior year.</p> <p data-bbox="252 1267 1458 1346">115.401 (b) The auditor reviewed agency records, the FDC website, etc. to ensure that each facility has been audited and the agency met this standard during the prior year.</p> <p data-bbox="252 1402 767 1435">115.401 (c-g) No provision or standard.</p> <p data-bbox="252 1491 1458 1559">115.401 (h) The auditor did have access to and was able to observe, all areas of the audited facility.</p> <p data-bbox="252 1615 1342 1693">115.401 (i) The auditor was permitted to request and receive copies of any relevant documents (including electronically stored information).</p> <p data-bbox="252 1749 746 1783">115.401 (j-l) No provision or standard.</p> <p data-bbox="252 1839 1129 1872">115.401 (m) The auditor conducted private interviews with inmates.</p> <p data-bbox="252 1928 1477 1995">115.401 (n) Inmates were permitted to send confidential information or correspondence to the auditor in the same manner as if they were communicating with legal counsel.</p> <p data-bbox="252 2051 1091 2085">Corrective Action: The auditor recommends no corrective action.</p>

<b>115.403</b>	<b>Audit contents and findings</b>
	<b>Auditor Overall Determination:</b> Meets Standard
	<b>Auditor Discussion</b>
	<p>The Florida Department of Corrections publishes reports on their agency website and has otherwise made publicly available all Final PREA Audit Reports within 90 days of issuance by the auditor. The agency website is <a href="http://www.dc.state.fl.us/PREA/index.html">http://www.dc.state.fl.us/PREA/index.html</a>. The facility is compliant with the reporting process and standard for this recertification review period.</p> <p>Corrective Action: The auditor recommends no corrective action.</p>

## Appendix: Provision Findings

115.11 (a)	<b>Zero tolerance of sexual abuse and sexual harassment; PREA coordinator</b>	
	Does the agency have a written policy mandating zero tolerance toward all forms of sexual abuse and sexual harassment?	yes
	Does the written policy outline the agency's approach to preventing, detecting, and responding to sexual abuse and sexual harassment?	yes

115.11 (b)	<b>Zero tolerance of sexual abuse and sexual harassment; PREA coordinator</b>	
	Has the agency employed or designated an agency-wide PREA Coordinator?	yes
	Is the PREA Coordinator position in the upper-level of the agency hierarchy?	yes
	Does the PREA Coordinator have sufficient time and authority to develop, implement, and oversee agency efforts to comply with the PREA standards in all of its facilities?	yes

115.11 (c)	<b>Zero tolerance of sexual abuse and sexual harassment; PREA coordinator</b>	
	If this agency operates more than one facility, has each facility designated a PREA compliance manager? (N/A if agency operates only one facility.)	yes
	Does the PREA compliance manager have sufficient time and authority to coordinate the facility's efforts to comply with the PREA standards? (N/A if agency operates only one facility.)	yes

115.12 (a)	<b>Contracting with other entities for the confinement of inmates</b>	
	If this agency is public and it contracts for the confinement of its inmates with private agencies or other entities including other government agencies, has the agency included the entity's obligation to comply with the PREA standards in any new contract or contract renewal signed on or after August 20, 2012? (N/A if the agency does not contract with private agencies or other entities for the confinement of inmates.)	yes

<b>115.12 (b)</b>	<b>Contracting with other entities for the confinement of inmates</b>	
	Does any new contract or contract renewal signed on or after August 20, 2012 provide for agency contract monitoring to ensure that the contractor is complying with the PREA standards? (N/A if the agency does not contract with private agencies or other entities for the confinement of inmates.)	yes

<b>115.13 (a)</b>	<b>Supervision and monitoring</b>	
	Does the facility have a documented staffing plan that provides for adequate levels of staffing and, where applicable, video monitoring, to protect inmates against sexual abuse?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan that provides for adequate levels of staffing and, where applicable, video monitoring, to protect inmates against sexual abuse?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Generally accepted detention and correctional practices?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any judicial findings of inadequacy?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any findings of inadequacy from Federal investigative agencies?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any findings of inadequacy from internal or external oversight bodies?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: All components of the facility's physical plant (including "blind-spots" or areas where staff or inmates may be isolated)?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The composition of the inmate population?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The number and placement of supervisory staff?	yes

	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The institution programs occurring on a particular shift?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any applicable State or local laws, regulations, or standards?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The prevalence of substantiated and unsubstantiated incidents of sexual abuse?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any other relevant factors?	yes

<b>115.13 (b)</b>	<b>Supervision and monitoring</b>	
	In circumstances where the staffing plan is not complied with, does the facility document and justify all deviations from the plan? (N/A if no deviations from staffing plan.)	yes

<b>115.13 (c)</b>	<b>Supervision and monitoring</b>	
	In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The staffing plan established pursuant to paragraph (a) of this section?	yes
	In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The facility's deployment of video monitoring systems and other monitoring technologies?	yes
	In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The resources the facility has available to commit to ensure adherence to the staffing plan?	yes

115.13 (d)	Supervision and monitoring	
	Has the facility/agency implemented a policy and practice of having intermediate-level or higher-level supervisors conduct and document unannounced rounds to identify and deter staff sexual abuse and sexual harassment?	yes
	Is this policy and practice implemented for night shifts as well as day shifts?	yes
	Does the facility/agency have a policy prohibiting staff from alerting other staff members that these supervisory rounds are occurring, unless such announcement is related to the legitimate operational functions of the facility?	yes

115.14 (a)	Youthful inmates	
	Does the facility place all youthful inmates in housing units that separate them from sight, sound, and physical contact with any adult inmates through use of a shared dayroom or other common space, shower area, or sleeping quarters? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na

115.14 (b)	Youthful inmates	
	In areas outside of housing units does the agency maintain sight and sound separation between youthful inmates and adult inmates? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
	In areas outside of housing units does the agency provide direct staff supervision when youthful inmates and adult inmates have sight, sound, or physical contact? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na

115.14 (c)	Youthful inmates	
	Does the agency make its best efforts to avoid placing youthful inmates in isolation to comply with this provision? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
	Does the agency, while complying with this provision, allow youthful inmates daily large-muscle exercise and legally required special education services, except in exigent circumstances? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
	Do youthful inmates have access to other programs and work opportunities to the extent possible? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na

115.15 (a)	Limits to cross-gender viewing and searches	
	Does the facility always refrain from conducting any cross-gender strip or cross-gender visual body cavity searches, except in exigent circumstances or by medical practitioners?	yes

115.15 (b)	Limits to cross-gender viewing and searches	
	Does the facility always refrain from conducting cross-gender pat-down searches of female inmates, except in exigent circumstances? (N/A if the facility does not have female inmates.)	yes
	Does the facility always refrain from restricting female inmates' access to regularly available programming or other out-of-cell opportunities in order to comply with this provision? (N/A if the facility does not have female inmates.)	yes

115.15 (c)	Limits to cross-gender viewing and searches	
	Does the facility document all cross-gender strip searches and cross-gender visual body cavity searches?	yes
	Does the facility document all cross-gender pat-down searches of female inmates (N/A if the facility does not have female inmates)?	yes

115.15 (d)	<b>Limits to cross-gender viewing and searches</b>	
	Does the facility have policies that enables inmates to shower, perform bodily functions, and change clothing without nonmedical staff of the opposite gender viewing their breasts, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks?	yes
	Does the facility have procedures that enables inmates to shower, perform bodily functions, and change clothing without nonmedical staff of the opposite gender viewing their breasts, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks?	yes

115.15 (e)	<b>Limits to cross-gender viewing and searches</b>	
	Does the facility always refrain from searching or physically examining transgender or intersex inmates for the sole purpose of determining the inmate's genital status?	yes
	If an inmate's genital status is unknown, does the facility determine genital status during conversations with the inmate, by reviewing medical records, or, if necessary, by learning that information as part of a broader medical examination conducted in private by a medical practitioner?	yes

115.15 (f)	<b>Limits to cross-gender viewing and searches</b>	
	Does the facility/agency train security staff in how to conduct cross-gender pat down searches in a professional and respectful manner, and in the least intrusive manner possible, consistent with security needs?	yes
	Does the facility/agency train security staff in how to conduct searches of transgender and intersex inmates in a professional and respectful manner, and in the least intrusive manner possible, consistent with security needs?	yes

115.16 (a)	<b>Inmates with disabilities and inmates who are limited English proficient</b>	
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who are deaf or hard of hearing?	yes

	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who are blind or have low vision?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have intellectual disabilities?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have psychiatric disabilities?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have speech disabilities?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: Other (if "other," please explain in overall determination notes.)	yes
	Do such steps include, when necessary, ensuring effective communication with inmates who are deaf or hard of hearing?	yes
	Do such steps include, when necessary, providing access to interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary?	yes
	Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with inmates with disabilities including inmates who: Have intellectual disabilities?	yes
	Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with inmates with disabilities including inmates who: Have limited reading skills?	yes
	Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with inmates with disabilities including inmates who: are blind or have low vision?	yes

115.16 (b)	Inmates with disabilities and inmates who are limited English proficient	
	Does the agency take reasonable steps to ensure meaningful access to all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment to inmates who are limited English proficient?	yes
	Do these steps include providing interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary?	yes

115.16 (c)	Inmates with disabilities and inmates who are limited English proficient	
	Does the agency always refrain from relying on inmate interpreters, inmate readers, or other types of inmate assistance except in limited circumstances where an extended delay in obtaining an effective interpreter could compromise the inmate's safety, the performance of first-response duties under §115.64, or the investigation of the inmate's allegations?	yes

115.17 (a)	<b>Hiring and promotion decisions</b>	
	Does the agency prohibit the hiring or promotion of anyone who may have contact with inmates who has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 U.S.C. 1997)?	yes
	Does the agency prohibit the hiring or promotion of anyone who may have contact with inmates who has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse?	yes
	Does the agency prohibit the hiring or promotion of anyone who may have contact with inmates who has been civilly or administratively adjudicated to have engaged in the activity described in the two bullets immediately above?	yes
	Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 U.S.C. 1997)?	yes
	Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse?	yes
	Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has been civilly or administratively adjudicated to have engaged in the activity described in the two bullets immediately above?	yes

115.17 (b)	<b>Hiring and promotion decisions</b>	
	Does the agency consider any incidents of sexual harassment in determining whether to hire or promote anyone who may have contact with inmates?	yes

115.17 (c)	Hiring and promotion decisions	
	Before hiring new employees who may have contact with inmates, does the agency perform a criminal background records check?	yes
	Before hiring new employees who may have contact with inmates, does the agency, consistent with Federal, State, and local law, make its best efforts to contact all prior institutional employers for information on substantiated allegations of sexual abuse or any resignation during a pending investigation of an allegation of sexual abuse?	yes

115.17 (d)	Hiring and promotion decisions	
	Does the agency perform a criminal background records check before enlisting the services of any contractor who may have contact with inmates?	yes

115.17 (e)	Hiring and promotion decisions	
	Does the agency either conduct criminal background records checks at least every five years of current employees and contractors who may have contact with inmates or have in place a system for otherwise capturing such information for current employees?	yes

115.17 (f)	Hiring and promotion decisions	
	Does the agency ask all applicants and employees who may have contact with inmates directly about previous misconduct described in paragraph (a) of this section in written applications or interviews for hiring or promotions?	yes
	Does the agency ask all applicants and employees who may have contact with inmates directly about previous misconduct described in paragraph (a) of this section in any interviews or written self-evaluations conducted as part of reviews of current employees?	yes
	Does the agency impose upon employees a continuing affirmative duty to disclose any such misconduct?	yes

<b>115.17 (g)</b>	<b>Hiring and promotion decisions</b>	
	Does the agency consider material omissions regarding such misconduct, or the provision of materially false information, grounds for termination?	yes

<b>115.17 (h)</b>	<b>Hiring and promotion decisions</b>	
	Does the agency provide information on substantiated allegations of sexual abuse or sexual harassment involving a former employee upon receiving a request from an institutional employer for whom such employee has applied to work? (N/A if providing information on substantiated allegations of sexual abuse or sexual harassment involving a former employee is prohibited by law.)	yes

<b>115.18 (a)</b>	<b>Upgrades to facilities and technologies</b>	
	If the agency designed or acquired any new facility or planned any substantial expansion or modification of existing facilities, did the agency consider the effect of the design, acquisition, expansion, or modification upon the agency's ability to protect inmates from sexual abuse? (N/A if agency/facility has not acquired a new facility or made a substantial expansion to existing facilities since August 20, 2012, or since the last PREA audit, whichever is later.)	na

<b>115.18 (b)</b>	<b>Upgrades to facilities and technologies</b>	
	If the agency installed or updated a video monitoring system, electronic surveillance system, or other monitoring technology, did the agency consider how such technology may enhance the agency's ability to protect inmates from sexual abuse? (N/A if agency/facility has not installed or updated a video monitoring system, electronic surveillance system, or other monitoring technology since August 20, 2012, or since the last PREA audit, whichever is later.)	yes

115.21 (a)	<b>Evidence protocol and forensic medical examinations</b>	
	If the agency is responsible for investigating allegations of sexual abuse, does the agency follow a uniform evidence protocol that maximizes the potential for obtaining usable physical evidence for administrative proceedings and criminal prosecutions? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.)	yes

115.21 (b)	<b>Evidence protocol and forensic medical examinations</b>	
	Is this protocol developmentally appropriate for youth where applicable? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.)	yes
	Is this protocol, as appropriate, adapted from or otherwise based on the most recent edition of the U.S. Department of Justice’s Office on Violence Against Women publication, “A National Protocol for Sexual Assault Medical Forensic Examinations, Adults/Adolescents,” or similarly comprehensive and authoritative protocols developed after 2011? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.)	yes

115.21 (c)	<b>Evidence protocol and forensic medical examinations</b>	
	Does the agency offer all victims of sexual abuse access to forensic medical examinations, whether on-site or at an outside facility, without financial cost, where evidentiarily or medically appropriate?	yes
	Are such examinations performed by Sexual Assault Forensic Examiners (SAFEs) or Sexual Assault Nurse Examiners (SANEs) where possible?	yes
	If SAFEs or SANEs cannot be made available, is the examination performed by other qualified medical practitioners (they must have been specifically trained to conduct sexual assault forensic exams)?	yes
	Has the agency documented its efforts to provide SAFEs or SANEs?	yes

115.21 (d)	<b>Evidence protocol and forensic medical examinations</b>	
	Does the agency attempt to make available to the victim a victim advocate from a rape crisis center?	yes
	If a rape crisis center is not available to provide victim advocate services, does the agency make available to provide these services a qualified staff member from a community-based organization, or a qualified agency staff member? (N/A if the agency always makes a victim advocate from a rape crisis center available to victims.)	yes
	Has the agency documented its efforts to secure services from rape crisis centers?	yes

115.21 (e)	<b>Evidence protocol and forensic medical examinations</b>	
	As requested by the victim, does the victim advocate, qualified agency staff member, or qualified community-based organization staff member accompany and support the victim through the forensic medical examination process and investigatory interviews?	yes
	As requested by the victim, does this person provide emotional support, crisis intervention, information, and referrals?	yes

115.21 (f)	<b>Evidence protocol and forensic medical examinations</b>	
	If the agency itself is not responsible for investigating allegations of sexual abuse, has the agency requested that the investigating agency follow the requirements of paragraphs (a) through (e) of this section? (N/A if the agency/facility is responsible for conducting criminal AND administrative sexual abuse investigations.)	yes

115.21 (h)	<b>Evidence protocol and forensic medical examinations</b>	
	If the agency uses a qualified agency staff member or a qualified community-based staff member for the purposes of this section, has the individual been screened for appropriateness to serve in this role and received education concerning sexual assault and forensic examination issues in general? (N/A if agency always makes a victim advocate from a rape crisis center available to victims.)	yes

115.22 (a)	<b>Policies to ensure referrals of allegations for investigations</b>	
	Does the agency ensure an administrative or criminal investigation is completed for all allegations of sexual abuse?	yes
	Does the agency ensure an administrative or criminal investigation is completed for all allegations of sexual harassment?	yes

115.22 (b)	<b>Policies to ensure referrals of allegations for investigations</b>	
	Does the agency have a policy and practice in place to ensure that allegations of sexual abuse or sexual harassment are referred for investigation to an agency with the legal authority to conduct criminal investigations, unless the allegation does not involve potentially criminal behavior?	yes
	Has the agency published such policy on its website or, if it does not have one, made the policy available through other means?	yes
	Does the agency document all such referrals?	yes

115.22 (c)	<b>Policies to ensure referrals of allegations for investigations</b>	
	If a separate entity is responsible for conducting criminal investigations, does the policy describe the responsibilities of both the agency and the investigating entity? (N/A if the agency/facility is responsible for criminal investigations. See 115.21(a).)	yes

115.31 (a)	<b>Employee training</b>	
	Does the agency train all employees who may have contact with inmates on its zero-tolerance policy for sexual abuse and sexual harassment?	yes
	Does the agency train all employees who may have contact with inmates on how to fulfill their responsibilities under agency sexual abuse and sexual harassment prevention, detection, reporting, and response policies and procedures?	yes
	Does the agency train all employees who may have contact with inmates on inmates' right to be free from sexual abuse and sexual harassment?	yes
	Does the agency train all employees who may have contact with inmates on the right of inmates and employees to be free from retaliation for reporting sexual abuse and sexual harassment?	yes
	Does the agency train all employees who may have contact with inmates on the dynamics of sexual abuse and sexual harassment in confinement?	yes
	Does the agency train all employees who may have contact with inmates on the common reactions of sexual abuse and sexual harassment victims?	yes
	Does the agency train all employees who may have contact with inmates on how to detect and respond to signs of threatened and actual sexual abuse?	yes
	Does the agency train all employees who may have contact with inmates on how to avoid inappropriate relationships with inmates?	yes
	Does the agency train all employees who may have contact with inmates on how to communicate effectively and professionally with inmates, including lesbian, gay, bisexual, transgender, intersex, or gender nonconforming inmates?	yes
	Does the agency train all employees who may have contact with inmates on how to comply with relevant laws related to mandatory reporting of sexual abuse to outside authorities?	yes

115.31 (b)	<b>Employee training</b>	
	Is such training tailored to the gender of the inmates at the employee's facility?	yes
	Have employees received additional training if reassigned from a facility that houses only male inmates to a facility that houses only female inmates, or vice versa?	yes

115.31 (c)	<b>Employee training</b>	
	Have all current employees who may have contact with inmates received such training?	yes
	Does the agency provide each employee with refresher training every two years to ensure that all employees know the agency's current sexual abuse and sexual harassment policies and procedures?	yes
	In years in which an employee does not receive refresher training, does the agency provide refresher information on current sexual abuse and sexual harassment policies?	yes

115.31 (d)	<b>Employee training</b>	
	Does the agency document, through employee signature or electronic verification, that employees understand the training they have received?	yes

115.32 (a)	<b>Volunteer and contractor training</b>	
	Has the agency ensured that all volunteers and contractors who have contact with inmates have been trained on their responsibilities under the agency's sexual abuse and sexual harassment prevention, detection, and response policies and procedures?	yes

115.32 (b)	<b>Volunteer and contractor training</b>	
	Have all volunteers and contractors who have contact with inmates been notified of the agency's zero-tolerance policy regarding sexual abuse and sexual harassment and informed how to report such incidents (the level and type of training provided to volunteers and contractors shall be based on the services they provide and level of contact they have with inmates)?	yes

<b>115.32 (c)</b>	<b>Volunteer and contractor training</b>	
	Does the agency maintain documentation confirming that volunteers and contractors understand the training they have received?	yes

<b>115.33 (a)</b>	<b>Inmate education</b>	
	During intake, do inmates receive information explaining the agency's zero-tolerance policy regarding sexual abuse and sexual harassment?	yes
	During intake, do inmates receive information explaining how to report incidents or suspicions of sexual abuse or sexual harassment?	yes

<b>115.33 (b)</b>	<b>Inmate education</b>	
	Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Their rights to be free from sexual abuse and sexual harassment?	yes
	Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Their rights to be free from retaliation for reporting such incidents?	yes
	Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Agency policies and procedures for responding to such incidents?	yes

<b>115.33 (c)</b>	<b>Inmate education</b>	
	Have all inmates received the comprehensive education referenced in 115.33(b)?	yes
	Do inmates receive education upon transfer to a different facility to the extent that the policies and procedures of the inmate's new facility differ from those of the previous facility?	yes

115.33 (d)	Inmate education	
	Does the agency provide inmate education in formats accessible to all inmates including those who are limited English proficient?	yes
	Does the agency provide inmate education in formats accessible to all inmates including those who are deaf?	yes
	Does the agency provide inmate education in formats accessible to all inmates including those who are visually impaired?	yes
	Does the agency provide inmate education in formats accessible to all inmates including those who are otherwise disabled?	yes
	Does the agency provide inmate education in formats accessible to all inmates including those who have limited reading skills?	yes

115.33 (e)	Inmate education	
	Does the agency maintain documentation of inmate participation in these education sessions?	yes

115.33 (f)	Inmate education	
	In addition to providing such education, does the agency ensure that key information is continuously and readily available or visible to inmates through posters, inmate handbooks, or other written formats?	yes

115.34 (a)	Specialized training: Investigations	
	In addition to the general training provided to all employees pursuant to §115.31, does the agency ensure that, to the extent the agency itself conducts sexual abuse investigations, its investigators receive training in conducting such investigations in confinement settings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes

115.34 (b)	<b>Specialized training: Investigations</b>	
	Does this specialized training include techniques for interviewing sexual abuse victims? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
	Does this specialized training include proper use of Miranda and Garrity warnings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
	Does this specialized training include sexual abuse evidence collection in confinement settings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
	Does this specialized training include the criteria and evidence required to substantiate a case for administrative action or prosecution referral? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes

115.34 (c)	<b>Specialized training: Investigations</b>	
	Does the agency maintain documentation that agency investigators have completed the required specialized training in conducting sexual abuse investigations? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes

115.35 (a)	<b>Specialized training: Medical and mental health care</b>	
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to detect and assess signs of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to preserve physical evidence of sexual abuse? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to respond effectively and professionally to victims of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how and to whom to report allegations or suspicions of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes

115.35 (b)	<b>Specialized training: Medical and mental health care</b>	
	If medical staff employed by the agency conduct forensic examinations, do such medical staff receive appropriate training to conduct such examinations? (N/A if agency medical staff at the facility do not conduct forensic exams or the agency does not employ medical staff.)	na

115.35 (c)	<b>Specialized training: Medical and mental health care</b>	
	Does the agency maintain documentation that medical and mental health practitioners have received the training referenced in this standard either from the agency or elsewhere? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes

115.35 (d)	<b>Specialized training: Medical and mental health care</b>	
	Do medical and mental health care practitioners employed by the agency also receive training mandated for employees by §115.31? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners employed by the agency.)	yes
	Do medical and mental health care practitioners contracted by or volunteering for the agency also receive training mandated for contractors and volunteers by §115.32? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners contracted by or volunteering for the agency.)	yes

115.41 (a)	<b>Screening for risk of victimization and abusiveness</b>	
	Are all inmates assessed during an intake screening for their risk of being sexually abused by other inmates or sexually abusive toward other inmates?	yes
	Are all inmates assessed upon transfer to another facility for their risk of being sexually abused by other inmates or sexually abusive toward other inmates?	yes

115.41 (b)	<b>Screening for risk of victimization and abusiveness</b>	
	Do intake screenings ordinarily take place within 72 hours of arrival at the facility?	yes

115.41 (c)	<b>Screening for risk of victimization and abusiveness</b>	
	Are all PREA screening assessments conducted using an objective screening instrument?	yes

115.41 (d)	Screening for risk of victimization and abusiveness	
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (1) Whether the inmate has a mental, physical, or developmental disability?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (2) The age of the inmate?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (3) The physical build of the inmate?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (4) Whether the inmate has previously been incarcerated?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (5) Whether the inmate's criminal history is exclusively nonviolent?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (6) Whether the inmate has prior convictions for sex offenses against an adult or child?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (7) Whether the inmate is or is perceived to be gay, lesbian, bisexual, transgender, intersex, or gender nonconforming (the facility affirmatively asks the inmate about his/her sexual orientation and gender identity AND makes a subjective determination based on the screener's perception whether the inmate is gender non-conforming or otherwise may be perceived to be LGBTI)?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (8) Whether the inmate has previously experienced sexual victimization?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (9) The inmate's own perception of vulnerability?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (10) Whether the inmate is detained solely for civil immigration purposes?	yes

115.41 (e)	Screening for risk of victimization and abusiveness	
	In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, as known to the agency: prior acts of sexual abuse?	yes
	In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, as known to the agency: prior convictions for violent offenses?	yes
	In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, as known to the agency: history of prior institutional violence or sexual abuse?	yes

115.41 (f)	Screening for risk of victimization and abusiveness	
	Within a set time period not more than 30 days from the inmate's arrival at the facility, does the facility reassess the inmate's risk of victimization or abusiveness based upon any additional, relevant information received by the facility since the intake screening?	yes

115.41 (g)	Screening for risk of victimization and abusiveness	
	Does the facility reassess an inmate's risk level when warranted due to a referral?	yes
	Does the facility reassess an inmate's risk level when warranted due to a request?	yes
	Does the facility reassess an inmate's risk level when warranted due to an incident of sexual abuse?	yes
	Does the facility reassess an inmate's risk level when warranted due to receipt of additional information that bears on the inmate's risk of sexual victimization or abusiveness?	yes

115.41 (h)	Screening for risk of victimization and abusiveness	
	Is it the case that inmates are not ever disciplined for refusing to answer, or for not disclosing complete information in response to, questions asked pursuant to paragraphs (d)(1), (d)(7), (d)(8), or (d)(9) of this section?	yes

<b>115.41 (i)</b>	<b>Screening for risk of victimization and abusiveness</b>	
	Has the agency implemented appropriate controls on the dissemination within the facility of responses to questions asked pursuant to this standard in order to ensure that sensitive information is not exploited to the inmate's detriment by staff or other inmates?	yes

<b>115.42 (a)</b>	<b>Use of screening information</b>	
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Housing Assignments?	yes
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Bed assignments?	yes
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Work Assignments?	yes
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Education Assignments?	yes
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Program Assignments?	yes

<b>115.42 (b)</b>	<b>Use of screening information</b>	
	Does the agency make individualized determinations about how to ensure the safety of each inmate?	yes

115.42 (c)	Use of screening information	
	When deciding whether to assign a transgender or intersex inmate to a facility for male or female inmates, does the agency consider, on a case-by-case basis, whether a placement would ensure the inmate's health and safety, and whether a placement would present management or security problems (NOTE: if an agency by policy or practice assigns inmates to a male or female facility on the basis of anatomy alone, that agency is not in compliance with this standard)?	yes
	When making housing or other program assignments for transgender or intersex inmates, does the agency consider, on a case-by-case basis, whether a placement would ensure the inmate's health and safety, and whether a placement would present management or security problems?	yes

115.42 (d)	Use of screening information	
	Are placement and programming assignments for each transgender or intersex inmate reassessed at least twice each year to review any threats to safety experienced by the inmate?	yes

115.42 (e)	Use of screening information	
	Are each transgender or intersex inmate's own views with respect to his or her own safety given serious consideration when making facility and housing placement decisions and programming assignments?	yes

115.42 (f)	Use of screening information	
	Are transgender and intersex inmates given the opportunity to shower separately from other inmates?	yes

115.42 (g)	Use of screening information	
	Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex inmates, does the agency always refrain from placing: lesbian, gay, and bisexual inmates in dedicated facilities, units, or wings solely on the basis of such identification or status? (N/A if the agency has a dedicated facility, unit, or wing solely for the placement of LGBT or I inmates pursuant to a consent decree, legal settlement, or legal judgement.)	yes
	Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex inmates, does the agency always refrain from placing: transgender inmates in dedicated facilities, units, or wings solely on the basis of such identification or status? (N/A if the agency has a dedicated facility, unit, or wing solely for the placement of LGBT or I inmates pursuant to a consent decree, legal settlement, or legal judgement.)	yes
	Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex inmates, does the agency always refrain from placing: intersex inmates in dedicated facilities, units, or wings solely on the basis of such identification or status? (N/A if the agency has a dedicated facility, unit, or wing solely for the placement of LGBT or I inmates pursuant to a consent decree, legal settlement, or legal judgement.)	yes

115.43 (a)	Protective Custody	
	Does the facility always refrain from placing inmates at high risk for sexual victimization in involuntary segregated housing unless an assessment of all available alternatives has been made, and a determination has been made that there is no available alternative means of separation from likely abusers?	yes
	If a facility cannot conduct such an assessment immediately, does the facility hold the inmate in involuntary segregated housing for less than 24 hours while completing the assessment?	yes

115.43 (b)	Protective Custody	
	Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Programs to the extent possible?	yes
	Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Privileges to the extent possible?	yes
	Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Education to the extent possible?	yes
	Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Work opportunities to the extent possible?	yes
	If the facility restricts any access to programs, privileges, education, or work opportunities, does the facility document the opportunities that have been limited? (N/A if the facility never restricts access to programs, privileges, education, or work opportunities.)	na
	If the facility restricts access to programs, privileges, education, or work opportunities, does the facility document the duration of the limitation? (N/A if the facility never restricts access to programs, privileges, education, or work opportunities.)	na
	If the facility restricts access to programs, privileges, education, or work opportunities, does the facility document the reasons for such limitations? (N/A if the facility never restricts access to programs, privileges, education, or work opportunities.)	na

115.43 (c)	Protective Custody	
	Does the facility assign inmates at high risk of sexual victimization to involuntary segregated housing only until an alternative means of separation from likely abusers can be arranged?	yes
	Does such an assignment not ordinarily exceed a period of 30 days?	yes

115.43 (d)	Protective Custody	
	If an involuntary segregated housing assignment is made pursuant to paragraph (a) of this section, does the facility clearly document: The basis for the facility's concern for the inmate's safety?	yes
	If an involuntary segregated housing assignment is made pursuant to paragraph (a) of this section, does the facility clearly document: The reason why no alternative means of separation can be arranged?	yes

115.43 (e)	Protective Custody	
	In the case of each inmate who is placed in involuntary segregation because he/she is at high risk of sexual victimization, does the facility afford a review to determine whether there is a continuing need for separation from the general population EVERY 30 DAYS?	yes

115.51 (a)	Inmate reporting	
	Does the agency provide multiple internal ways for inmates to privately report: Sexual abuse and sexual harassment?	yes
	Does the agency provide multiple internal ways for inmates to privately report: Retaliation by other inmates or staff for reporting sexual abuse and sexual harassment?	yes
	Does the agency provide multiple internal ways for inmates to privately report: Staff neglect or violation of responsibilities that may have contributed to such incidents?	yes

115.51 (b)	Inmate reporting	
	Does the agency also provide at least one way for inmates to report sexual abuse or sexual harassment to a public or private entity or office that is not part of the agency?	yes
	Is that private entity or office able to receive and immediately forward inmate reports of sexual abuse and sexual harassment to agency officials?	yes
	Does that private entity or office allow the inmate to remain anonymous upon request?	yes
	Are inmates detained solely for civil immigration purposes provided information on how to contact relevant consular officials and relevant officials at the Department of Homeland Security? (N/A if the facility never houses inmates detained solely for civil immigration purposes.)	na

115.51 (c)	Inmate reporting	
	Does staff accept reports of sexual abuse and sexual harassment made verbally, in writing, anonymously, and from third parties?	yes
	Does staff promptly document any verbal reports of sexual abuse and sexual harassment?	yes

115.51 (d)	Inmate reporting	
	Does the agency provide a method for staff to privately report sexual abuse and sexual harassment of inmates?	yes

115.52 (a)	Exhaustion of administrative remedies	
	Is the agency exempt from this standard? NOTE: The agency is exempt ONLY if it does not have administrative procedures to address inmate grievances regarding sexual abuse. This does not mean the agency is exempt simply because an inmate does not have to or is not ordinarily expected to submit a grievance to report sexual abuse. This means that as a matter of explicit policy, the agency does not have an administrative remedies process to address sexual abuse.	yes

115.52 (b)	Exhaustion of administrative remedies	
	Does the agency permit inmates to submit a grievance regarding an allegation of sexual abuse without any type of time limits? (The agency may apply otherwise-applicable time limits to any portion of a grievance that does not allege an incident of sexual abuse.) (N/A if agency is exempt from this standard.)	yes
	Does the agency always refrain from requiring an inmate to use any informal grievance process, or to otherwise attempt to resolve with staff, an alleged incident of sexual abuse? (N/A if agency is exempt from this standard.)	yes

115.52 (c)	Exhaustion of administrative remedies	
	Does the agency ensure that: An inmate who alleges sexual abuse may submit a grievance without submitting it to a staff member who is the subject of the complaint? (N/A if agency is exempt from this standard.)	yes
	Does the agency ensure that: Such grievance is not referred to a staff member who is the subject of the complaint? (N/A if agency is exempt from this standard.)	yes

115.52 (d)	Exhaustion of administrative remedies	
	Does the agency issue a final agency decision on the merits of any portion of a grievance alleging sexual abuse within 90 days of the initial filing of the grievance? (Computation of the 90-day time period does not include time consumed by inmates in preparing any administrative appeal.) (N/A if agency is exempt from this standard.)	yes
	If the agency claims the maximum allowable extension of time to respond of up to 70 days per 115.52(d)(3) when the normal time period for response is insufficient to make an appropriate decision, does the agency notify the inmate in writing of any such extension and provide a date by which a decision will be made? (N/A if agency is exempt from this standard.)	yes
	At any level of the administrative process, including the final level, if the inmate does not receive a response within the time allotted for reply, including any properly noticed extension, may an inmate consider the absence of a response to be a denial at that level? (N/A if agency is exempt from this standard.)	yes

115.52 (e)	Exhaustion of administrative remedies	
	Are third parties, including fellow inmates, staff members, family members, attorneys, and outside advocates, permitted to assist inmates in filing requests for administrative remedies relating to allegations of sexual abuse? (N/A if agency is exempt from this standard.)	yes
	Are those third parties also permitted to file such requests on behalf of inmates? (If a third party files such a request on behalf of an inmate, the facility may require as a condition of processing the request that the alleged victim agree to have the request filed on his or her behalf, and may also require the alleged victim to personally pursue any subsequent steps in the administrative remedy process.) (N/A if agency is exempt from this standard.)	yes
	If the inmate declines to have the request processed on his or her behalf, does the agency document the inmate's decision? (N/A if agency is exempt from this standard.)	yes

115.52 (f)	Exhaustion of administrative remedies	
	Has the agency established procedures for the filing of an emergency grievance alleging that an inmate is subject to a substantial risk of imminent sexual abuse? (N/A if agency is exempt from this standard.)	yes
	After receiving an emergency grievance alleging an inmate is subject to a substantial risk of imminent sexual abuse, does the agency immediately forward the grievance (or any portion thereof that alleges the substantial risk of imminent sexual abuse) to a level of review at which immediate corrective action may be taken? (N/A if agency is exempt from this standard.)	yes
	After receiving an emergency grievance described above, does the agency provide an initial response within 48 hours? (N/A if agency is exempt from this standard.)	yes
	After receiving an emergency grievance described above, does the agency issue a final agency decision within 5 calendar days? (N/A if agency is exempt from this standard.)	yes
	Does the initial response and final agency decision document the agency's determination whether the inmate is in substantial risk of imminent sexual abuse? (N/A if agency is exempt from this standard.)	yes
	Does the initial response document the agency's action(s) taken in response to the emergency grievance? (N/A if agency is exempt from this standard.)	yes
	Does the agency's final decision document the agency's action(s) taken in response to the emergency grievance? (N/A if agency is exempt from this standard.)	yes

115.52 (g)	Exhaustion of administrative remedies	
	If the agency disciplines an inmate for filing a grievance related to alleged sexual abuse, does it do so ONLY where the agency demonstrates that the inmate filed the grievance in bad faith? (N/A if agency is exempt from this standard.)	yes

115.53 (a)	<b>Inmate access to outside confidential support services</b>	
	Does the facility provide inmates with access to outside victim advocates for emotional support services related to sexual abuse by giving inmates mailing addresses and telephone numbers, including toll-free hotline numbers where available, of local, State, or national victim advocacy or rape crisis organizations?	yes
	Does the facility provide persons detained solely for civil immigration purposes mailing addresses and telephone numbers, including toll-free hotline numbers where available of local, State, or national immigrant services agencies? (N/A if the facility never has persons detained solely for civil immigration purposes.)	na
	Does the facility enable reasonable communication between inmates and these organizations and agencies, in as confidential a manner as possible?	yes

115.53 (b)	<b>Inmate access to outside confidential support services</b>	
	Does the facility inform inmates, prior to giving them access, of the extent to which such communications will be monitored and the extent to which reports of abuse will be forwarded to authorities in accordance with mandatory reporting laws?	yes

115.53 (c)	<b>Inmate access to outside confidential support services</b>	
	Does the agency maintain or attempt to enter into memoranda of understanding or other agreements with community service providers that are able to provide inmates with confidential emotional support services related to sexual abuse?	yes
	Does the agency maintain copies of agreements or documentation showing attempts to enter into such agreements?	yes

115.54 (a)	<b>Third-party reporting</b>	
	Has the agency established a method to receive third-party reports of sexual abuse and sexual harassment?	yes
	Has the agency distributed publicly information on how to report sexual abuse and sexual harassment on behalf of an inmate?	yes

115.61 (a)	Staff and agency reporting duties	
	Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding an incident of sexual abuse or sexual harassment that occurred in a facility, whether or not it is part of the agency?	yes
	Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding retaliation against inmates or staff who reported an incident of sexual abuse or sexual harassment?	yes
	Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding any staff neglect or violation of responsibilities that may have contributed to an incident of sexual abuse or sexual harassment or retaliation?	yes

115.61 (b)	Staff and agency reporting duties	
	Apart from reporting to designated supervisors or officials, does staff always refrain from revealing any information related to a sexual abuse report to anyone other than to the extent necessary, as specified in agency policy, to make treatment, investigation, and other security and management decisions?	yes

115.61 (c)	Staff and agency reporting duties	
	Unless otherwise precluded by Federal, State, or local law, are medical and mental health practitioners required to report sexual abuse pursuant to paragraph (a) of this section?	yes
	Are medical and mental health practitioners required to inform inmates of the practitioner's duty to report, and the limitations of confidentiality, at the initiation of services?	yes

115.61 (d)	Staff and agency reporting duties	
	If the alleged victim is under the age of 18 or considered a vulnerable adult under a State or local vulnerable persons statute, does the agency report the allegation to the designated State or local services agency under applicable mandatory reporting laws?	yes

<b>115.61 (e)</b>	<b>Staff and agency reporting duties</b>	
	Does the facility report all allegations of sexual abuse and sexual harassment, including third-party and anonymous reports, to the facility's designated investigators?	yes

<b>115.62 (a)</b>	<b>Agency protection duties</b>	
	When the agency learns that an inmate is subject to a substantial risk of imminent sexual abuse, does it take immediate action to protect the inmate?	yes

<b>115.63 (a)</b>	<b>Reporting to other confinement facilities</b>	
	Upon receiving an allegation that an inmate was sexually abused while confined at another facility, does the head of the facility that received the allegation notify the head of the facility or appropriate office of the agency where the alleged abuse occurred?	yes

<b>115.63 (b)</b>	<b>Reporting to other confinement facilities</b>	
	Is such notification provided as soon as possible, but no later than 72 hours after receiving the allegation?	yes

<b>115.63 (c)</b>	<b>Reporting to other confinement facilities</b>	
	Does the agency document that it has provided such notification?	yes

<b>115.63 (d)</b>	<b>Reporting to other confinement facilities</b>	
	Does the facility head or agency office that receives such notification ensure that the allegation is investigated in accordance with these standards?	yes

115.64 (a)	Staff first responder duties	
	Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Separate the alleged victim and abuser?	yes
	Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Preserve and protect any crime scene until appropriate steps can be taken to collect any evidence?	yes
	Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Request that the alleged victim not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating, if the abuse occurred within a time period that still allows for the collection of physical evidence?	yes
	Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Ensure that the alleged abuser does not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating, if the abuse occurred within a time period that still allows for the collection of physical evidence?	yes

115.64 (b)	Staff first responder duties	
	If the first staff responder is not a security staff member, is the responder required to request that the alleged victim not take any actions that could destroy physical evidence, and then notify security staff?	yes

115.65 (a)	Coordinated response	
	Has the facility developed a written institutional plan to coordinate actions among staff first responders, medical and mental health practitioners, investigators, and facility leadership taken in response to an incident of sexual abuse?	yes

<b>115.66 (a)</b>	<b>Preservation of ability to protect inmates from contact with abusers</b>	
	Are both the agency and any other governmental entities responsible for collective bargaining on the agency's behalf prohibited from entering into or renewing any collective bargaining agreement or other agreement that limit the agency's ability to remove alleged staff sexual abusers from contact with any inmates pending the outcome of an investigation or of a determination of whether and to what extent discipline is warranted?	yes

<b>115.67 (a)</b>	<b>Agency protection against retaliation</b>	
	Has the agency established a policy to protect all inmates and staff who report sexual abuse or sexual harassment or cooperate with sexual abuse or sexual harassment investigations from retaliation by other inmates or staff?	yes
	Has the agency designated which staff members or departments are charged with monitoring retaliation?	yes

<b>115.67 (b)</b>	<b>Agency protection against retaliation</b>	
	Does the agency employ multiple protection measures, such as housing changes or transfers for inmate victims or abusers, removal of alleged staff or inmate abusers from contact with victims, and emotional support services for inmates or staff who fear retaliation for reporting sexual abuse or sexual harassment or for cooperating with investigations?	yes

115.67 (c)	Agency protection against retaliation	
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor the conduct and treatment of inmates or staff who reported the sexual abuse to see if there are changes that may suggest possible retaliation by inmates or staff?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor the conduct and treatment of inmates who were reported to have suffered sexual abuse to see if there are changes that may suggest possible retaliation by inmates or staff?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Act promptly to remedy any such retaliation?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor any inmate disciplinary reports?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor inmate housing changes?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor inmate program changes?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor negative performance reviews of staff?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor reassignments of staff?	yes
	Does the agency continue such monitoring beyond 90 days if the initial monitoring indicates a continuing need?	yes

115.67 (d)	Agency protection against retaliation	
	In the case of inmates, does such monitoring also include periodic status checks?	yes

<b>115.67 (e)</b>	<b>Agency protection against retaliation</b>	
	If any other individual who cooperates with an investigation expresses a fear of retaliation, does the agency take appropriate measures to protect that individual against retaliation?	yes

<b>115.68 (a)</b>	<b>Post-allegation protective custody</b>	
	Is any and all use of segregated housing to protect an inmate who is alleged to have suffered sexual abuse subject to the requirements of § 115.43?	yes

<b>115.71 (a)</b>	<b>Criminal and administrative agency investigations</b>	
	When the agency conducts its own investigations into allegations of sexual abuse and sexual harassment, does it do so promptly, thoroughly, and objectively? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations. See 115.21(a).)	yes
	Does the agency conduct such investigations for all allegations, including third party and anonymous reports? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations. See 115.21(a).)	yes

<b>115.71 (b)</b>	<b>Criminal and administrative agency investigations</b>	
	Where sexual abuse is alleged, does the agency use investigators who have received specialized training in sexual abuse investigations as required by 115.34?	yes

<b>115.71 (c)</b>	<b>Criminal and administrative agency investigations</b>	
	Do investigators gather and preserve direct and circumstantial evidence, including any available physical and DNA evidence and any available electronic monitoring data?	yes
	Do investigators interview alleged victims, suspected perpetrators, and witnesses?	yes
	Do investigators review prior reports and complaints of sexual abuse involving the suspected perpetrator?	yes

<b>115.71 (d)</b>	<b>Criminal and administrative agency investigations</b>	
	When the quality of evidence appears to support criminal prosecution, does the agency conduct compelled interviews only after consulting with prosecutors as to whether compelled interviews may be an obstacle for subsequent criminal prosecution?	yes

<b>115.71 (e)</b>	<b>Criminal and administrative agency investigations</b>	
	Do agency investigators assess the credibility of an alleged victim, suspect, or witness on an individual basis and not on the basis of that individual's status as inmate or staff?	yes
	Does the agency investigate allegations of sexual abuse without requiring an inmate who alleges sexual abuse to submit to a polygraph examination or other truth-telling device as a condition for proceeding?	yes

<b>115.71 (f)</b>	<b>Criminal and administrative agency investigations</b>	
	Do administrative investigations include an effort to determine whether staff actions or failures to act contributed to the abuse?	yes
	Are administrative investigations documented in written reports that include a description of the physical evidence and testimonial evidence, the reasoning behind credibility assessments, and investigative facts and findings?	yes

<b>115.71 (g)</b>	<b>Criminal and administrative agency investigations</b>	
	Are criminal investigations documented in a written report that contains a thorough description of the physical, testimonial, and documentary evidence and attaches copies of all documentary evidence where feasible?	yes

<b>115.71 (h)</b>	<b>Criminal and administrative agency investigations</b>	
	Are all substantiated allegations of conduct that appears to be criminal referred for prosecution?	yes

<b>115.71 (i)</b>	<b>Criminal and administrative agency investigations</b>	
	Does the agency retain all written reports referenced in 115.71(f) and (g) for as long as the alleged abuser is incarcerated or employed by the agency, plus five years?	yes

<b>115.71 (j)</b>	<b>Criminal and administrative agency investigations</b>	
	Does the agency ensure that the departure of an alleged abuser or victim from the employment or control of the agency does not provide a basis for terminating an investigation?	yes

<b>115.71 (l)</b>	<b>Criminal and administrative agency investigations</b>	
	When an outside entity investigates sexual abuse, does the facility cooperate with outside investigators and endeavor to remain informed about the progress of the investigation? (N/A if an outside agency does not conduct administrative or criminal sexual abuse investigations. See 115.21(a).)	yes

<b>115.72 (a)</b>	<b>Evidentiary standard for administrative investigations</b>	
	Is it true that the agency does not impose a standard higher than a preponderance of the evidence in determining whether allegations of sexual abuse or sexual harassment are substantiated?	yes

<b>115.73 (a)</b>	<b>Reporting to inmates</b>	
	Following an investigation into an inmate's allegation that he or she suffered sexual abuse in an agency facility, does the agency inform the inmate as to whether the allegation has been determined to be substantiated, unsubstantiated, or unfounded?	yes

<b>115.73 (b)</b>	<b>Reporting to inmates</b>	
	If the agency did not conduct the investigation into an inmate's allegation of sexual abuse in an agency facility, does the agency request the relevant information from the investigative agency in order to inform the inmate? (N/A if the agency/facility is responsible for conducting administrative and criminal investigations.)	yes

115.73 (c)	Reporting to inmates	
	Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the inmate has been released from custody, does the agency subsequently inform the resident whenever: The staff member is no longer posted within the inmate's unit?	yes
	Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The staff member is no longer employed at the facility?	yes
	Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The agency learns that the staff member has been indicted on a charge related to sexual abuse in the facility?	yes
	Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The agency learns that the staff member has been convicted on a charge related to sexual abuse within the facility?	yes

115.73 (d)	Reporting to inmates	
	Following an inmate's allegation that he or she has been sexually abused by another inmate, does the agency subsequently inform the alleged victim whenever: The agency learns that the alleged abuser has been indicted on a charge related to sexual abuse within the facility?	yes
	Following an inmate's allegation that he or she has been sexually abused by another inmate, does the agency subsequently inform the alleged victim whenever: The agency learns that the alleged abuser has been convicted on a charge related to sexual abuse within the facility?	yes

<b>115.73 (e)</b>	<b>Reporting to inmates</b>	
	Does the agency document all such notifications or attempted notifications?	yes

<b>115.76 (a)</b>	<b>Disciplinary sanctions for staff</b>	
	Are staff subject to disciplinary sanctions up to and including termination for violating agency sexual abuse or sexual harassment policies?	yes

<b>115.76 (b)</b>	<b>Disciplinary sanctions for staff</b>	
	Is termination the presumptive disciplinary sanction for staff who have engaged in sexual abuse?	yes

<b>115.76 (c)</b>	<b>Disciplinary sanctions for staff</b>	
	Are disciplinary sanctions for violations of agency policies relating to sexual abuse or sexual harassment (other than actually engaging in sexual abuse) commensurate with the nature and circumstances of the acts committed, the staff member's disciplinary history, and the sanctions imposed for comparable offenses by other staff with similar histories?	yes

<b>115.76 (d)</b>	<b>Disciplinary sanctions for staff</b>	
	Are all terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, reported to: Law enforcement agencies(unless the activity was clearly not criminal)?	yes
	Are all terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, reported to: Relevant licensing bodies?	yes

115.77 (a)	<b>Corrective action for contractors and volunteers</b>	
	Is any contractor or volunteer who engages in sexual abuse prohibited from contact with inmates?	yes
	Is any contractor or volunteer who engages in sexual abuse reported to: Law enforcement agencies (unless the activity was clearly not criminal)?	yes
	Is any contractor or volunteer who engages in sexual abuse reported to: Relevant licensing bodies?	yes

115.77 (b)	<b>Corrective action for contractors and volunteers</b>	
	In the case of any other violation of agency sexual abuse or sexual harassment policies by a contractor or volunteer, does the facility take appropriate remedial measures, and consider whether to prohibit further contact with inmates?	yes

115.78 (a)	<b>Disciplinary sanctions for inmates</b>	
	Following an administrative finding that an inmate engaged in inmate-on-inmate sexual abuse, or following a criminal finding of guilt for inmate-on-inmate sexual abuse, are inmates subject to disciplinary sanctions pursuant to a formal disciplinary process?	yes

115.78 (b)	<b>Disciplinary sanctions for inmates</b>	
	Are sanctions commensurate with the nature and circumstances of the abuse committed, the inmate's disciplinary history, and the sanctions imposed for comparable offenses by other inmates with similar histories?	yes

115.78 (c)	<b>Disciplinary sanctions for inmates</b>	
	When determining what types of sanction, if any, should be imposed, does the disciplinary process consider whether an inmate's mental disabilities or mental illness contributed to his or her behavior?	yes

<b>115.78 (d)</b>	<b>Disciplinary sanctions for inmates</b>	
	If the facility offers therapy, counseling, or other interventions designed to address and correct underlying reasons or motivations for the abuse, does the facility consider whether to require the offending inmate to participate in such interventions as a condition of access to programming and other benefits?	yes

<b>115.78 (e)</b>	<b>Disciplinary sanctions for inmates</b>	
	Does the agency discipline an inmate for sexual contact with staff only upon a finding that the staff member did not consent to such contact?	yes

<b>115.78 (f)</b>	<b>Disciplinary sanctions for inmates</b>	
	For the purpose of disciplinary action does a report of sexual abuse made in good faith based upon a reasonable belief that the alleged conduct occurred NOT constitute falsely reporting an incident or lying, even if an investigation does not establish evidence sufficient to substantiate the allegation?	yes

<b>115.78 (g)</b>	<b>Disciplinary sanctions for inmates</b>	
	If the agency prohibits all sexual activity between inmates, does the agency always refrain from considering non-coercive sexual activity between inmates to be sexual abuse? (N/A if the agency does not prohibit all sexual activity between inmates.)	yes

<b>115.81 (a)</b>	<b>Medical and mental health screenings; history of sexual abuse</b>	
	If the screening pursuant to § 115.41 indicates that a prison inmate has experienced prior sexual victimization, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a medical or mental health practitioner within 14 days of the intake screening?	yes

<b>115.81 (b)</b>	<b>Medical and mental health screenings; history of sexual abuse</b>	
	If the screening pursuant to § 115.41 indicates that a prison inmate has previously perpetrated sexual abuse, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a mental health practitioner within 14 days of the intake screening? (N/A if the facility is not a prison.)	yes

<b>115.81 (c)</b>	<b>Medical and mental health screenings; history of sexual abuse</b>	
	If the screening pursuant to § 115.41 indicates that a jail inmate has experienced prior sexual victimization, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a medical or mental health practitioner within 14 days of the intake screening?	yes

<b>115.81 (d)</b>	<b>Medical and mental health screenings; history of sexual abuse</b>	
	Is any information related to sexual victimization or abusiveness that occurred in an institutional setting strictly limited to medical and mental health practitioners and other staff as necessary to inform treatment plans and security management decisions, including housing, bed, work, education, and program assignments, or as otherwise required by Federal, State, or local law?	yes

<b>115.81 (e)</b>	<b>Medical and mental health screenings; history of sexual abuse</b>	
	Do medical and mental health practitioners obtain informed consent from inmates before reporting information about prior sexual victimization that did not occur in an institutional setting, unless the inmate is under the age of 18?	yes

<b>115.82 (a)</b>	<b>Access to emergency medical and mental health services</b>	
	Do inmate victims of sexual abuse receive timely, unimpeded access to emergency medical treatment and crisis intervention services, the nature and scope of which are determined by medical and mental health practitioners according to their professional judgment?	yes

115.82 (b)	<b>Access to emergency medical and mental health services</b>	
	If no qualified medical or mental health practitioners are on duty at the time a report of recent sexual abuse is made, do security staff first responders take preliminary steps to protect the victim pursuant to § 115.62?	yes
	Do security staff first responders immediately notify the appropriate medical and mental health practitioners?	yes

115.82 (c)	<b>Access to emergency medical and mental health services</b>	
	Are inmate victims of sexual abuse offered timely information about and timely access to emergency contraception and sexually transmitted infections prophylaxis, in accordance with professionally accepted standards of care, where medically appropriate?	yes

115.82 (d)	<b>Access to emergency medical and mental health services</b>	
	Are treatment services provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident?	yes

115.83 (a)	<b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>	
	Does the facility offer medical and mental health evaluation and, as appropriate, treatment to all inmates who have been victimized by sexual abuse in any prison, jail, lockup, or juvenile facility?	yes

115.83 (b)	<b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>	
	Does the evaluation and treatment of such victims include, as appropriate, follow-up services, treatment plans, and, when necessary, referrals for continued care following their transfer to, or placement in, other facilities, or their release from custody?	yes

<b>115.83 (c)</b>	<b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>	
	Does the facility provide such victims with medical and mental health services consistent with the community level of care?	yes

<b>115.83 (d)</b>	<b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>	
	Are inmate victims of sexually abusive vaginal penetration while incarcerated offered pregnancy tests? (N/A if "all male" facility. Note: in "all male" facilities there may be inmates who identify as transgender men who may have female genitalia. Auditors should be sure to know whether such individuals may be in the population and whether this provision may apply in specific circumstances.)	yes

<b>115.83 (e)</b>	<b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>	
	If pregnancy results from the conduct described in paragraph § 115.83(d), do such victims receive timely and comprehensive information about and timely access to all lawful pregnancy-related medical services? (N/A if "all male" facility. Note: in "all male" facilities there may be inmates who identify as transgender men who may have female genitalia. Auditors should be sure to know whether such individuals may be in the population and whether this provision may apply in specific circumstances.)	yes

<b>115.83 (f)</b>	<b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>	
	Are inmate victims of sexual abuse while incarcerated offered tests for sexually transmitted infections as medically appropriate?	yes

<b>115.83 (g)</b>	<b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>	
	Are treatment services provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident?	yes

<b>115.83 (h)</b>	<b>Ongoing medical and mental health care for sexual abuse victims and abusers</b>	
	If the facility is a prison, does it attempt to conduct a mental health evaluation of all known inmate-on-inmate abusers within 60 days of learning of such abuse history and offer treatment when deemed appropriate by mental health practitioners? (NA if the facility is a jail.)	yes

<b>115.86 (a)</b>	<b>Sexual abuse incident reviews</b>	
	Does the facility conduct a sexual abuse incident review at the conclusion of every sexual abuse investigation, including where the allegation has not been substantiated, unless the allegation has been determined to be unfounded?	yes

<b>115.86 (b)</b>	<b>Sexual abuse incident reviews</b>	
	Does such review ordinarily occur within 30 days of the conclusion of the investigation?	yes

<b>115.86 (c)</b>	<b>Sexual abuse incident reviews</b>	
	Does the review team include upper-level management officials, with input from line supervisors, investigators, and medical or mental health practitioners?	yes

115.86 (d)	<b>Sexual abuse incident reviews</b>	
	Does the review team: Consider whether the allegation or investigation indicates a need to change policy or practice to better prevent, detect, or respond to sexual abuse?	yes
	Does the review team: Consider whether the incident or allegation was motivated by race; ethnicity; gender identity; lesbian, gay, bisexual, transgender, or intersex identification, status, or perceived status; gang affiliation; or other group dynamics at the facility?	yes
	Does the review team: Examine the area in the facility where the incident allegedly occurred to assess whether physical barriers in the area may enable abuse?	yes
	Does the review team: Assess the adequacy of staffing levels in that area during different shifts?	yes
	Does the review team: Assess whether monitoring technology should be deployed or augmented to supplement supervision by staff?	yes
	Does the review team: Prepare a report of its findings, including but not necessarily limited to determinations made pursuant to §§ 115.86(d)(1)-(d)(5), and any recommendations for improvement and submit such report to the facility head and PREA compliance manager?	yes

115.86 (e)	<b>Sexual abuse incident reviews</b>	
	Does the facility implement the recommendations for improvement, or document its reasons for not doing so?	yes

115.87 (a)	<b>Data collection</b>	
	Does the agency collect accurate, uniform data for every allegation of sexual abuse at facilities under its direct control using a standardized instrument and set of definitions?	yes

115.87 (b)	<b>Data collection</b>	
	Does the agency aggregate the incident-based sexual abuse data at least annually?	yes

<b>115.87 (c)</b>	<b>Data collection</b>	
	Does the incident-based data include, at a minimum, the data necessary to answer all questions from the most recent version of the Survey of Sexual Violence conducted by the Department of Justice?	yes

<b>115.87 (d)</b>	<b>Data collection</b>	
	Does the agency maintain, review, and collect data as needed from all available incident-based documents, including reports, investigation files, and sexual abuse incident reviews?	yes

<b>115.87 (e)</b>	<b>Data collection</b>	
	Does the agency also obtain incident-based and aggregated data from every private facility with which it contracts for the confinement of its inmates? (N/A if agency does not contract for the confinement of its inmates.)	yes

<b>115.87 (f)</b>	<b>Data collection</b>	
	Does the agency, upon request, provide all such data from the previous calendar year to the Department of Justice no later than June 30? (N/A if DOJ has not requested agency data.)	yes

<b>115.88 (a)</b>	<b>Data review for corrective action</b>	
	Does the agency review data collected and aggregated pursuant to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Identifying problem areas?	yes
	Does the agency review data collected and aggregated pursuant to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Taking corrective action on an ongoing basis?	yes
	Does the agency review data collected and aggregated pursuant to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Preparing an annual report of its findings and corrective actions for each facility, as well as the agency as a whole?	yes

<b>115.88 (b)</b>	<b>Data review for corrective action</b>	
	Does the agency's annual report include a comparison of the current year's data and corrective actions with those from prior years and provide an assessment of the agency's progress in addressing sexual abuse?	yes

<b>115.88 (c)</b>	<b>Data review for corrective action</b>	
	Is the agency's annual report approved by the agency head and made readily available to the public through its website or, if it does not have one, through other means?	yes

<b>115.88 (d)</b>	<b>Data review for corrective action</b>	
	Does the agency indicate the nature of the material redacted where it redacts specific material from the reports when publication would present a clear and specific threat to the safety and security of a facility?	yes

<b>115.89 (a)</b>	<b>Data storage, publication, and destruction</b>	
	Does the agency ensure that data collected pursuant to § 115.87 are securely retained?	yes

<b>115.89 (b)</b>	<b>Data storage, publication, and destruction</b>	
	Does the agency make all aggregated sexual abuse data, from facilities under its direct control and private facilities with which it contracts, readily available to the public at least annually through its website or, if it does not have one, through other means?	yes

<b>115.89 (c)</b>	<b>Data storage, publication, and destruction</b>	
	Does the agency remove all personal identifiers before making aggregated sexual abuse data publicly available?	yes

<b>115.89 (d)</b>	<b>Data storage, publication, and destruction</b>	
	Does the agency maintain sexual abuse data collected pursuant to § 115.87 for at least 10 years after the date of the initial collection, unless Federal, State, or local law requires otherwise?	yes

<b>115.401 (a)</b>	<b>Frequency and scope of audits</b>	
	During the prior three-year audit period, did the agency ensure that each facility operated by the agency, or by a private organization on behalf of the agency, was audited at least once? (Note: The response here is purely informational. A "no" response does not impact overall compliance with this standard.)	yes

<b>115.401 (b)</b>	<b>Frequency and scope of audits</b>	
	Is this the first year of the current audit cycle? (Note: a "no" response does not impact overall compliance with this standard.)	yes
	If this is the second year of the current audit cycle, did the agency ensure that at least one-third of each facility type operated by the agency, or by a private organization on behalf of the agency, was audited during the first year of the current audit cycle? (N/A if this is not the second year of the current audit cycle.)	na
	If this is the third year of the current audit cycle, did the agency ensure that at least two-thirds of each facility type operated by the agency, or by a private organization on behalf of the agency, were audited during the first two years of the current audit cycle? (N/A if this is not the third year of the current audit cycle.)	na

<b>115.401 (h)</b>	<b>Frequency and scope of audits</b>	
	Did the auditor have access to, and the ability to observe, all areas of the audited facility?	yes

<b>115.401 (i)</b>	<b>Frequency and scope of audits</b>	
	Was the auditor permitted to request and receive copies of any relevant documents (including electronically stored information)?	yes

<b>115.401 (m)</b>	<b>Frequency and scope of audits</b>	
	Was the auditor permitted to conduct private interviews with inmates, residents, and detainees?	yes

<b>115.401 (n)</b>	<b>Frequency and scope of audits</b>	
	Were inmates permitted to send confidential information or correspondence to the auditor in the same manner as if they were communicating with legal counsel?	yes

<b>115.403 (f)</b>	<b>Audit contents and findings</b>	
	The agency has published on its agency website, if it has one, or has otherwise made publicly available, all Final Audit Reports. The review period is for prior audits completed during the past three years PRECEDING THIS AUDIT. The pendency of any agency appeal pursuant to 28 C.F.R. § 115.405 does not excuse noncompliance with this provision. (N/A if there have been no Final Audit Reports issued in the past three years, or, in the case of single facility agencies, there has never been a Final Audit Report issued.)	yes